Viability of Commercial Depth Sensors for the REX Medical Exoskeleton

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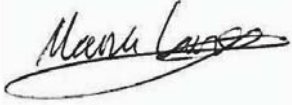
by

MANU F. LANGE

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The author declares that this is his own work, except where due acknowledgement has been given. The thesis is submitted in fulfilment of the requirements of a Masters in Engineering at Massey University, New Zealand.

Manu Lange

Abstract

Closing the feedback loop of machine control has been a known method for gaining stability. Medical exoskeletons are no exception to this phenomenon. It is proposed that through machine vision, their stability control can be enhanced in a commercially viable manner. Using machines to enhance human's capabilities has been a concept tried since the 19th century, with a range of successful demonstrations since then such as the REX platform. In parallel, machine vision has progressed similarly, and while applications that could be considered to be synonymous have been researched, using computer vision for traversability analysis in medical exoskeletons still leaves a lot of questions unanswered. These works attempt to understand better this field, in particular, the commercial viability of machine vision system's ability to enhance medical exoskeletons. The key method to determine this will be through implementation. A system is designed that considers the constraints of working with a commercial product, demonstrating integration into an existing system without significant alterations. It shows using a stereo vision system to gather depth information from the surroundings and amalgamate these. The amalgamation process relies on tracking movement to provide accurate transforms between time-frames in the three-dimensional world. Visual odometry and ground plane detection is employed to achieve this, enabling the creation of digital elevation maps, to efficiently capture and present information about the surroundings. Further simplification of this information is accomplished by creating traversability maps; that directly relate the terrain to whether the REX device can safely navigate that location. Ultimately a link is formed between the REX device and these maps, and that enables user movement commands to be intercepted. Once intercepted, a binary decision is computed whether that movement will traverse safe terrain. If however the command is deemed unsafe (for example stepping backwards off a ledge), this will not be permitted, hence increasing patient safety. Results suggest that this end-to-end demonstration is capable of improving patient safety; however, plenty of future work and considerations are discussed. The underlying data quality provided by the stereo sensor is questioned, and the limitations of macro vs. micro applicability to the REX are identified. That is; the works presented are capable of working on a macro level, but in their current state lack the finer detail to improve patient safety when operating a REX medical exoskeleton considerably.

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Chapter 1

Introduction

Rex Bionics is a New Zealand based, garage graduate company that has changed the level of interaction possible between technology and humankind. Beginning with the desire to re-enable humans who could no longer use their lower limbs, from the home garage to production facility, the business has grown together human and machine in a unique manner. Their core product, the REX, is the first self-supported medical exoskeleton to be commercially available. Being self-supported was a major focus of the team, not only because this would give a marketing advantage over similar medical exoskeletons; but because of the wish to allow patients to interact with their environment while standing. Rather than having to support one's self with crutches, patients are free to go about their usual activities, interact with others and complete tasks. However while this feature thoughtfully placed Rex Bionics exoskeleton apart from other products, it also reduced the stability of the platform when navigating uneven terrain. Fundamentally this was a control limitation, as mechanically the device could navigate the sloped terrain. Assuming the control methods maintained a stable centre of mass over the grounded foot, which had roll and pitch rotational freedom, the device could stand. The issue, however, was that although attempted, no closed-loop control solution had been developed to stabilise the device.

With an apparent abundance of sensory technology and portable processing power being available to consumers, there seemed an obvious solution: close the loop. Machine vision can capture significant amounts of data about the environment and with advances in processing technology, infer relevant information to base decisions on. Advances in sensor technology have allowed cameras to perceive depth as humans do, adding yet another dimension of information available for decision-making.

Machine vision and humanoid robotics is not an uncharted topic, with the likes of Humanoid Robots Lab (HRL) doing significant work, along with other laboratories around the world. These groups to date focus however on robotics not inhabited by humans. The benefits of combining

these two technologies still lie largely untested. This research focuses on this novel application of existing technologies in a commercial setting.

The question of why this should be attempted can easily be approached from various directions; however, the predominant considerations are patient *safety* and *empowerment*. At the core of REX's focus is re-enabling patients; and to be able to do this effectively, users must feel confident. Confidence can be enhanced by demonstrating that the latest technology helps ensure patient safety by reducing fall rates, letting the user focus on the therapy process rather than their safety. Additionally, advancing the sensory ability of the REX will lead to allowing advanced terrain navigation, reducing restrictions on where patients may go and what they may do with the REX, thus empowering them to be less dependent.

Research has indicated that terrain navigation and classification of a humanoid robot is possible, and has identified key considerations when implementing such a system, however, this has not been translated into a commercial product. Identifying the benefits such systems can offer a user are important in determining if the technology is worth pursuing commercially. Considering the availability of depth sensing technology, the integration into consumer products is still largely untapped, arguably due to difficulties with sensors in uncontrolled environments. In pursuing this application, issues faced when commercialising depth technology are identified and potential solutions implemented.

Avoiding rediscovering known findings required an extensive literature review to be carried out. This highlighted how researchers in approached and answered similar hypothesis, but also needed to be continuously *translated* to apply to the REX. The following key challenges were approached to respond to the hypothesis that machine vision could extend medical exoskeleton's ability to traverse terrain:

1. **Technology Selection** – Literature review quickly identified that research outcomes would be significantly shaped by the technology employed, making this an important choice. Each sensor category offered its strengths and weaknesses, as did software and processing hardware. These choices are critically reviewed, and the decisions made are supported by knowledge identified by fellow researchers.

2. **Relating Data and Time** – Observing all necessary information in a single instance would limit the usefulness of the information. Instead, linking information observed at different times and from different vantage points extended the ability to capture information. Adding this temporal dimension, however, required knowledge of precise transforms between frames, so the information could be merged in a common frame of reference.

3. **Extendible Framework** – Avoiding reworking significant challenges, choosing a framework that provided solutions to these was essential. For software, this meant choosing an

operating system and a set of libraries and applications that implemented standard features required for a machine vision robotics system.

4. **Simplifying Information** – While the insight gained by depth-cameras was crucial for this research; they also produce large amounts of data. Managing, understanding and working with raw point clouds was excessive, and so this was reduced, without losing vital information such as elevation. It was possible to reduce complexity significantly but maintain the basic underlying relevant information.

5. **Determing Traversability** – The definition of traversable is relative to with what one wishes to traverse the terrain. To this extent, the simplified representation of the immediate surroundings is assessed for traversability by the REX. Interfacing this information directly to the REX when moving then closes the loop and signifies a key milestone in this research.

These challenges are all faced with applicability to the REX kept steadily in focus, ensuring that the results presented are representative of what could be commercially achieved on the platform. At times this presents a severe limitation, but one that is necessary to determine the commercial viability of machine vision systems with medical exoskeletons.

By overcoming these challenges, this work describes the path to combining the fields of machine vision and medical exoskeletons, something which has previously not been attempted. This work will significantly help the REX move to a dynamic movement model capable of traversing unknown terrain safely and extending the use-cases of the device.

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Chapter 2

Literature Review

2.1 Robotic Exoskeletons

Exoskeletons, as first defined in the field of anatomy, mean "the protective or supporting structure covering the outside of the body of many animals" [HarperCollins Publishers, 2016]. With inspiration from the animal kingdom (in particular insects), there have been attempts at advancing humans ability with such devices. Passive devices such as the one detailed in this article [Agrawal et al., 2006], focus on removing the passive load on gravity on joints for medical examination. However, since the first conception of a 'powered exoskeleton' by Nicholas Yagn in [Yagn, 1890], a branch of human assistive devices of powered exoskeletal nature has emerged. Early attempts such as the Hardiman, arguably the earliest true powered exoskeleton suit, developed by General Electric in the 1960s had extensive limitations such as those detailed in the final project report [Fick and Makinson, 1971]. These restrictions mostly led to these projects being discontinued and only now in the 21st century have technology advancements allowed commercial opportunities to arise. This has seen development in three fundamental areas of robotic exoskeletons categories [Pons, 2008]:

Empowering Increasing the strength capabilities of humans has been one of the original motivators of exoskeleton robotics. Originally coined *extenders* [Kazerooni, 1990], these often operate by mimicking the human intent (or motion) but will removing load from the operator. Not necessarily restrained to encompassing a human, remotely teleoperated designs also fall under this category.

Orthotic With medical technologies increasing, a large sector of exoskeletons attempt to restore lost human functionality. Devices in this category will often attempt to re-enable humans after they have lost the use of their limbs by retraining their body on how to operate

correctly. To achieve this, these devices often attempt to integrate as closely to the human body as capable, often employing neurological links.

Prosthetic Unique applications of robotic limbs are possible when the patient has lost the original limb. In these cases, one is not restrained by working around the original limb, but can completely replace it with a mechanical (or bio-mechanical) device. A large market exists for enabling re-integration of amputees into society by re-enabling them to function independently. Prosthetics have existed for a long time, however, advances robotics have allowed them to become *intelligent* in nature.

2.1.1 Exoskeletons in Medical Applications

With the medical industry becoming increasingly intertwined with information technology [Thompson and Brailer, 2004, Meara et al., 2004], medical devices are being developed globally to more increasingly reliant on technology. From specialised robotic surgery [Lanfranco et al., 2004] to health information standards for collaborative public health analysis such as those developed by the USA's Department of Health & Human Services, the implementations are vast. To keep this review of current technologies focused, it will only focus on exoskeletons employed by the medical sector, specifically robotic/assistive platforms. This is the market that Rex Bionics operate within. In recent years suits such as the Robotic EXoskeleton (REX) platform, the ReWalk, Hybrid Assistive Limb (HAL), EKSO, and many more have all been targeted at medical use. The *ReWalk* (image 2.7a) was the first commercially available device (within the US) that enables patients with loss of lower limb control to stand, walk and climb stairs. The device requires the patient use crutches to support themselves and so needs them to have a healthy and operational upper body. Rex Bionics differentiated themselves from this, by developing a self-supporting device (image 2.7b) that does not require crutches for operation, and yet can still perform the same actions; the *REX*. The *Phoenix* from SuitX (image 2.1e) differentiates itself by being a modular light weight device (only $12.25\,\text{kg}$), but does again require crutches for operation. It achieves this by only having two actuators, at the hip, allowing the other joints to move freely. Esko or eLEGS again employs crutches and stems out of Berkeley Bionics, is slightly heavier (roughly $20\,\text{kg}$), and can provide adaptive amounts of assistance per side. The *HAL* (image 2.1d) stems from Cyberdyne, a Japanese company, and is heavily employed in medical facilities there [1]. The device features a cybernetic control system that can determine user intent purely from electrical signals from the body. Approaching the solution differently, this stationary *Lokomat* (image 2.1f) device is produced by Swiss company Hocoma. Employing a treadmill design in combination with a precision controlled exoskeleton for the lower body it can effectively re-train

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[1]http://www.theaustralian.com.au/news/world/robots-to-the-rescue-as-an-aging-japan-looks-for-help/story-e6frg6so-1226494698495

(a) ReWalk 6.0   *(c) REX*   *(e) Phoenix*

(b) Esko   *(d) HAL*   *(f) Lokomat*

Figure 2.1: **Medical Exoskeletons** *– A (non-complete) collection of medical exoskeletons available commercially. Images sources: [Rewalk, 2012, Ekso Bionics Holdings, 2015, REX, 2014, CYBERDYNE, 2014, US Bionics, 2016, Hocoma, 2016]*

movement gaits. Proven to improve walking speed in clinical trials [Hidler et al., 2009], this device is employed in many medical facilities.

2.2   Machine Learning

Machine Learning is a term that, although defined in a simple manner, is often used with ad understanding of what it entails. It has emerged with the recent explosion of the 'total digital universe' [Gantz and Reinsel, 2012]. Whilst still fundamentally bound by T. Mitchell's () definition: "A computer program is said to learn from experience E with respect to some class of tasks T and performance measure P, if its performance at tasks in T, as measured by P, improves with experience E", its boundaries are vague. It is closely related to statistics and data mining.

Fundamentally there are three fields that machine learning can be split into supervised learning, unsupervised learning and reinforcement learning [Murphy, 2012]. These three areas however sometimes have vague boundaries, and implementations can be hard to classify.

Supervised learning is the process of taking one or more sets of input-output pairs and developing a map from inputs to outputs. This is the form of machine learning most used in practical situations [Murphy, 2012]. Supervised learning can be closely linked to optimisation and classification (and their subfields) problems. A typical example of its real world implementation is email spam filtering. One major drawback of supervised learning is the creation of these input-output pairs. They often require experts to spend much time manually classifying data.

