

Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

Microsatellite Evolution and Population Genetics
of Ancient and Living Adélie penguins
in Antarctica.

Lara Dawn Shepherd

A thesis presented in partial fulfilment of the requirements for the degree of Master of
Science in Biological Sciences at Massey University, Palmerston North,
New Zealand.

2001

Errata

Page

24

Table 2.1 The length of the clone sequences that are known are as follows:

TP500 121 bp

RM3 223 bp

RM6 169 bp

FhU2 75 bp

78

Table 4.4 Sequences are listed 5' to 3'

79

Table 4.5 Sequences are listed 5' to 3'

Abstract

Microsatellites are widely used as genetic markers for examining a variety of biological questions. Despite their widespread use, little is known about the processes by which they evolve. An accurate understanding of these processes is essential for their correct use as population genetic markers. In this study, microsatellite loci from both living and cryopreserved (AMS ^{14}C dated at up to 6424 years BP ± 80) Antarctic Adélie penguins (*Pygoscelis adeliae*) were examined in order to gain insights into temporal population genetics and the evolution of microsatellite loci.

Firstly, ancient DNA extracted from Adélie penguin subfossil bones was found to be extremely well-preserved and readily allowed the amplification of single-copy nuclear microsatellite DNA. Genotyping six microsatellite loci in ancient and living samples from three populations of Adélie penguins in the Terra Nova Bay region allowed a comparison of genetic change over time. Although the ancient sample sizes were limiting, several statistical tests indicated that the ancient and living populations from Inexpressible Island were genetically distinct. In addition, differentiation was also inferred between the three ancient populations that were examined, which is in contrast to the lack of differentiation found between the living populations. These genetic changes may be a result of population expansion out of ice-age refugia since the Last Glacial Maximum.

To study microsatellite evolution over a substantial time period, up to 500 living and 100 cryopreserved Adélie penguins were genotyped at six microsatellite loci. No novel electromorph alleles were detected in the ancient samples. Numerous alleles were sequenced from four of these loci in both Adélie penguins and several other species of penguin (Spheniscidae). Analysis of these sequences provided an insight into the mutational processes occurring at these loci. In particular, these allele sequences revealed extensive size homoplasy, both within Adélie penguins and between penguin species. At one locus, variation in the flanking region allowed discrimination between the mechanisms proposed for length change at microsatellite loci. Slippage was the most plausible mechanism for length change. In this same locus, instability was observed in the

region bordering the repeat tract with a transversional bias predominating. This bias may be caused by inaccurate DNA replication resulting from structural features of DNA.

Acknowledgements

First, and foremost I want to thank my supervisor Professor Dave Lambert for giving me the opportunity to join this project at the last minute. Your infectious enthusiasm and guidance throughout this research has been greatly appreciated. The past year has been a very enjoyable introduction to the world of scientific research.

This research was funded by a Marsden Fund of New Zealand grant to Dave Lambert (96-MAU-ALS-0300). I would like to acknowledge financial support from a Massey University Masterate Scholarship, IMBS Postgraduate Scholarship, Massey University Affinity Card Alumni Scholarship, New Zealand Federation of University Women Manawatu Branch Scholarship (twice) and a Coombs Memorial Bursary.

I would also like to thank everyone in the Molecular Ecology lab: Amy Roeder, Dr Ritchie, Leon Huynen (yeah, yeah), Olly Berry, Hilary Miller, Jo Chapman, Jennie Hay, Niccy Aitken, Gillian Gibb, Steve Sarre and Quanah Hudson for all their help and advice throughout the year, and for creating such an enjoyable atmosphere in which to work.

I am indebted to Danielle at the Equine Blood typing centre for letting me assist her with microsatellite genotyping on their very new, very expensive, machine.

I would like to thank Professor Carlo Baroni for all his help on this project and for providing the soil profiles used in chapter three. Thanks also to Pete Ritchie and Kathleen Newman for providing maps.

This project could not have been done without assistance from a large number of people - so thanks to everyone who contributed to this project, for example by collecting samples or extracting DNA. These contributions are outlined in the following preface.

Lastly, I want to thank Leon Perrie, my parents and George for all the encouragement and assistance they have given me whilst doing this thesis. Your support is greatly appreciated (although I may not always show it!).