Unsupervised learning focuses on explorative knowledge acquisition. Unlike supervised learning, it does not have input-output pairs to learn from. In fact, the nature and existence of the outputs are generally unknown. This feature of not having to know the outputs first in a training set makes unsupervised learning arguably more powerful. It enables computers to perform knowledge discovery in unknown areas. An example of its immense use was demonstrated in when the digital content provider Netflix created a competition with a 1M United States Dollars (USD) prize[2]. The competition required a system to predict user's interests better based on their ratings. The prize was claimed in by a team of researchers who implemented many machine learning models to achieve this result. Another example is demonstrated by Hofmann et al., where the authors develop and prove the use of Probabilistic Latent Semantic Analysis (PLSA) to automatically index documents based on content [Hofmann, 2001]. As it is becoming increasingly difficult to generate a dataset for every possible answer, reliance on these methods which are proven to work is rising.

Reinforcement learning, like unsupervised learning, is not given input-output pairs to learn

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[2]http://www.netflixprize.com/

from. However, in contrast to unsupervised learning, it is generally implemented in a continuous learning loop. That is, it will have a measure of performance, and attempt to achieve better on every iteration, even during runtime. A typical example of this is the pole balancing problem that has been the test case of many reinforcement learning publications [Michie, 1968, Schaal, 1997, Si and Wang, 2001]. Si et al. even extend this challenge to a triple inverted pendulum balancing challenge, demonstrating their algorithm. In this regard, similar to unsupervised learning, reinforcement learning is heavily implemented where it is impossible or inconceivable to generate large data sets with answers.

2.2.1 Machine Learning in Medical Exoskeletons

Examples of machine learning in medicine are becoming more frequent, especially in data analysis, where there are often significant amounts of variables [Cleophas et al., 2013]. While Cleophas et al.'s book provides an extensive range of examples and applications of machine learning applied to medicine in general, there are far fewer examples when looking at exoskeletons. A recent survey on model learning for robot control [Nguyen-Tuong and Peters, 2011] highlights the importance of embracing machine learning to enable automatic control model generation for the future of autonomy. They review case studies of machine learning applied and point out what it achieved well. Looking at a particular example, teleoperated control of a humanoid robot was successfully generalised using a combination of neural networks and particle swarm optimisation (both core to machine learning) [Stanton et al., 2012]. The authors demonstrate that it is possible to build a framework that does not explicitly understand the kinematic models of the robot but can quickly determine control signals that generate desired outputs. Brain Machine Interface (BMI) is another interesting area of research, where it was recently demonstrated that a BMI could control a REX medical exoskeleton by employing machine learning to decode Electroencephalogram (EEG) signals [Contreras-Vidal and Grossman, 2013, Kilicarslan et al., 2013]. Similarly He et al investigate using EEG and Electromyography (EMG) to develop a BMI functional with NASA's X1 exoskeleton suit [He et al., 2014]. It is evident when looking at these recent advances that there will be plenty more scope for research in this area over the coming years.

2.3 Machine Vision

Machine vision, closely related to machine learning, is an incredibly complex field of research, in part because we do not yet understand human's vision and perception [Szeliski, 2010]. Vision is a primary input to human's decision-making process when interacting with the world. Likewise, vision is quickly becoming a fundamental input for the decision-making processes of computer programs. Research in machine vision attempts to model the environment in a visual sense using

complex mathematical algorithms and models. However, unlike humans who easy grasp the concepts of vision, dimensions, occlusion, context, and other such intricacies, these prove to be fundamental challenges for computers. This challenge stems from the inverse nature of computer vision; that being the process of attempting to recover information from incomplete observations, often employing forward based models (physics) [Szeliski, 2010]. This fact and the importance of the potential possibilities has led to recent research in this field growing significantly [Hamzah and Ibrahim, 2015]. As a result of this, reviewing current literature and developing a summary of current state of technology itself could be the topic of a thesis. To contain the scope, only a few specific technologies will be reviewed in detail.

2.3.1 Stereo Vision

As with animals and humans with binocular vision, programs have been enabled in a similar manner, allowing stereopsis. Usually featuring two digital cameras separated by a horizontal distance, systems employ complex matching algorithms to extract a depth map. The entire process begins with camera selection and placement. The key parameters for camera placement are interaxial separation and convergence angle.

Interaxial separation refers to the distance between two camera sensors. This plays a great role in the depth perception. Greater interaxial separation increases depth perception but decreases the minimum distance objects can be perceived at. On the flip side, smaller separation reduces depth perception but allows for successful matching at close distances. While this parameter is more important when the media will be viewed by humans, since wrong values can cause viewing discomfort, it also plays a role in the matching algorithms ability to function. The convergence angle again plays a role in the distances the vision system will be effective at. However, due to computational implementations and consistent overall performance, cameras are mostly aligned parallel. The reason for this is detailed in the image rectification process.

Rectification of pairwise captured images is an important step in the process of stereo vision. Since no two cameras (lenses and sensors) will produce identical digital images, for matching purposes, it is important that they be as noise free as possible. Also, images are rectified to be on a common plane and have parallel epipolar lines. The process of rectification usually begins with calibration training of the system. Here calibration images used to extract key parameters, learn lens distortions and transformation matrices required for computational simplification. Typically, this can be expressed in 12 parameters as $Ch = AWh$ [Memon and Khan, 2001]. Here Ch represent the camera coordinates, Wh are the world homogeneous coordinates and A is a vector of the 12 unknown parameters. In general, there are three approaches to determining these parameters: linear, nonlinear and two-step methods [Memon and Khan, 2001]. Linear methods are computationally very fast as they assume a very simplistic pinhole camera model. This does,

however, limit the models to not correcting for distortion effects, which are significant in lower standard products. Contrary to this, non-linear methods, can account for these lens distortions. However, due to its iterative nature, the initial parameter guess is important to ensure convergence. In two-step methods, some parameters are found directly, while others are iteratively discovered. Various novel techniques have been introduced with the advancements of machine learning [Memon and Khan, 2001]. An example of this is a calibration procedure that uses Artificial Neural Network (ANN)s to perform the correction. Intrinsic to the nature of ANN however, this method does not reveal the underlying parameters, but rather operates as a black box.

Once rectified, the images pass through a framework of algorithms to generate a disparity map. This is generally accepted to be a four-stage process as detailed in the figure 2.2 [Hamzah and Ibrahim, 2015]. Each stage can consist of a multitude of algorithms that process the images

*Figure 2.2: **Stereo Matching Process** – The general process of stereo matching can be broken into four stages [Hamzah and Ibrahim, 2015].*

individually or combined. As a combined process these can be classified as a local or global approach. This classification stems from the computational approach used when calculating disparity. If the calculation at a given point depends only on values surrounding it within a given window, this is classified as local. Examples of these approaches have been explored in papers such as [Tuytelaars and Van Gool, 2000, Gerrits and Bekaert, 2006, Zhang et al., 2009]. Opposing this, a global approach will attempt to calculate the disparity map as to minimise some global error or energy function. This function will penalise data that differ from the target, and also penalise noisy data. This two-termed approach ensures that data is both valid and noise free, however, is computationally expensive [Hamzah and Ibrahim, 2015]. This stems from the fact that they normally skip the second stage, cost aggregation, and work with all the pixel data. As with many statistical processes, the first stage in this process is matching cost computation. Matching cost computation is able to evaluate how well a pixel on one image matches a pixel on the other image. This can be defined as determining the parallax values of each point in two images [Brown et al., 2003]. Since this is a fundamental task to complete for every single combination of pixels, this is often constrained to reduce computational complexity. For example, given a $640\,\mathrm{p} \times 480\,\mathrm{p}$ image, over 94 billion comparisons would have to be made if every pixel of one image were compared to every pixel of the other image. This large search field can be quickly reduced. Given the rectification process is correctly calibrated, the data will be constrained to be epipolar in geometric properties. Once constrained, matching points must lie on the epipolar lines e, as detailed in 2.3. This reduces the search space from the entire data set to only the horizontal line (for horizontally separated cameras) currently being computed [Song et al., 2014]. With this reduced sample set,

*Figure 2.3: **Epipolar Geometry** – An example of epipolar lines applied to stereo vision. For a point at any depth from camera C_1, lying on epipolar line E_1, the same point will appear somewhere along line E_2 from perspective C_2 given the images are rectified to align epipolar lines. Image reproduced from [Lazaros et al., 2008]*

pixel-based techniques again become viable. Early pixel based approaches featured Absolute Difference (AD), Squared Differences (SD) and variations of these. Other than pixel-based approaches, area or window-based methods will analyse multiple pixel sub-groups. These are capable of offering higher quality data as each matching process is essentially averaged, making it more resilient to noise. Common implementations for window based algorithms include the Sum of Squared Differences (SSD), Sum of Absolute Differences (SAD), Normalised Correlations (NCC), Rank Transforms (RT) and Census Transforms (CT) [Lazaros et al., 2008]. The region considered is commonly referred to as support or aggregation window and is flexible in shape, that is it can be various shapes that may or may not change during run-time. Since in essence, this approach is an averaging process, it features common pitfalls. The assumption that pixels within the support region have similar disparity values is not always true. For example, pixels near depth discontinuities or edges may be vastly different. Hence appropriate parameters must be selected to ensure useful output. An interesting branch of algorithms, Feature Based Techniques, exists, however, is plagued by lower accuracy. Since these only focus on features extracted from the images and try to match these, they only generate sparse disparity maps. They are however computationally very efficient as they have a significantly smaller sample sizes [Liu et al., 2014]. Details of these individual approaches are outside of the scope of this thesis as it is intended to acquire a product that outputs the disparity map computed.

The next stage of cost aggregation attempts to reduce uncertainties. Since pixel-wise matching cost computation is insufficient for precise matching, a support region is again employed to improve matching accuracy. Similarly, this support region is of flexible nature. Many research efforts have advanced this field (list from [Hamzah and Ibrahim, 2015] page 11), and so the original

Fixed Window (FW) approach is used less often [Hamzah and Ibrahim, 2015]. A summary of the basic approaches can be seen in figure 2.4. The authors of [Fang et al., 2012] performed a

Figure 2.4: **Cost Aggregation Windows** – *Examples of windows to use in the process of stereo matching. (a) is a simplistic $5x5$ window, (b) adaptive window, (c) uses a window with adaptive eights, (d) shows possible adaptive window results. Image reproduced from [Hamzah and Ibrahim, 2015]*

study comparing the use of these techniques and concluded that Adaptive Support Weight (ASW) was most advantageous to use. Essentially it is similar to an Adaptive Window (AW) approach with a flexible window shape, since the weightings of each neighbour are variable. Where other approaches fail to maintain object boundaries (since they are smoothed out), ASW assigns weights based on pixel disparity correlation to the target pixel, resulting in well-maintained edges.

Disparity selection begins to collate all the possibilities accumulated above. A local winner takes all Winner Takes All (WTA) algorithm chooses the disparity with the minimum associated cost. This is a simple $argmin$ function that iterates the discrete possibilities of disparity values. This is a fairly concise and straightforward step that is well defined. A few alternatives such as Markov Random Field (MRF) do exist, but WTA is the most commonly used approach [Hamzah and Ibrahim, 2015]

Refinement of the now populated disparity map now takes place. Here the disparity map is noise filtered (again), and special cases such as occlusion are handled. Hamzah and Ibrahim identify

that this step usually consists of two core parts; regularisation and interpolation. Regularisation is a simple pre-filter that removes inconsistent disparity estimations; primarily points that are considerably different to neighbouring values. Interpolation on the other-hand attempts to fill in disparity values that are missing due to occlusion or unsuitable matching. Amongst attempts used are Gaussian filters and median edge filters such as those extended by Michael et al. [Michael et al., 2013]. These will fill in sections of the disparity map by making probabilistic guesses, primarily based on neighbouring disparity values.

A desired result of this four-step process is a smooth and accurate disparity map that can directly (with known camera parameters) be re-projected to a three-dimensional point cloud. The wide array of choices on how to progress from two cameras to a point cloud make this a non-trivial task to implement, especially because there often exists no clear best approach.

2.3.2 Light Scanning

Light Scanning depth vision systems employ active sensing to determine the topology of a scene. Fundamentally they differ in the sense that in most systems stereo vision is passive; that is it does not emit any radiation (including visible light) itself, it is a passive sensor. Light scanning systems however actively *scan* the field of view with an emitted signal, determining depth by tracking how that signal interacts with the environment. This field can be further divided into two subcategories as detailed below.

Time of Flight Cameras

Understanding the speed of a radiated wave combined with precise timing, in theory, allows measurements of distances following the same principles of bats with sound waves [Kleinschmidt and Magori, 1985]. However due to the high speed of light waves, $1\,\mathrm{mm}$ corresponds to $6.6\,\mathrm{ps}$ in measuring accuracy required, which obviously presents a technological challenge. A solution to this is described in patent [Shyy, 1993], employing Digitally Programmable Delay Generators (DPDG) to very accurately measure time delays using principal properties of resistive-capacitive circuits and a binary search approach. Figure 2.5 shows the fundamental working principle of three dimensional Time of Flight (ToF) cameras. Fundamentally these rely on equation 2.1, stating that the distance to a point d, can be determined by dividing the round-trip time it takes a pulse of light τ multiplied the speed of light c and divided by 2.

$$d = \frac{c\tau}{2} \tag{2.1}$$

Figure 2.5: **Time of Flight camera principles** *– This figure depicts the working principles of a three-dimensional depth sensing camera employing time of flight technology. Differences in phases are measured with regards to the reference sending wave, then using known properties of light speed the distance traveled can be deduced. Figure source: [Lange and Seitz, 2001].*

This allowed for very accurate, sub-millimetre distance measurements and is still employed in modern geo-surveying laser scanners. These devices, however, are only able to sample a single point per operation, and while modern devices use mirrors to scan a three-dimensional scene quickly, this is still challenging to implement in moving robotics and can be very costly. To overcome this limitation, systems capable of simultaneous three-dimensional sensing have been developed, employing smart modulation techniques to distinguish data points with an array of sensors [Lange, 2000], such as depicted in figure 2.5. By carefully measuring the phase-shift of a reflected signal these sensors are able to instantaneously sample an image, similar to a traditional imaging chip. The Kinect sensors(both versions) are excellent examples and are amongst the most employed in robotics and research with many articles published referencing them [Hsu, 2011, El-laithy et al., 2012, Zhang, 2012, Fankhauser et al., 2015, Maykol Pinto et al., 2015, Yang et al., 2015] (it is infeasible to reference them all here). These sensors, however, rely heavily on accurately distinguishing their signal from ambient noise. With the most commonly employed wavelength being infra-red (invisible and harmless to the human eye at low powers), sunlight is a large source of noise, providing many challenges in using such systems outdoors [Fankhauser et al., 2015].

Structured Light cameras

Essentially a version of stereoscopic vision, Structured Light (SL) cameras usually employ a virtual second perspective in the means of a projected known pattern (or structure) of light (visible or invisible to the human eye) onto the scene. Triangulation is used to determine the distances of pixels with information gathered by a binary search approach. Using the trigonometric equation

2.2, it is possible to calculate the camera to point distance R using the angles θ and α which can be determined from the matched pixel locations within an image, and the baseline B.

$$R = B \frac{\sin(\theta)}{\sin(\alpha + \theta)} \tag{2.2}$$

Various coded patterns are projected onto the scene and imaged from a camera in a different perspective. The offset caused by perspective in these patterns is compared and allows for back depth perception to the object with the known distance between the sensor and projector and the perceived pixel offset of the pattern [Posdamer and Altschuler, 1982]. These principles are demonstrated in figure 2.6, with a simple projector-camera set-up. Advances in SL have been

(a) Structure projection   *(b) Triangulation calculation*

*Figure 2.6: **Principles of Structured Light** – This figure demonstrates the principles of generating depth from structured light. a shows how a pattern is projected onto and distorted by an object. b then shows the trigonometry used to determined the object height Z based on the difference distance d given that B is known. Original figures sourced from [Geng, 2011].*

demonstrated in various pattern encodings and processing techniques that can allow for very accurate scene reconstructions. Accuracy is commonly proportional to scene sampling volume, such that a system sampling a $6\,\text{cm} \times 12\,\text{cm} \times 16\,\text{cm}$ volume has an accuracy of less than $100\,\mu\text{m}$ [Hall-Holt and Rusinkiewicz, 2001], whilst a system scanning an area of $0.23\,\text{m}$ has an accuracy of $450\,\mu\text{m}$ [Gernat et al., 2008]. These systems tend to be considerably processing intensive, with the author of second example ([Gernat et al., 2008]) stating it took hundreds of hours of post processing in addition to a full day of collection to build the model. Many modern systems exist that employ structured light for scene capturing and achieve some of the highest accuracies. However, due to the above-discussed limitations, often either the sensor or the scene must remain static. [Hall-Holt and Rusinkiewicz, 2001] demonstrate this with the aforementioned sensor, which is capable of capturing depth information at $60\,\text{Hz}$, but requiring the sensor to remain stationary while the object in the scene could be rotated.

2.3.3 Machine Vision in Mobile Robotics

Applications of machine vision are vast and readily accepted in many major industries [Szeliski, 2010]. In academia, it is a heavily researched and documented topic with many books ([Szeliski, 2010]) providing detailed summaries of algorithms and approaches. While much research and technology are focused on the manufacturing automation industry (as this is a major market), for mobile robotics the implementations are still not as densely distributed. However with such breadth in the types of technology and how to apply them, it was important to review what approaches were being perused, specifically to mobile robot geometry detection. Table 2.1 tries

*Table 2.1: **Geometry Detection Methods Survey** – A non-extensive survey of literature focused on plane and stair detection, with key details extracted and summarised.*

Author	Year	Summary	Approach	RGB/2D/3D[a]	Frame/SLAM[b]	Comments
Maohai	2014	Fast stair metric extraction	Stereo, RGB feature detection, V-Disparity	RGB & 2D	Non-temporal	Trained RGB Classifier, then focuses on ROI with V-Disparity to detect planes, extract height and tread. Bumblebee stereo Camera.
Delmerico	2013	Detect stairs semantically	Kinect, 2D/3D Edge Detection	2D	Temporal	Uses 2D/3D combination. 2D edges, 3D points extracted. Extracts edges every frame, but 'detects' stairs of a registered point cloud over time
Lee	2012	Wearable Staircase Stereo	Haar Features, Ground plane segmentation	RGB & 2D & 3D	Temporal	Uses RGB to detect stairs, many false positives, filters with RANSAC ground plane from stereo and temporal consistency
Osswald	2011	Humanoid Stair Climbing	Lidar, Scanline Grouping	2D	Non-temporal	Uses 2D LIDAR over angles with scan line grouping. Here lines are found in multiple 2D scans, then grouped in 3D, creating a plane.
Holz	2011	Fast Plane Segmentation	RGB-D, 3D Normals, Cluster Segmentation	3D	Non-temporal	Uses RGB-D, Computes normals, clusters and segments based on these. 30Hz
Ben-Tzvi	2010	Plane Segmentation	Lidar, 2D Edge Detection	2D	Temporal	Uses a 2D LIDAR over angles to make 3D. Then makes depth contour maps, edge detection, segmentation of planes
Oniga	2010	Road Detection	Stereo, DEM, Expected Density	2D	Non-temporal	Uses disparity to DEM, then performs various algorithms. Works in 2D and 3D mix. Expected Density difference is a very interesting approach.
Hesch	2010	Descending stairs	2D, Texture, Optical Flow, Lines	RGB	Non-temporal	Uses RGB only to find descending stairs, doesn't extract info.
Hernandez	2010	RGB stairs vanishing point	2D, Vanishing Point, Directional Filter, Lines	RGB	Non-temporal	Uses RGB, very fast, high detection, no extracted detail.
Gutmann	2008	Environment Map Perception	Stereo, Planar Segmentation, Scanline Grouping	2D	Both	Uses plane segmentation to semantically label data and store SLAM.
Michel	2007	GPU RGB Humanoid Stairs	Canny Edge, GPU, Model Projection	RGB	Non-temporal	Uses RGB edges to detect stairs. Fed a lot of assumptions. Fits CAD model of stairs to locate them

[a] Denotes methods employed during processing, not necessarily capturing of data. RGB denotes color information only, 2D denotes depth / disparity images, 3D denotes point clouds.

[b] This describes the time variance of the process. Systems that consider a temporal dimension are said to operate in a time-variant manner and include time as a dimension.

to demonstrate a non-exhaustive list of literature that would be relevant towards this research. It demonstrates the amount of design choice faced when implementing machine vision (in particular geometrical shape detection) into robotics.

Maohai et al. demonstrate an efficient and fast staircase detection process that combined traditional Red Green Blue (RGB) with depth map disparity analysis to achieve promising results [Maohai et al., 2014]. First using a two-dimensional classifier employing a 29-layer cascade involving

655 Haar-like features, the system is able to quickly detect the presence of a staircase with high confidence (in a test set of 311 negative test images, they had zero false positives). Their use of v-disparity then enables them to reduce the three-dimensional plane extraction to a computationally efficient two-dimensional problem capable of extracting three-dimensional features with an accuracy of $2\,\mathrm{mm}$ for close planes.

[Delmerico et al., 2013] demonstrate a different approach, working purely with the depth/disparity information from a Kinect camera. They perform staircase edge detection by specifically looking for discontinuities in the depth data, indicating abrupt geometry changes. Points representing these edges are then used in a three-dimensional manner to fit a plane within a bounding box. This represents the step dimensions with its pitch relative to the ground and the step width. This detection algorithm is capable of operating at $20\,\mathrm{Hz}$, with accuracies of $3°$ in pitch (roughly $2\,\mathrm{cm}$ in step height), however this is performed in post processing and not during collection.

[Lee et al., 2012] employ *temporal consistency* to their stair detection process, an idea that enforces consistency over time in the data collected. For general detection, they too used Haar-like features with cascade classifiers and v-disparity. Running their detection process in post-processing on data gathered from a wearable stereo camera showed promising speeds, but takes advantage of a large and powerful processing machine.

[Osswald et al., 2011a, Osswald et al., 2011b, Maier et al., 2012, Maier et al., 2013, Hornung et al., 2014, Karkowski and Bennewitz, 2016] and their HRL published a lot of research on the topic of relevance, with their humanoid stair climbing being of particular interest. In [Osswald et al., 2011b], the group demonstrate a functional application of laser scanner based staircase traversal for a humanoid robot. Their research, however, does point out the restrictions of employing laser scanners requiring the robot to stand still every two steps and tilt its head to gather a new set of points to analyse. Navigation of complex stair terrain is achieved with the humanoid robot, with their approaches detecting stairs $7\,\mathrm{cm}$ in height with a model error of $0.42\,\mathrm{cm}$.

[Holz et al., 2011] demonstrates a more general focus; plane detection in point clouds. Employing methods to compute surface normals quickly, they perform fast plane segmentation with rates of up to $30\,\mathrm{Hz}$. An interesting feature they employ is cleaning the point cloud once planes have been detected by *pulling* points towards the plane average that probably belong to that plane.

While Holz et al. used an Red Green Blue Depth (RGB-D) camera, [Ben-Tzvi et al., 2010] perform plane segmentation on a mobile tracked robot using a pitch actuated laser range finder, similar to the process of [Osswald et al., 2011a]. Instead of plane fitting, the author uses depth map contours to determine and extract them.

[Oniga and Nedevschi, 2010a, Oniga and Nedevschi, 2010b] introduce more concepts to the solution through the use of Digital Elevation Map (DEM)s in their road detection research. A

DEM significantly reduces the size of a three-dimensional data set by reducing measurements to one vertical reading per configurable area. The authors also employ techniques to increase the density of data in their DEMs taking into account the re-projection angles of the camera viewing the scene. A particularly interesting method employed by the authors is generating a density map, that measures the three-dimensional points per DEM cell. Areas of high density are likely obstacles because multiple readings in the same $x - y$ space indicate a vertical obstacle relative to the camera (such as a wall).

Many of the articles mentioned above focus on ascending stairs, however, [Hesch et al., 2010] concentrate on detecting descending stairwells and labelling them. Without any depth cameras, the authors implement a method that tracks optical flow between frames. Then using this temporal attribute they detect abrupt changes in flow indicating a descending stairwell. They demonstrate this functioning on a tracked robot which first determined the presence of a stairwell and then proceeds to navigate down it.

Reviewing earlier publications, there are more that chose to simply use RGB without depth information, due to computational requirements. [Hernandez and Kang-Hyun, 2010] demonstrates using such technology and vanishing point analysis to locate stairs effectively in outdoor environments. Not being restricted by the infra-red limitations of depth cameras, their methods successfully detect outdoor stairs with an acceptable false positive rate very quickly ($0.08\,\text{s}$). They demonstrate this working with a broad range of stairs, lighting and angles, proving its robustness purely with RGB cameras.