Preface

The research undertaken for this thesis was part of a much larger research project studying Adélie penguins. Other research being undertaken includes an examination of population structure in living Adélie penguins (Roeder et al., *in press*), calculation of the mutation rate of the mitochondrial control region using ancient and living samples (Ritchie, 2001) and the development of nuclear and mitochondrial genetic markers for penguins (Roeder et al., submitted). Consequently much of the work discussed in this thesis was carried out in collaboration with others.

The contributors to various aspects of this project are given below.

Sample collection

Adélie blood samples and subfossil bones were collected by Peter Ritchie, Paul Barrett (Massey University) and Craig Miller (University of Auckland). Subfossil bones were also collected by Carlo Baroni (University of Pisa). Blood or tissue samples from other penguin species were provided by the following people: Graeme Elliot, Kath Walker and Peter Moore (Department of Conservation); Boris Culik (Institut für Meereskunde an der Universität Kiel, Germany); Allan Baker (Royal Ontario Museum); Cindy Hull (University of Tasmania); Corey Bradshaw (Otago University); Janier González, Gerry Kooyman (Scripps Institution of Oceanography); John Darby and Ian Mclean (Otago Museum).

DNA extractions

The Adélie blood samples were extracted by Richelle Marshall, Peter Ritchie, Amy Roeder and Sarah Eyton (Massey University). DNA was extracted from the Adélie subfossil bone samples, PE7 to PE136, by Peter Ritchie. I extracted samples PE137 to PE196. The tissue and blood samples from other species were extracted by Amy Roeder and Peter Ritchie (Massey University), and Kerri-Anne Edge (Otago University). I re-extracted DNA from the Chinstrap and Emperor samples used in this thesis.

Microsatellite Genotyping

540 genotypes from living Adélie penguin are referred to in this thesis. I genotyped the 98 living samples from the Terra Nova Bay region (Inexpressible Island, Northern Foothills and Edmonson Point). I also genotyped all of the ancient samples. The remaining samples were genotyped by Richelle Marshall, Amy Roeder (Massey University), Amanda Mitchelson and Helen McPartlan (Victorian Institute of Animal Sciences).

DNA Sequencing

I did all the microsatellite DNA sequencing in both Adélie and other penguin species.

Data Analysis

I conducted all the analyses reported in this thesis.

Table of Contents

	Page
CHAPTER ONE	
Introduction	
1.1 A study of microsatellite evolution in Adélie penguins using ancient DNA.	1
1.2 Microsatellite DNA	1
Genetic markers	1
Microsatellite DNA	2
Microsatellite evolution	3
Support for slippage as the primary mechanism of microsatellite mutation	5
Mutational models	6
Other factors influencing microsatellite mutation	7
1.3 A phylogenetic study of microsatellite evolution in penguins	9
1.4 Ancient DNA of Antarctic Adélie penguins provides a new perspective in analysing microsatellite evolution.	9
1.5 Ancient DNA	10
DNA degradation	11
Ancient DNA sequences	12
Contamination and genotyping errors associated with low DNA quantities	13
Reliable amplification and genotyping of ancient DNA samples	14
Questions addressed by ancient DNA research	17
1.6 Research objectives	18
CHAPTER TWO	
The Extraordinary Preservation Adélie Penguin Ancient DNA	
2.1 Introduction	19
2.2 Materials and Methods	20
Sample collection and ^{14}C dating	20
Independent indicators of DNA preservation	22
DNA extraction	22
Microsatellite primers and PCR amplification	23

Automated genotyping	26
Authentification of results	26
Statistical analysis	27
2.3 Results	27
¹⁴ C dates	27
Histology	27
DNA amplification	28
Assessment of genotyping errors	32
2.4 Discussion	34
Ancient Adélie DNA preservation	34
Independent indicators of DNA preservation	35
Accurate amplification of ancient DNA	35
2.5 Concluding Remarks	37

CHAPTER THREE

Temporal Genetic Change in Adélie Penguin Colonies.

3.1 Introduction	38
3.2 Material and Methods	39
Sample collection	39
DNA extraction	39
PCR amplification and genotyping	39
Statistical analysis	39
3.3 Results	42
Genetic diversity within Adélie penguin populations	42
Genetic analysis between Adélie penguin populations of a given time period	45
Genetic analysis of Adélie penguins over time	52
3.4 Discussion	53
Holocene climatic change: possible implications for Adélie penguin population genetics	57
3.5 Concluding Remarks	59

CHAPTER FOUR

A Study of Microsatellite Evolution in Ancient and Living Adélie Penguins.