Using a combination of these ideas, [Gutmann et al., 2008] (who later co-authors with HRL, no doubt contributing some of these ideas), demonstrates an impressive solution on a humanoid robot (QRIO). Running on limited processing their approach uses a tri-stereo camera to generate DEM and then intelligently semantically label this with relation to the robot's abilities. Demonstrating this, they show the robot navigating a complex scene, littered with obstacles, stairs, and even a tunnel that have to be navigated as seen in figure 2.7. Of interest in particular was that the robot could distinguish that it would have to enter a different movement mode (crawling) in order to traverse under the table (tunnel).

[Michel et al., 2007] demonstrate using a simple RGB camera, but employ the Graphics Processing Unit (GPU) to improve speed, which also makes their methods more robust to noise from camera shake commonly an issue on bipedal robots. They demonstrate climbing stairs with their large bipedal HRP-2 robot, proving the capability of their methods.

(a) Obstacle course with Nao robot　　　(b) Generated terrain map and path

Figure 2.7: **Humanoid Robot Terrain Mapping** – An example of a humanoid robot (Nao) navigating terrain and semantically labelling them. Images directly sourced from [Gutmann et al., 2008]

Machine Vision in Exoskeletons

While technically a lot of the above is relevant to exoskeleton robotics, it is still of interest to review specific examples of such applications, of which there are only a few. Advances in BMI were demonstrated using a combination of EEG, eye-tracking, and RGB-D cameras to interface a human with an exoskeleton upper limb [Frisoli et al., 2012]. Here the authors use a Kinect camera to detect objects for interaction in front of the patient, then track the patient's gaze to detect which object they wish to interact with, and finally, await the correct brain activity indicating that the patient wishes to carry out interaction. They successfully demonstrated this with a L-Exos, an exoskeleton for an arm that helps guide patients limbs when they can no longer control them [Frisoli et al., 2005]. A different approach is presented that uses machine vision with standard RGB images to determine user intent from facial expressions and control an upper body exoskeleton [Baklouti et al., 2008]. Although their results seem inconclusive, their approach is novel and further strengthens the argument of how broad machine vision applications are.

2.4　Conclusion of Findings

With such a vast array of technology and applications, it is difficult to assert that something has not been explored previously; however, no direct applications of machine vision for path planning have been demonstrated on an exoskeleton that were published at the time of writing. Identifying this unexplored application, and a large amount of research undergone with mobile robotic path planning (especially humanoid) it seemed worthwhile exploring the potential of implementing a machine vision guidance system on a (medical) exoskeleton. End-user applications, such as rehabilitation, will benefit from the increased control such research will lead too.

Chapter 3

Depth Vision and its Applicability to REX

The use of machine vision to extend the ability of devices in both the consumer and manufacturing sector are growing steadily[1]. It has been used in many manufacturing environments to aid automation and is also making in roads into the consumer goods sector with depth enabled devices such as Google's Project Tango[2]. For many of these applications, machine vision provides a straightforward and semi-universal approach to closing a control feedback loop. The sheer amount of applicability and diversity of machine vision as a process monitoring and quality control tool, has lead to many systems and products being redesigned with industry in mind. Instead of tactile sensors on a conveyor belt, now an imaging system can verify not only part count on a production line but also perform a variety of quality control checks. In fact, companies such as Point Grey specialise in providing finely tuned machine vision sensors for applications ranging from industrial automation to medical to security geographic information systems. Being a tightly intertwined discipline of mechatronics, machine vision relies just as much on software as it does on the hardware. Smart, efficient software is critical to making sense of the abundant data transmitted by the hardware, and add value. Many commercial and non-commercial tools have been developed that aid in the post-processing of data gathered by machine vision systems, for example, Matrox or OpenCV. As a result, the industries 'learning curve' to applying these tools has been simplified greatly since its conception.

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[1] Search result hit counts for papers published containing *machine vision* and *applications* show a steady growth over time

[2] An initiative to introduce depth vision to mobile phones by integrating compatibility into Android and developing standard hardware for phone manufacturers to use. `http://get.google.com/tango/`

3.1   Co-existing with the REX

The focus of this research was not to develop just another vision system, but one functional with medical exoskeletons, more specifically the REX platform. As shown in figure 2.7b, the REX device is a bipedal medical device, capable of supporting a human in the standing position that can no longer do so themselves. It is roughly $1.3\,\mathrm{m}$ in height (adjustable per patient), $0.645\,\mathrm{m}$ in width and $0.66\,\mathrm{m}$ in depth, and weighs around $50\,\mathrm{kg}$ with battery. Patients must be between $1.42\,\mathrm{m}$ to $1.93\,\mathrm{m}$ in height and between $40\,\mathrm{kg}$ to $100\,\mathrm{kg}$ in weight to use the device. Mechanically the device is powered by 10 actuators over 6 joints. Powered by a battery the device can move forward at a speed of $0.0347\,\mathrm{m/sec}$, and can do so for $1\,\mathrm{h}$ straight. Primarily the device is used by clinicians to help humans recover the use, or maintain the health of their lower body after an accident or disease which prevents them from doing so themselves.

In order develop a compatible vision system, right from the beginning, certain aspects had to be considered when making decisions. They are:

1. Functionality

    (a) Able to detect the immediate surroundings geometrically

    (b) Function in real-time, with speeds relative to REX's speeds

    (c) Detect ground plane level shifts, such as curb-ways

    (d) Extending the above, detect stairways and extract important metrics

    (e) Not negatively impact the REX's performance

2. Aesthetics

    (a) Small enough to integrate without causing obstructions

    (b) Ability to function from a aesthetically pleasing location

3. User Interaction

    (a) Be safe to use, unable to cause harm to occupant, whilst

    (b) Presenting useful information to non-technical end-users and

    (c) Give choices that are easy to understand

Whilst some of these items do not need any expansion, such as item ground-plane detection (1c), some items such as patient safety (3a) caused a lot of discussion throughout the research. Being in the medical industry places a lot of pressure and restrictions upon devices developed, something

that is not inherently apparent. For the team developing the REX, patient safety (3a) is often a major influence. It is for example why the device walks as it does, with an inhuman gait, so that at any instant if power is cut, the device is able to stand stable. This also becomes a consideration when programming such a device, where failure modes become less apparent. To adhere to these strict regulations to which the device is qualified, any additions must also do so, or not tamper with those in place. By considering the two systems disconnected, that is the REX platform does not process any of the vision information and vice versa, and placing human decision-making at the boundary of interaction, this issue can be mitigated. By not allowing the vision system to make any actions, it is adhering to patient safety (3a) by placing it in the patients' own hands.

3.2 Hardware and Software Technology Selection

Depth vision, as a subfield of machine vision, also looks to follow the same path of development. Split across three main core technologies; stereo, time of flight, structured light, there is, however, no universal approach to implementing and applying depth vision. The usage restrictions and sub-variables of each core technology only expands the already complex choice of suitable hardware for a machine vision task. Inverse to this, the selection of depth sensors available is severely dwarfed by that of two-dimensional cameras. This selection is further dissected into research and commercial categories, of which very few fall into the latter. Three-dimensional processing software is also an important aspect and is discussed below.

3.2.1 Vision Hardware Selection for the REX

Unlike two-dimensional systems, the choices when looking for three-dimensional sensors is very restricted. Arguably as a result of the infancy of applications within large industries, there simply has not been enough demand for three-dimensional vision. This fact is evident when scoping a potential device for use within a commercial product such as the REX platform. Table 3.1 presents a summary of depth sensors. While not an exhaustive list, this represents the majority of sensors currently[3] available to an end-user. To provide a comparison, a two-dimensional depth sensing scanner has been included. Prices are included to relate back to commercial viability. Note that cameras such as the Kinect v2 or the R200 are successors of older generation models not included in the table. The application very much dictates the camera choice, at least in the sense of core technology. As identified in the literature review, the different technologies behave very differently circumstantially. Figure 3.1 shows three key performance metrics for depth cameras that are often considered to match the application. There yet exists no universal technology that

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[3]List sourced in Q1 2016

Table 3.1: **Depth Camera Hardware Summary** – *A non-exhaustive list of depth sensing technology commercially available*

Camera	Supplier	Price (USD)	Technology	Resolution (px)
ZED	StereoLabs	$449	Stereo Vision	1920x1080
R200	Intel	$99	Combination	640x480
Duo MLX	Duo3D Division	$695	Stereo Vision	752x480
R12	Raytrix		Stereo Array	3 MP
Structure Sensor	Occipital	$379	Structured Light	640x480
SentisToF - M100	Bluetechnix	$830	ToF	160x120
Kinect v2	Microsoft	$200	ToF	512x424
URG-04LX-UG01	Hokuyo	$1,140	Laser 2D	0.36 deg

is able to perform in all metrics equally, although the MC3D claims this [Matsuda et al., 2015]. As the state-of-the-art is advanced, these axes extend and so it is also unlikely that a universal solution will ever exist, making the task of selecting a suitable sensor a constant one. Review of recent literature[Matsuda et al., 2015, Gupta et al., 2013, Taguchi et al., 2012, Fankhauser et al., 2015] focused on characterising depth cameras reveals roughly where each technology lies on this graph.

Time of flight systems that employ laser scanning are very accurate. However, the slow sampling rate and high cost (while not included on this graph, still relevant) limit the applications they are of use in. Laser scanner systems are very light independent due to their unique signal encoded into a powerful laser beam, making them very reliable and accurate. However since laser scanners operate on a points-per-second sampling rate, they are generally slow to sample a full three-dimensional field of view. Well suited for the manufacturing industry, they perform their best when mounted in a fixed location and scanning only a few preset points, such as dimensions of a part on a conveyor belt. On a moving platform, such as the REX, laser scanners rely on accurate localisation and or inertial measurement units to correct for the origin movement of the sensor relative to its static surroundings, adding complexity to the system. Laser scanners in themselves are already incredibly complex, and this is reflected in their pricing. The URG-04LX-UG01 (shown in table 3.1 and figure 3.2) is an example of a budget sensor, capable only of sampling a single two-dimensional plane, and yet is still the most expensive sensor on the table. This demonstrates the enormous cost involved with the complex mechanical optics of a laser scanner based system, with highly accurate land survey scanners costing closer to 50,000 USD [4]. Size and weight are more attributes laser scanners do not traditionally excel in. These were important factors to consider for the REX platform, being a consumer device, where aesthetics are often more important than functionality. When considering a sensor for the REX platform, laser scanners had to be dismissed from consideration, due to many of the reasons explained before.

[4]Faro Focus 3D X 330 Scanner

*Figure 3.1: **Depth Sensor trade-offs** – A visual representation of the compromises faced when choosing a depth sensor. Here the $[x, y, z]$ axis represent the **acquisition speed**, **resolution**, and **light resilience** of the technologies respectively. Some examples are labelled based off the publishings of Matsuda et al. [Matsuda et al., 2015]. The Stereo vision example purely shows that while not the best at any, stereo is configurable, and can hence achieve a balance.*

*Figure 3.2: **URG-04LX-UG01 Laser Scanner** – An entry level laser scanner seen in many robotics research applications due to its small size and relatively low cost. Image source: [Unknown, 2016]*

Modern time of flight systems employing phase patterns projected in infra-red to sample an entire frame at once have overcome the sampling rate issue but cannot maintain the accuracy and light independence of laser scanners. These sensors display their strength under controlled lighting, where they can produce low noise, high frame-rate reconstructions of the three-dimensional world. Playing less of a role in the industrial scene, these sensors are emerging in the consumer sector. Their low price point is arguably the major driver behind this and makes this sensor category perfect for consideration for the REX platform. Conversely, the reduced performance in certain lighting scenarios challenges suitability for the REX platform. As explained in the review of

this technology (section 2.3.2), their dependence on active infra-red as a signal medium deeply affects their performance under sunlight. The closed loop feedback depth system is arguably most important outdoors, where the terrain encountered is less human-made and hence less predictable. In this category, there were two key choices: Kinect v2 and the SentisToF M100. From Microsoft, the Kinect v2 is arguably one of the most mainstream depth sensors on the market, after its predecessor the Kinect v1. An example of a scene recorded with the Kinect v2 comparison to other sensors in figure 4.4d. While this sensor produces very low noise data at great ranges and accuracy, unfortunately as with the laser scanners, aesthetics take prescient over functionality. It is the largest of the cameras considered, and requires a large external power adapter due to its high consumption. Designed for use in the home-entertainment sector, size and manoeuvrability did not play a large role in the design of the camera. For these reasons the Kinect v2 was disregarded from selection. The SentisToF M100 camera is an impressive, small form factor time of flight camera, shown in figure 3.3b. Measuring $50\,\text{mm} \times 55\,\text{mm} \times 36\,\text{mm}$ this sensor was well suited

(a) Kinect v2	*(b) Sentis ToF M100*

*Figure 3.3: **Commercial Time of Flight Cameras** – A sample of two commercially available time-of-flight based cameras. Bluetechnix's small time of flight sensor, the Sentis ToF M100, and the Kinect v2 from Microsoft, which is a very commonly used research camera. Image Sources: [Microsoft, 2014, Bluetechnix, 2016]*

for the REX dimensionally. With a sensor frame size of $160\,\text{px} \times 120\,\text{px}$, the camera lacks high resolution but still has enough to be of use at short to medium range. Although the SentisToF M100 is a contender for the REX platform, due to its higher cost and reliance on active infra-red illumination, this camera was not acquired for development.