4.1 Introduction	61
4.2 Material and Methods	62
Sample collection	62
DNA extraction and microsatellite genotyping	62
Determination of allele sequence	64
Cloning of PCR products	64
DNA sequencing and purification	65
DNA sequence analysis	66
4.3 Results	66
The evolution of Adélie microsatellites over time	66
The RM3 locus	70
The AM3 locus	76
The FhU2 locus	76
The AM13 locus	78
4.4 Discussion	79
Extensive allele size homoplasy of penguin microsatellite alleles	79
Mutational mechanisms	80
Microsatellite formation	81
Instability at the end of the RM3 microsatellite sequence	82
4.5 Concluding Remarks	85

CHAPTER FIVE

Summary and Discussion of Future Work

5.1 Synopsis of Major Findings	88
5.2 Future Work	90
Population genetics	90
Microsatellite evolution	91
5.3 Concluding Remarks	93

APPENDIX A

Table of the common and scientific names of penguin species	94
---	----

APPENDIX B

Genotyping results from ancient Adélie penguin samples	95
--	----

APPENDIX C

Genotyping results from living Terra Nova Bay samples	98
---	----

APPENDIX D

Animal ethics and Antarctic permits	100
-------------------------------------	-----

REFERENCES

	101
--	-----

List of Figures

Figure	Subject	Page
1.1	Proposed mechanisms of microsatellite evolution.	4
1.2	Flow diagram of the multiple-tubes approach.	16
2.1	Map of subfossil bone collection sites.	21
2.2	PCR products produced from AmpliTaq and AmpliTaqGold.	29
2.3	Success rate of the amplification of six microsatellite loci in ancient Adélie penguin samples.	30
2.4	Scattergraph of loci amplified versus sample age.	31
2.5	Scattergraph of loci amplified versus length of mtDNA amplified.	32
2.6	Electropherograms demonstrating allelic dropout.	33
3.1	Map of the sample collection sites from Terra Nova Bay.	40
3.2	Soil profiles and sample locations from several of the sites used in this study.	43
3.3	Results of the assignment tests.	50
3.4	Graph of the p-values from the exact tests of simulated ancient microsatellite data.	52
3.5	Electromorph allele frequencies of ancient and living samples from Inexpressible Island.	54
3.6	Dates of deglaciation for the Ross Sea region.	58
4.1	Map of blood sample collection sites.	63
4.2	Allele discovery curves of six microsatellite loci.	69
4.3	Heteroplasmy electropherogram picture.	70
4.4	Diagram of representative Group One and Group Two RM3 sequence alleles and an intermediate between these two groups.	72

4.5	Geographic location of RM3 sequence alleles in the Adélie penguin populations studied.	73
4.6	A diagram of the possible relationships between the RM3 sequence alleles sequenced in this study.	74
4.7	The three forms of the RM3 electromorph allele and their distribution on a penguin phylogeny.	77
4.8	The position of the major and minor grooves of DNA.	85
4.9	A possible pathway for an A→C transversion in an RM3 allele.	86

List of Tables

Table	Subject	Page
2.1	Primers used for amplifying microsatellite DNA.	24
2.2	Ages of radiocarbon-dated subfossil bones.	28
3.1	Electromorph allele frequencies, observed and expected heterozygosities and Hardy-Weinberg p-values.	46
3.2	Results of the genic differentiation tests.	48
3.3	Pairwise F_{ST} , R_{ST} values and estimates of 95% confidence intervals.	49
4.1	The electromorph alleles present in living and ancient Adélie penguin samples at six loci.	67
4.2	Polymorphic sites in Adélie RM3 alleles.	71
4.3	Polymorphic sites at the RM3 locus in penguin species excluding Adélie penguins.	75
4.4	Polymorphic sites in penguin AM3 alleles.	78
4.5	Polymorphic sites in penguin FhU2 alleles.	79

List of Abbreviations

A	Adenine
AMS	Accelerator mass spectrometry
bp	base pairs
BSA	bovine serum albumin
C	cytosine
¹⁴ C	Carbon-14
DNA	Deoxyribonucleic acid
G	guanine
IAM	infinite allele model
indel	insertion/deletion
KAM	K-allele model
kb	kilobases
LGM	last glacial maximum
M	moles
n	number of samples
p	Probability
SSCP	Single-stranded conformation polymorphism
SMM	stepwise mutation model
T	thymine
TPM	two phase model
UCO	unequal crossing-over
yrs BP	years before present