Structured light was the core technology relied upon by the original Kinect sensor. Characteristics of structured light sensors are well understood by the research community through extensive characterisation[Zanuttigh et al., 2016]. Often used for ground truth measurements, in very well controlled environments, this depth sensing technology is capable of very accurate scene reconstructions. However to achieve such accuracy, many restrictions have to be enforced; otherwise, performance suffers severely. Again relying heavily on active infra-red illumination, and sometimes even visible light illumination, the scene lighting must be consistently controlled.

Some commercially available sensors exist for a more general purpose use, similar to the Kinect v1, however, their data was often rather noisy in outdoor environments. For the most part, this disqualified these sensors from consideration for the REX platform. The Structure sensor from Occipital is a small consumer based sensor, but lacks the accuracy to be considered here. Covered later, the Intel R200 partially relies on structured light to sense depth, and a sample of its output can be seen in figure 4.4c.

Unlike the previous technologies, stereo vision is usually considered a passive sensor, being that it does not emit any signals of its own. Uncoupling the sensor from a dependence on light signals of its own, reduces the overpowering effect of the sun in outdoor environments, making stereo vision systems well suited here. Commercial stereo vision systems are not the most common, however, due to their customisation requirements. It is hard for a single product to capture the market, such as the Kinect did, rather end users choose two or more two-dimensional cameras and construct their own stereo system. This places stereo vision outside of reach for many companies who lack the internal expertise to do so. The ZED, a depth camera

(a) ZED   *(b) DUO MLX*

Figure 3.4: **ZED & Duo Stereo Cameras** – *Images of the two stereo cameras considered in this research. Image Sources: [Stereolabs, 2016, Code Laboratories, 2016]*

from Stereolabs, aims at bridging this gap and is depicted in figure 3.4a. With a baseline of $120\,\mathrm{mm}$, the camera is able to detect disparities across a large range from $0.7\,\mathrm{m}$ to $20\,\mathrm{m}$. The minimum range of $0.7\,\mathrm{m}$ is not ideal for REX platform, limiting it's close proximity collision detection. As explained in section 2.3.1, to maintain accuracy at large ranges, each camera on the ZED is capable of capturing $2208\,\mathrm{px} \times 1242\,\mathrm{px}$. While obviously increasing accuracy, the extra computational requirement (with roughly nine times more data points over a traditional $640\,\mathrm{px} \times 480\,\mathrm{px}$ image) needs to be considered. A comparative sample of the ZED's output can also be seen in figure 4.4b. The baseline forces the ZED to be longer in one dimension, resulting in a size of $175\,\mathrm{mm} \times 30\,\mathrm{mm} \times 33\,\mathrm{mm}$, which is still acceptable for integrating on the REX platform. Requiring only a single USB3 interface cable made the ZED additionally easy to integrate wherever required upon the platform. Another stereo camera acquired for testing was

the DUO MLX from Code Laboratories (shown in figure 3.4b). This stereo camera uses active infrared to help in low-light environments, however, comes at the cost of it being more susceptible to noise introduced by sunlight. It is however sized at only $52\,\text{mm} \times 25\,\text{mm} \times 13\,\text{mm}$, making it very easy to potentially have multiple cameras (front and rear-facing) on the REX platform. A baseline of $30\,\text{mm}$ combined with a sensor frame resolution of $752\,\text{px} \times 480\,\text{px}$ give it an effective range of $0.25\,\text{m}$ to $2.5\,\text{m}$. Besides this sensor uses global shutters capable of frame rates as high as $360\,\text{Hz}$ (at a lower resolution), making it very well suited for visual odometry (a topic covered later), especially combined with its internal six degrees of freedom inertial measurement unit included. Like with the ZED, the DUO MLX does not require an external power source other than the USB2 cable for communications.

While these three core technologies define most depth sensors, there exist a few sensors that do not easily fit into these categories. Intel's R200 is such a sensor, combining both infra-red based structured light with passive stereo to generate it's depth maps this presents a novel approach. While this sensor performs a lot better in uncontrolled environments than previous sensors, especially given its small form factor of only $130\,\text{mm} \times 20\,\text{mm} \times 7\,\text{mm}$, it was not chosen for integration with the REX platform at this time point. It could however easily be considered at a later time point. Another such combination of technologies is the research device codenamed *MC3D*, developed at Northwestern University Evanston, Illinois. How this device overcomes some of the challenges facing conventional depth cameras is detailed in their article, however as it is not available for purchase and not easy to reconstruct, it too was not employed in the scope of this research [Matsuda et al., 2015].

3.2.2 Depth Vision Software

As with most forms of sensors, it is simply not enough to gather the data with hardware. Software to make sense of the data streams arguably become even more crucial with depth vision systems, due to the sheer quantity of information contained. As a quick example of this, images are often $24\,\text{bits}$ per pixel, $8\,\text{bits}$ per colour channel. In addition to this, depth data contains x-y-z co-ordinates usually stored as double precision floating points, an extra bits per pixel/point. Not only is there a far larger quantity of data, but it is also harder to display and communicate for human interaction and analysis, being that most human interface devices operate on a three-dimensional principal. This fundamental limitation in how we interact with technology is a key focus of many researchers, but no widely accepted commercial method exists for interacting with three-dimensional data natively. For this reason and the purpose of automation, it is important that the implementation developed can analyse the depth information directly and only present the end-user with simple choices. However, just as with depth vision hardware, software capable of working with depth data is far from being as refined and developed as that designed to operate

with conventional two-dimensional colour data. OpenCV is a large open-source software library (or set of libraries) designed for image and video processing. There exist also closed source software libraries and programs that enable researchers and industry to quickly and easily work with two-dimensional information such as Matrox or VisionWorks by Nvidia. In the three-dimensional space, however, there exist far fewer native options. Point Cloud Library (PCL), the three-dimensional equivalent of OpenCV is a much smaller project, with a lot less industry involvement. OpenCV has nearly 20 000 commits over roughly 600 contributors, whilst PCL only has half as many commits with roughly 250 contributors and far fewer forks[5]. While in the commercial space many applications exist for geo-surveyor data from laser scanners, there is nothing universally suited.

There are many potential reasons for this lack of software support, however, major influences arguably are a lack of sufficient processing power on current and semi-current systems and the sheer complexity of analytics possible in three-dimensional data. To overcome these limitations, depth information is often encoded into two-dimensional images as a depth map; an image (usually black and white) where the grey-scale represents the depth of that pixel. This enables traditional software, such as OpenCV and all of its algorithms, to operate with depth information. Currently, this is still the main method for interacting with depth information, as obvious when looking at the research being published 2.1. Often this compromise is sufficient for obstacle detection and avoidance, but in order to interact with obstacles in three-dimensional space, one must work with the data in this space. To achieve this, often the raw depth streams (inherently captured from the camera as a two-dimensional image) are first filtered with various algorithms, and only Region(s) of Interest (ROI) are extracted and converted to complete three-dimensional space.

The operating system to employ for this research also was not a clear cut choice. Linux being the obvious choice, did come with some downsides concerning software capability. Due to the nature of low-level code, usually compiled directly to machine code, it is generally not operating system traversable. An example of where this becomes an issue was with products such as the R200 or Kinect v2. Here Intel and Microsoft developed proprietary drivers that would ensure smooth functionality of their products, but only released these for Windows-based operating systems. Usually, only a matter of time until the open-source community (heavily involved in academia) develops equivalent drivers and support for these products, but they sometimes can never match the performance due to a lack of low-level access to the device.

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[5]Statistics gathered from public GitHub repositories https://github.com/opencv/opencv and https://github.com/PointCloudLibrary/pcl on 17/09/2016

3.2.3 Processing Hardware Centred on Depth Vision

Less crucial than finding the right camera or algorithms, the hardware to perform the calculations on is often overlooked in a research domain. Often their processing power consumption and availability are not as restricted as on a commercial robotic platform. Modern processing has enabled ever lower power devices to exist, but when it comes to working with masses of data, these devices often choke. The REX platform contains a lithium-ion power source, mainly used to drive the actuators, which would also power any processing hardware. Being a mobile consumer product a continuous consideration for them is end-user battery life, and any additional drain shortens this. Space for the processing unit to reside is also very limited on the REX. Especially with the aim of investigating integration with the REX platform, it was critical that any work developed, would function with these resource restraints. Space, power and processing requirements pointed towards requiring a Single Board PC (SBC) rather than a modular computing solution. System on Chip (SoC) solutions have also considered, but are these are often targeted at higher volume products that can afford the overhead of PCB design around a SoC. There are many contenders in the space of SBC, especially aided by the *maker* revolution, where the hobbyist market has become large enough to interest manufacturers of these boards. This has resulted in many reasonably priced, well documented and supported, powerful SBC such as the Raspberry Pi. The Raspberry Pi 3 features a $1.2\,\text{GHz}$ quad-core 64-bit ARMv8 Central Processing Unit (CPU) and $1\,\text{GB}$ of Random Access Memory (RAM). They also contain all the peripherals and Input / Output (IO) required for quick development and simple integration, such as network, display, Human interface device (HID), and storage. Other devices such as the ODROID or BeagleBoard offer similar performance and all for a similar price of roughly 30 USD to 60 USD. Those devices are all based on the Advanced Reduced Instruction Set Computing (RISC) Machine (ARM) architecture, imposing cross-compatibility restrictions for software. Luckily ARM is becoming more mainstream, mostly in response to the mobile phone and tablet market, meaning much software has either been ported or re-written to be compatible. Most, but not all, parts of OpenCV and PCL are compilable on ARM systems, meaning this was not a limiting factor for decision making. Often however when combining non-mainstream devices (such as depth cameras), with non-mainstream architecture, device drivers are not developed or lack functionality, making this a more significant concern. In these cases SBC units such as the Osprey from VersaLogic provide an alternative. While usually costing more, these boards use Intel's range of Atom processors, again providing a different compatibility set.

Stereo matching algorithms, in particular, must perform thousands of operations to calculate the disparity of each pixel, and these can easily be implemented in parallel. This fact opens up a new type of SBC or SoC; namely one that implements massively parallel processing, usually by employing a GPU. Largely motivated by academic research into accelerating machine vision[Fung and Mann, 2005], many common algorithms are being implemented using GPU

architecture, meaning some of OpenCV's and PCL's functionality can be executed on the GPU already. Machine learning has also played a role in this domain, further spurring integration of GPUs into embedded devices. Nvidia is a company that has been especially focused on this domain, with the automotive industry being a massive incentive for them. The Tegra series of SoCs from Nvidia are specifically targeted at this market, and already found in many auto-mobiles. Aimed at the industry of automated robotics and employing the Tegra core, the Jetson series were a perfect potential solution for this research. Being a complete SBC with an ARM CPU coupled to a powerful Tegra GPU and featuring a wide variety of IOs this board had it all. The latest

	JETSON TX1
GPU	1 TFLOP/s 256-core Maxwell
CPU	64-bit ARM A57 CPUs
Memory	4 GB LPDDR4 | 25.6 GB/s
Storage	16 GB eMMC
Wifi/BT	802.11 2x2 ac/BT Ready
Networking	1 Gigabit Ethernet
Size	50mm x 87mm
Interface	400 pin board-to-board connector

Figure 3.5: **Jetson TX1 Module** *– Nvidia's SBC, the Jetson TX1, aimed at the automotive industry. On the right a brief summary of specifications. Sources: [Nvidia, 2016]*

revision, the Jetson TX1 (depicted in figure 3.5), was chosen due to its powerful combination of processors, whilst costing $500\,\mathrm{USD}$ for a development kit, and less for the actual SBC. Also worth mentioning, the Software Development Kit (SDK) available for the Jetson TX1 makes developing machine vision applications a quick process. To make efficient use of the GPU core, a full Linux based image with appropriate drivers is maintained by Nvidia. Combining this with its footprint of only $50\,\mathrm{mm} \times 87\,\mathrm{mm}$ and typically under $12\,\mathrm{W}$ of power consumption under max load, this was the ideal choice.

3.2.4 Decision Validation and Testing

With sufficient understanding of the available technology in relation to vision hardware, software, and processing hardware, conclusions were met and the suiting equipment was acquired. The following items purchased:

1. ZED stereo high-resolution camera

2. DUO MLX stereo infra-red camera

3. Nvidia Jetson TX1 embedded GPU SBC

4. Day hire of Faro S120 Laser scanner

Once the equipment arrived some initial classification and testing was done to establish what working with them would entail. This will determine the *ease-of-use* of the products, involving setting up the software environments for the cameras to produce data, and for the Jetson TX1 to boot and be operational. First the cameras were tested with a Windows operating system, but then quickly migrated to the Jetson TX1 as this was crucial for further development.

Chapter 4

Extracting Raw Data

To start characterising the sensors, a fair comparison of data quality was an obvious first step. This proved to reveal a lot about using the cameras that was not apparent from their respective datasheets. Being commercial cameras sold with software development kits and support, these products were advertised as functional out of the box. The extent of this functionality had to be tested.

4.1 Camera Calibration

Stereo calibration is a critical task to stereo vision, and much academic work has gone into developing these techniques. Both cameras support fairly standard calibration, and store this data on the camera, while also being factory calibrated. Initial testing of ranges however quickly showed that the Duo MLX was consistently misjudging depth, pointing towards a calibration error. After contacting Code Laboratories, it was discovered that in fact the calibration process employed and supported for the Duo MLX was not adept at handling wide angle lenses, which were fitted. After pointing out this flaw to the Code Laboratories team, much time was spent trying to re-calibrate the device using a generic OpenCV approach rather than the provided package. OpenCV includes a library that is focused around performing these tasks, `calib3d`, which helped a lot in speeding up the process. Eventually, a suitable calibration was able to be conducted, and figure 4.1 shows the gathering of samples. These correlated circular patterns are used to calculate camera intrinsic and extrinsic properties such as principal points, focal lengths, distortion and projection matrices.

The calibration preloaded onto the ZED was of acceptable accuracy, and it did not require re-calibration. It is worth noting that while putting more time into calibration can guarantee more

*Figure 4.1: **Stereo Calibration Sample** – An OpenCV based calibration program for the DUO MLX with fish-eye lenses*

accurate results, the purpose of this research was to use consumer products and developing/refining calibration techniques was outside of the scope of this work.

4.2   Reading Depth

Since both cameras came with SDKs, it was necessary to familiarise with how these functioned, and how to extract depth data from the devices. Also because it was intended to let the camera manufacturers device driver do the depth calculations and not use a common open source solution, the accuracy of these also needed to be tested. Within Windows, it was simple to install the relevant SDK, connect the camera and launch their viewing application to view a depth stream (for example figure 4.2). A flat board with checker patterns affixed (to give the board texture and to measure lateral error) was used and the Duo MLX was placed at distances of $300\,\text{mm}$ and $600\,\text{mm}$ distance from the board. The dashboard for interacting with the Duo MLX and viewing the depth stream (shown in figure 4.2) exposes many options for fine tuning both the camera and the process of generating depth. It was not feasible to measure the effect of each setting on the depth error since one would have had to check for cross-correlation and there would have been hundreds of combinations. Before adjusting the calibration as explained above, the depth values were consistently short of the actual distance. At $300\,\text{mm}$, over 23 samples gathered, the mean distance recorded was $255\,\text{mm}$, giving an axial error of $45\,\text{mm}$. Laterally the checker patterns measured $50.0\,\text{mm}$, but the camera mean over the same samples was $46.6\,\text{mm}$, giving an error of $3.4\,\text{mm}$. Repeated at $600\,\text{mm}$, the axial error rose considerably to $142\,\text{mm}$, and the lateral error rose to $7.7\,\text{mm}$. It quickly became apparent that the camera was not functioning properly, as

*Figure 4.2: **Duo Dashboard GUI** – Shows the user interface for operating the DUO MLX Camera. The upper images are the raw infra-red filtered images, while the lower section shows a coloured depth map. On the right are options to customise the data output generation parameters.*

these errors grew proportional to distance as if the answers were being scaled incorrectly, which lead to the before mentioned investigation of calibration methods revealing the fish-eye lens issue.

The challenge of getting valid depth information out of the Duo MLX did not, however, stop at calibration. It is important here to note the chronological order of events. The Duo MLX was received first, then testing revealed calibration errors. Much time passed with no solution, a calibration program was developed using OpenCV, however, this could not update the calibration stored on the camera (due to propriety access). The Flowchart shown in figure 4.3 demonstrates the process used to accomplish this. Hence the included Duo software library responsible for generating depth could not be used. Meanwhile, Code Laboratories had been made aware of the problem, but not provided a solution. To not completely stall progress, a depth calculation program was developed, again relying on OpenCV's libraries. This was a lengthy and cumbersome process, and roughly as it was completed, Code Laboratories released an updated firmware and SDK that addressed the issues faced. Due to all these issues and to reduce the overhead and learning curve required to use the Duo MLX, a middle-layer was developed that made using the camera more 'plug and play' compatible[2]. The software library also allowed image rectification using OpenCV as opposed to Duo's closed source methods. This combined the OpenCV calibration work shown in figure 4.3, with an easier interface to working with the Duo. It also enabled users to perform the rectification with OpenCV's open-source libraries as opposed to Duo's. This was

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[2]Available at `https://github.com/nznobody/duo_wrapper`, however, development has ceased

Figure 4.3: **Duo OpenCV Calibration Flowchart** *– By using the features of OpenCV, a calibration program was developed* [1] *that follows this process to calculate the parameters required to un-distort the images. This prepares them for stereo matching algorithms.*

an important feature to enable because the Duo libraries, whilst supporting multi-threading, did not support using the GPU to perform the rectification or disparity calculations. Being able to carry out these tasks on the GPU made a tremendous difference (roughly a 20 times performance boost in image rectification, demonstrated with the afore mentioned wrapper software) in system resources available to the user, and was the whole intention behind purchasing the Jetson TX1.

Now reading depth values with relative accuracy (as seen in table 4.1), a large discrepancy still existed in re-projecting the depth values to x and y co-ordinates (seen in table 4.1). This is a

| (a) Duo MLX | (b) ZED | (c) Realsense R200 | (d) Kinect v2 |

Figure 4.4: **Comparing Stereo Re-projections** *– Re-projected point clouds from each camera of the same box and same view point*

process that carefully depends on the calibration of the camera, indicating that there were still fundamental issues with the device. Figure 4.4a helps demonstrate why even though the distances may read correct, an accurate re-projection is critical to re-creating a scene that is of use once the viewpoint is rotated. The challenges faced calibrating and re-projecting with the Duo began to consume too much time, and so development focus was shifted to the ZED. This frustrating experience is an indication of what working with *fringe* technology can be like.

Unlike the software included with the Duo, ZED's depth viewer was rather simplistic concerning settings. Figure 4.5 depicts the interface supplied. It did not expose the core settings associated with stereo disparity calculations such as `WindowSize` or `PreFilterCap`. There are three

Figure 4.5: **ZED Depth Explorer GUI** – *Shows the user interface for operating the ZED Camera. The upper left shows raw colour images, lower left shows grey-scale depth-map and on the right a rotatable point cloud projection.*

main view-panels; side-by-side raw video, depth video, and a manipulatable point cloud viewer. The Graphical User Interface (GUI) allows for exports of any of the data formats (image, depth, point cloud). Configurable settings are resolution and frame-rate of the camera, and a quality or performance mode for the depth generation, and a confidence threshold. The confidence threshold in particular was an important setting that changed the output considerably. As detailed in the literature review (section 2.3.1), quality of a match is an important measure of confidence. However, this results in flat non-unique planes scoring low confidence measures, such that if the data were filtered to exclude low-confidence values, the ground would often be excluded. Tuning this detail is detailed in depth when ground plane extraction is discussed, since it affected this the most. Switching to the ZED required the Jetson TX1 to be set up, because unlike the Duo, the ZED did not have an option for CPU disparity calculations and required a discrete GPU. Since stereo calculations have to be performed on every pixel individually, this vast quantity would bottleneck on a CPU. On the Jetson TX1 the ZED is able to display a depth stream at 1080p resolution in real time, whilst mostly processing on the GPU. Table 4.1 demonstrates the minimum operational range of the ZED. Under $1000\,\mathrm{mm}$, the ZED struggles to capture the disparity, because the large baseline results in very different views of the object. However, over this range the ZED quickly gains accuracy and is able to re-project depth information into meaningful point clouds as seen in figure 4.4b.

Table 4.1: ***Camera Absolute Axial Error at Different Ranges*** *– A brief comparison of camera performance in comparable scenarios*

Distance (mm)	Duo		Zed		R200[a]	
	Abs Axial Error (mm)	Std. Dev. (mm)	Abs Axial Error (mm)	Std. Dev. (mm)	Abs Axial Error (mm)	Std. Dev. (mm)
500			285[b]			
600	5	10.5				
800	45	15				
1000	11	15	18	12.5	45	4
1500	45	27	18	24.7	26	14.5
2000	155	32	6	10		

The cameras were placed looking at a white board with a textured pattern applied. Depth samples of the board were extracted, mean and the standard deviation was calculated. The absolute axial error is the difference between expected distance and actual, not to be mistaken for axial noise, which is the variability in data representing a flat plane. The board angle and perpendicularity was calibrated with a Hokuyo laser scanner. This data is only supposed to represent the relative performance of the cameras.

[a] The R200 is only included here as a brief comparison of a differing technology type
[b] Since this was below the minimum range of the ZED, the data was barely recognizable as a plane, and a estimated figure was extracted by hand. Purely included to demonstrate the ZED's range limitations.

4.3 Coordinate Systems and Ground Planes

Information is arduous to comprehend without a relative measure and context. With depth information, one of the most important aspects to consider is the coordinate frame being used. For depth data re-projected to a point cloud, a three-dimensional Cartesian coordinate system is employed. If such a system is employed, any position in three-dimensional space can be uniquely identified by the signed distance to three mutually perpendicular planes (or equivalent)[Korn and Korn, 2000]. An important point of consideration is the origin point where all three planes cross, as this is the zero point, and how they are aligned relative to the world. The Duo and ZED both follow the right-handed rule[3] for defining their axis in a Cartesian manner. They do not, however, follow the same orientation; with the Duo having negative z aligned perpendicularly in front of the camera, positive x to the right and positive y upwards. The ZED, on the other hand, has positive z aligned perpendicularly in front of the camera positive x to the right and positive y downwards. To enable consistency and compatibility, when extracting point clouds from these cameras, these coordinate frames were transformed to align with those set out by the ROS Enhancement Proposal (REP)103[4]. This states that relative to the object x should face forward, y to the left and z upwards. Equally as important as the camera's location in Cartesian space, is the camera's orientation, defining its rotational alignment. Rotations in three dimensions contain much ambiguity unless properly defined. Whilst defining them here is outside of the

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[3] Assigning axis based on the direction your right thumb, index and middle finger point when mutually perpendicularly aligned
[4] Sourced from `http://www.ros.org/reps/rep-0103.html`

scope, it will be noted that the most commonly applied method in this work are using quaternions[5]

4.3.1 Aligning with the Ground Plane

With the data in a known coordinate frame, the next step was to place this frame relative to something larger. Given the purpose of navigating surrounding terrain, it was important to extract the height of this terrain, in particular, relative to the ground plane. The camera had to be mounted in a location that allowed its perspective to produce useful information. Since the ZED performed better at ranges above one meter, this had to be considerably high off the ground, preferably with a not too steep and not too shallow angle relative to the ground. Working with the REX platform, this meant the most likely location for mounting was the around the hip height at an angle of roughly $45°$ downwards. As the REX is a moving robotic platform and has its own tolerances, this angle cannot be assumed to be constant and valid for the ground plane. To accurately map the height of surrounding terrain it was critical to properly determine the camera's angle to the ground plane, otherwise as the camera moved away from the origin, the ground plane would appear to shift vertically. It became apparent that this angle calibration process would be an important step to address whilst building elevation maps with the depth data. During testing, the camera was mounted on a tripod at a similar height and angle as it would be on the REX. In the early stages, the camera coordinate frame was was defined at a set hight and pitch rotation as described in figure 4.6 by h and θ.

Figure 4.6: ***Coordinates Frames in use*** *– Camera coordinate frame (x'-y'-z') is defined relative to the ground coordinate frame (z-y-z). The camera (denoted by grey cube) can be thought of at height **h**, facing along **y'**. **y'** is rotated from **p** through angle **θ**, which represents a pitch rotation.*

Statically transforming the camera frame to the ground plane frame like this however quickly revealed its limitations. Careful attention had to be given to ensure rotation in the yaw and roll

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[5]Originally defined by W. Hamilton in the 19th century, the theory behind quaternions is covered in this book[Kuipers, 1999] and the mathematics involved in transforming these to and from various other notations, including Euler angles, is covered in this article[Diebel, 2006]

axis was $0°$, otherwise, the ground plane would appear to shift as described above. This was practically impossible to achieve in the test environment, let alone once mounted on the REX, giving rise to the requirement for a dynamic ground plane calibration process.

Determining the ground plane in computer vision is a common challenge and has had significant research efforts [Vaz and Ventura, 2015, Lin et al., 2010, Zhao et al., 2007, Pears and Liang, 2001]. Being a special case of generalised plane detection, relevant research in this area such as [Schnabel et al., 2007] who describe using Random Sample Consensus (RANSAC) algorithms for generic shape detection or [Yang and Förstner, 2010] who apply this directly to plane detection in point cloud data on stairs. Following the methods described (in particular [Vaz and Ventura, 2015]),

*Figure 4.7: **Ground Calibration Process** – Flow graph of the calibration process run at start-up that calibrates the ZED depth camera to the ground and the REX*

figure 4.7 depicts the operational flow implemented in a ROS node named `rex_ground_calib`. Details of working with ROS are described in chapter 5, and will not be detailed here. The operational flow begins on launch and waits for new incoming point cloud messages from the camera. These messages are timestamped and contain the name of the coordinate frame they are represented in. Once received PCL's RANSAC fitting algorithm is used to find the largest plane within the point cloud, outputting the coefficients describing the plane as $ax + by + cz + d = 0$. Understanding this equation represents a normal vector $\vec{n}(a, b, c)$ to the plane, at a distance d from the origin gives rise to a method of transforming into the planes coordinate frame. Then a vector $\vec{h}(a', b', c')$ is determined where $a' = -d \times a, b' = -d \times b, c' = -d \times c$ (given $\vec{n}$ is a unit vector) that begins at the origin of the camera coordinate frame and takes the shortest path to the plane (is perpendicular to the plane). Next, we must calculate the rotation that transforms $\vec{h}$ to align with the $-z$ axis of the camera coordinate frame. Using quaternions this is a matter of using vector cross products to determine the axis of rotation and vector dot products to determine

the angle between the two vectors.

$$q = \cos\frac{\theta}{2} + \boldsymbol{i}\sin\frac{\theta}{2}v_x + \boldsymbol{j}\sin\frac{\theta}{2}v_y + \boldsymbol{k}\sin\frac{\theta}{2}v_z \qquad (4.1)$$

Equation 4.1 demonstrates how quaternion q can be defined given a vector $\vec{v}(x, y, z)$ and angle θ. To provide a better calibration, multiple quaternions are gathered over multiple input point cloud samples. Once a sufficient sample size is acquired, it is required to average these, a process defined by Markley[Markley et al., 2007]. Markley's approach is simplified based on a method employed in the game engine sector [6]. Since the transform of coordinate frames depends on both orientation and translation, the length of $\vec{h}$ samples is averaged and taken as the z axis offset. With the transform between the ground plane frame and the camera frame fully defined, it is published via ROS's framework. This allows all future point clouds published in the camera frame to be translated to the ground plane frame. To adhere to ROS's notation guidelines the ground plane frame is called the `odom` frame. Additionally, as the framework was already in place, this node published the offset between the ZED Camera and the root of the REX exoskeleton. This was configurable via ROS parameters encase the mounting point of the ZED changed.

4.4 Camera Motion and Odometry

Two approaches exist in computer vision; time-invariant and time-variant processes. In general, a process is time-invariant if it is outcomes do not explicitly depend on time, that is at any given moment a set input will produce an identical output. Mathematically speaking $y(t) = x(t)$ is time-invariant whilst $y(t) = x(t) + x(t-1)$ is time-variant. The meaning behind this in the context of computer vision is that of systems that operate frame by frame, or those that consider previous frames (the past) as well. To give a contextual example may be that of a visual collision avoidance system that analyses input from a camera and determines if a collision is likely. A time-invariant system would look at a single frame, and attempt to determine if the object is too close or on a collision course. A time-variant system, however, would try to track that object over a series of frames, allowing it to extract more detail about the object such as it's velocity vector. Another way of describing a time variant system is one that has a temporal dimension to consider. A survey of literature focused on plane and stair detection was conducted to understand these merits, presented in table 2.1. Although not an extensive survey, it provides an insight to approaches being pursued. There is no clear 'correct' solution, and both have their merits worth considering, which is supported by the diversity presented in this table.

Understanding the objectives helped determine that a system employed by the REX platform would benefit from considering the time dimension, that is being time-variant or temporal in

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[6]`http://wiki.unity3d.com/index.php/Averaging_Quaternions_and_Vectors`

functionality. To understand this, consider the task of navigating a passage sideways, such as the REX passing through a door frame. Constructing a system that can close the feedback loop and advise if the next motion is safe can consider two options: install enough cameras that a continuous $360°$ image can be reconstructed of the REX's surroundings *or* store the information seen on approach, then track relative movement once rotated sideways. Remembering that there is no 'correct' method, it again comes down to weighing the merits of each and deciding based on these. Relating these merits back to the design considerations defined in chapter 3 provides reasoning to the decision process. For example, it becomes apparent that multiple cameras could be aesthetically unappealing and also demand too much processing power. For the REX platform, a temporal solution would be required to meet the objectives adequately whilst also adopting the design considerations.

Knowing that the system would consider information from the past, determined that a system tracking the motion of the camera would be required. Information from the past can only be considered in the current frame, if a transform, t) is known that relates the two frames and places them relatively in all considered dimensions ($t = [x, y, z, i, j, k, w, t]$ where x, y, z are positional transforms, i, j, k, w rotational and t a time transform). Tracking the transformation in time is a trivial task, within a given accuracy the computers clock can achieve this. However relating the two frame's transformations in three-dimensional space is non-trivial, and is the topic of much research, especially in machine vision (refer to table). Two key approaches exist to this task: absolute and relative tracking. Examples of absolute positioning such as Global Positiong System (GPS) or cellular triangulation are relative in the sense that they place the target relative to some other object, but are considered to be absolute as they do not relate the current position to the previous one. Relative tracking technologies, such as an Inertial Measurement Unit (IMU), on the other-hand, are temporal systems that track changes in position over time and can determine position by integrating these temporal changes. Whilst many modern mobile platforms employ GPS, this was not an option for the REX; GPS doesn't function well indoors and is only accurate to $5\,\mathrm{m}$. The Duo camera included a 6 axis IMU, however, the issue with integrating motion data to gain position is that over time error accumulates and so the position is said to drift. Visual odometry has proved its worth in the recent years, being employed successfully on the Mars exploration rovers[Maimone et al., 2007]. Such a system is both time-variant and time-invariant, in that the movement calculated depends on data in the past, but most modern systems will generate identical absolute positions when presented with an identical scene. Visual odometry, in essence, tracks the apparent motion of key features within successive images through optical flow and assumes they are for the most part stationary, giving rise to the fact the camera has moved. Modern implementations also employ a form of loop-closure, where key features are tracked in a database and when seen again, the system knows it has returned to a previous location, removing drift effect over large loops. Visual odometry systems will still produce drift over large distances that do not feature loop closures, such as a straight line, due to calibration and approximation

errors causing scaling errors.

Initially, visual odometry was attempted with the Duo camera, because it had global shutter sensors. The issues with using rolling shutters for visual odometry are explained in these articles[Li et al., 2013, Lovegrove et al., 2013], whilst also solutions are offered. However, since a global shutter stereo camera was already available, its use was investigated with various visual odometry implementations: RTABMap[Labbé and Michaud, 2014], ORB-SLAM[Mur-Artal et al., 2015], and LSD-SLAM[Engel et al., 2014]. These researchers all made their implementations open-source with the majority of code hosted on GitHub. Compiling these and building a functional pipeline on the Jetson TX1 was a pretty straight forward task. While the original code was not necessarily compatible with the ARM platform, most were presented as CMake projects. CMake is a cross-platform open-source collection of tools for building code natively[7]. This made it easy to adjust compilation options and update certain snippets to add compatibility. ORB-SLAM functioned best, with a real-time solution possible, even though the GPU was un-utilised. Had development carried on with these packages, an extension would have been to port compatible sections of the algorithm onto the GPU to free up the CPU. Again, the issues of incorrect image rectification caused the Duo to lose accuracy in motion, rendering it of no use.

Conveniently around this time-frame an update to the SDK for the ZED added built-in visual odometry. Being implemented within their closed-source driver, the workings of this could not be investigated, but it functioned well. An interesting trade-off was discovered here; the visual odometry system functioned better when the camera was operating at a higher frame-rate and resolution. It can be speculated that Code Laboratories employ a method similar to those published, which rely heavily on feature tracking within RGB images. So the larger the resolution, the easier it is to track unique features. Also, the faster the frame-rate, the lower the approximation errors of integrating are due to approximating the motion between frames to be linear. However re-projecting the images to point-clouds at a high frame-rate and resolution consumed the majority of resources and so a trade-off had to be made. Since their ROS compatible driver was open-source [8], it was possible to extend this framework. This gave way to developing a flexible framework that in essence operated at two separate frame-rates, grabbing the raw images and performing visual odometry at a high rate, whilst then down-sampling and publishing the point clouds at a lower rate. A few minor improvements and bug fixes were made as part of this work and pulled into the main source tree, but the main functionality was not integrated due to time constraints.

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[7]`https://cmake.org/`
[8]`https://github.com/stereolabs/zed-ros-wrapper`

4.4.1 Odometry Fusion

Visual odometry functions well with a motion that is effectively linear between samples (frames grabbed). This is not the case for erratic motion, with fast accelerations (such as changes in trajectory) on the Jetson TX1, because with limited processing power the sampling rate was $15\,Hz$. IMUs, on the other hand, can easily operate at a much higher rate with lower processing requirements. The Duo's IMU can operate at $100\,Hz$, reducing the integration error component introduced when estimating pose and orientation, however as mentioned they are susceptible to drift. Recent research[Leutenegger et al., 2015] on tightly integrating visual odometry with that of an IMU has proven to reduce error build up. The article identifies that the combination of information rich pictures with accurate short term estimations of an IMU complement each other very effectively. Combining the two is not a simple task of averaging, since as previously mentioned, they are fundamentally different. With visual odometry generally reporting absolute positions, and IMU reporting velocity information, these data formats are not directly compatible. One approach is to differentiate the visual odometry readings into velocities, combine both in the velocity domain (by considering sensor covariances to do a weighted average), then re-integrate the combined result to a position. Due to time constraints, this topic was abandoned, and sensor fusion was never implemented but the open-source implementation of[Leutenegger et al., 2015] is hosted on GitHub[9].

4.5 Combining Solutions

Having sufficiently explored the depth producing capabilities of the two cameras, development had to move forwards. A ROS framework was developed and tested that reliably produced point clouds in the camera frame, but also provided a transform to a fixed `odom` frame. The ZED stereo camera provided both the point clouds and the transform between frames by tracking motion with visual odometry. Allowing flexible mounting, a calibration routine would ensure the information was aligned with the ground plane.

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[9]`https://github.com/ethz-asl/okvis`

Chapter 5

Implementing with Robot Operating System

The beauty in open-source methodology is it allows an individual to work with and contribute to a project much larger than themselves. ROS is an example of such a project, originating out of Stanford University, but becoming a large worldwide collaboration of work. Fundamentally ROS consists of software that implements *best practice* for robotics applications. Adopted by Willow Garage in 2007, it has been developed to provide a universal approach to implementing robotic applications. Although other similar frameworks exist, such as YARP[1], ROS has a large active community distributed across many universities and industry alike. Often when developing a system from the ground up, one is faced with recreating common systems to support the actual task at hand, especially in software. ROS's core task is to reduce this duplication of work, and instead enable an advanced configurable system to be used by many. Since this research would cover many areas of robotics and require them to work efficiently together on something referred to as a *pipeline*, ROS was an obvious key development tool. ROS Consists of three core features as described in the subsequent sections.

5.1 Filesystem Management

ROS considerably simplifies the distribution of software packages by defining a set of standards that must be adhered to for compilation. This allows users to download and compile distributed works on their local machine with little difficulty, providing they have a standard ROS setup.

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[1]Yet Another Robot Platform, `http://www.yarp.it/`

Valid ROS packages must contain formatted package manifests[2], which are used to compile the source, if required (some packages do not require compilation as they are descriptive only). Following the methodology of Linux, most common ROS packages can be simply installed via the package management system, e.g. `apt-get install ros-kinetic-PACKAGE`. A non-exhaustive list of available packages is maintained [3], the source code for these and others is most commonly hosted on GitHub. As a build system ROS supports `rosbuild` or the `catkin` systems, both of which employ the `cmake` build system described earlier. These manage a workspace on the development machine and ensure project dependencies are met across ROS packages when compiling, by feeding information to the `cmake` system. For example building an entire set of packages being developed within a workspace requires calling `catkin build` from within the workspace, all changed packages (and their dependencies) will be checked for changes and rebuilt if any are found. Utilising this ability, software development in this area was split into two workspaces: `core_ws` and `rex_ws`. The first contained mostly dependencies that required little or no editing from the source code provided, while the latter contained projects written for this research and forks of open source software that were adapted to be compatible. While not strictly speaking a ROS standard, all works developed were version controlled utilising GitHub and are selectively publicly available: `https://github.com/nznobody` (some content was kept private due to commercial sensitivity).

5.2   Computation Graph

At its core ROS contains a messaging framework, providing a standard, extensible method for processes to exchange information. When developing larger systems, these pipelines inevitably become non-linear, that is information does not simply flow from one end to the other. It becomes complex to manage the flow and synchronisation of information within a single machine or across a distributed architecture. The publisher/subscriber paradigm of message handling in computer code is a well-established practice for managing this complexity. First we must explain nodes in

*Figure 5.1: **ROS Pipeline Demonstration** – A simple ROS pipeline example: keyboard commands from one node control the turtle in another*

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[2]As with many ROS standards, they are defined in REPs. The package manifest is defined here: `http://www.ros.org/reps/rep-0127.html`

[3]`http://www.ros.org/browse/list.php`

the context of ROS: In essence, they are processes that perform a task. These could be compiled code or a script, but they all integrate the ROS client library native to them, for example, roscpp with C++ code. A master node is responsible for acting as a central register and lookup to enable inter-node communication, commonly referred to as `ros_core`. Contained within this master, is a parameter server that simplifies the process of configuring pipelines, allowing a central storage of parameters by lookup name. Understanding these principles, the need for inter-node messaging can easily be demonstrated, as depicted in figure 5.1, showing a nodegraph exported from ROS's `rqt_graph`. It demonstrates the flow of information (keyboard commands) from node `teleop_turtle` to node `turtlesim` via the message topic `/turtle1/cmd_vel`, resulting in turtle movement (shown on the right). This simple example is the building block of much more complex systems ranging many nodes with hundreds of message topics potentially across distributed locations and networks. As messages are a reactive system, the ROS concept of services are proactive, in that an action or response can be instigated when requested by a node. True to the universal solution nature, both messages and services are completely customisable by creating definition files. A large selection of standard messages and services does exist that should be considered to promote a universally compatible system.

ROS provides an extensive collection of tools for analysing, debugging and logging development, such as the node graphs generated in figure 5.1. Some of the key programs and their features are:

roscore The core process, known as the master. All nodes must be able to communicate with the master as it manages topic registration and variable storage.

rosrun Is used to launch nodes in the correct environment and attempt to establish contact with the master.

roslaunch A customisable approach to rosrun. Reads a description file and can from this launch many nodes with pre-set settings, names and outputs.

rviz The primary method for displaying any visual output, such as images, point clouds, maps or pose information. Can also be used to publish messages that interact with this data.

rosnode A method for getting information about running nodes and optionally terminating nodes.

rostopic Can query and list all topics, displaying their content, bandwidth and frequency. Can also publish messages.

rqt_gui A GUI for a collection of utilities such as the above. Can be used to inspect the node graphs, message topography, transform frames and pretty much any aspect of an operating ROS system.

rosbag A utility for recording and playing back messages. Can record all or a subset of published messages and at a later time play them back, at varying speeds and with pausing. An

incredibly useful tool.

The above list is far from being exhaustive, and there are many user created tools that help development. Functional detail will not be described in this text, as it is already well documented and not feasible to summarise effectively.

5.3   The Community

Perhaps the most important aspect of ROS, is the sharing of knowledge about a common platform. Because it is used by many developers, there is a very lively and active community built around the software, willing to help. This is an invaluable resource that can make an apparently steep learning curve relatively easy to master. The community maintains the large list of packages mentioned afore, but also takes part in active peer helping via their questions and answers site `http://answers.ros.org/`. Peer reviewing of issues and solving them was a substantial assistance in the implementation of this research. The ROSWiki is also very well maintained and documents a lot of the packages available with examples and tutorials. Continuous improvement of the core functionality is seen through releases of new distributions, and there is a fundamental revision to ROS2 in the works [4].

5.4   ROS and the REX

A part of integrating the REX into the ROS pipeline was to create a model of the REX for visualisation and potentially collision detection at a later stage. Within ROS there exist multiple avenues for creating models, ranging from simple static shapes to detailed dynamic models imported from 3D files. Originally a part of ROS, Gazebo [5] is focused on simulating robotics. It is able to test algorithms and configurations in simulated environments quickly. However this is what it focuses on, and for that reason, it was decided not to employ Gazebo, as it was too focused on simulations and not working with real environments. Instead the native ROS framework, *xacro*[6], was used to build and simulate a dynamic model. *Xacro* defines a XML macro language, and enables more complex native *urdf*[7] to be written with ease. *Urdf* is the basis of most ROS models and can be natively displayed in **rviz**. A simplified model of the REX was created, based of maximum collision bounding rectangles and is shown in figure 5.2. This was created from the code attached in appendix source code listing 3. Consisting of a hip bounding shape, an upper

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[4] Still in early development, but publicly open-sourced at `https://github.com/ros2`
[5] `http://gazebosim.org/`
[6] `http://wiki.ros.org/xacro`
[7] `http://wiki.ros.org/urdf`

Figure 5.2: **REX Model in ROS** – *Model of REX robot made with ROS's xacro framework and dynamically simulated with an application written to mimic real movement maps.*

leg section, a lower leg section and a foot per side this model is also able to simulate the joints between these parts as demonstrated in the right-hand image. Within ROS it is standard to get sensor information that would be able to determine joint angles and update the model. While theoretically possible, the interface to extract this information in the desired form from the REX would have been very cumbersome to implement because the REX only reports actuator lengths, not direct joint angles. Instead, a ROS node was written that could publish the expected joint angles for a specific movement map, simulating this sensory feedback loop. The angles were extracted from the *V-REX* (virtual REX movement simulator) and stored in configuration files that could be loaded by the joint state simulating node; *rex_model*. This compromise was acceptable for immediate testing as the actual angles did not differ much from the simulated ones, however ultimately to manage dynamic terrain (not yet possible with the REX in general), a proper closed loop feedback system would be needed.

The completed ROS pipeline graph involves 16 nodes that intercommunicate with messages and services. Shown in figure 5.3a, this clearly demonstrates the modularity of working with ROS. Since frame transforms play a vital part in relating all these nodes, figure 5.3b shows the entire transform tree of the pipeline. A sample ROS program is also included in the appendices (code listing 1 and 2 in appendix VI) that demonstrates the basic functionality of such a program. It is the rex_interface program, that was responsible for interfacing the REX with the mapping solutions.

(a) ROS Node Graph

Figure 5.3: **Complete ROS Graphs of the system** *– System overview of both the nodes and transforms used in the final implementation of this research*

(b) ROS Transform frame graph

Figure 5.3: **Complete ROS Graphs of the system** – *System overview of both the nodes and transforms used in the final implementation of this research*

Chapter 6

Elevation Maps

When faced with masses of information, it is key to reduce this to a more useful summary. This was a challenge confronted with the point clouds received from the cameras because there was simply too much data to work with the raw clouds in real time. To navigate this issue, key concepts were combined from the papers reviewed (table 2.1). Many of these researchers operated with two-dimensional data such as depth images, however as earlier discussed it was decided to operate in three-dimensional space for this research. A lot could still be learned and assimilated from their workings, to enable efficient and effective processing of the point clouds.

6.1 Working with Point Clouds Efficiently

Oniga used a method of converting their disparity maps to DEMs, allowing a change of perspective when analysing a two-dimensional image[Oniga and Nedevschi, 2010a, Oniga and Nedevschi, 2010b]. The value in this approach when operating in 3 dimensions is from reducing memory management complexity for storing point clouds. First, one must understand the storage of point clouds in memory, of which there are two main implementations (at least within PCL): organised and unorganised. The difference stems from the method of capture; with point clouds from cameras that perform re-projection producing organised point clouds, and other sources generally producing un-organised clouds. In memory, organised point clouds are stored as a two-dimensional array of points $\boldsymbol{p} = [x, y, z]$ and in unorganised these are a single long one-dimensional array of points. When re-projecting from a two-dimensional disparity image, neighbouring points are naturally also spatially close, so storing them close in memory has advantages. Algorithms that operate on neighbourhoods of points (such as normal calculations[1]),

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[1] http://pointclouds.org/documentation/tutorials/normal_estimation_using_integral_images.php

use this storage technique to speed up the search process for neighbouring points, with the priori that they will be located close in memory. In an unorganised point cloud, this can be a lengthy operation as the algorithm must search the entire memory array because there is no guarantee that they are allocated by spatial proximity. This knowledge was used when performing the RANSAC plane detection in section 4.3.1 on an organised point cloud with PCL. Location lookups are still inefficient in both memory structures because the memory location does not directly map to a point's spatial location, making processes such as collision detection slow.

6.1.1 Octrees

Octrees are a memory organisation technique (not just for point clouds) that help address this, enabling quicker processing of spatial algorithms. Conceptualised in 1980 by Meagher, this process of memory organisation recursively subdivides memory organisation into octant sectors, gaining granularity at each level. Figure 6.1 visually explains the recursive nature. It can be

*Figure 6.1: **Octree Representation** – Visual representation of octree memory and spatial layout. Source: [WhiteTimberwolf, 2010].*

seen that if one wishes to query a particular spatial location, there is a fixed lookup length, regardless of location that is purely dependent on granularity (resolution) desired. There exist several well-developed libraries for working with octrees both within PCL and as standalone[2]. Similar research into humanoid robot navigation using machine vision successfully used an implementation of octrees to manage their point clouds[Maier et al., 2012]. Octrees, however, express an important limitation: they must be spatially of a pre-defined finite size, which directly relates to the memory space required to achieve a certain accuracy. For confined application, this is no issue, but the REX is a platform that must move with its user, and the operational confines

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[2]OctoMap is an example: `https://octomap.github.io/`

are not known in advance. Presented with this knowledge a potential workaround would be to rebuild the tree when the robot approaches an edge of the current extents, but this can be a very costly process and is non-ideal.

6.1.2 Gridmapping

An alternate method to octrees is to reduce the complexity of the point cloud to a 2.5 dimensional level. Fankhauser et al. perform significant work in building a framework that operates efficiently in this domain[Fankhauser and Hutter, 2016]. While octree representation of point clouds maintains full three-dimensional compatibility, this work limits each $[x, y]$ location to only have a single z height. It can be imagined as a stack of images, where each pixel location represents an $[x, y]$ spatial location, and the value of the pixel stores a parameter about that location. Their implementation, named *Grid Map*, is made available open source at `https://github.com/ethz-asl/grid_map` and was of great use to this research. Each grid map layer can store a type of information and is organised in memory so that a predefined sized grid map can move without requiring a complete rebuild. To achieve this, the point cloud is compartmentalised into a grid of a chosen resolution, with the method of resolving duplicate points within one grid cell being user defined. Because elevation information is critical for navigation, it becomes evident that when merging points that co-exist in one $[x, y]$ cell (such as points vertically up a wall section) they should be merged into the highest point, as this represents the height of an obstacle in that location. Storing these in a two-dimensional memory array makes looking up a particular location trivial, and finding neighbouring values equally efficient. Limiting each two-dimensional location to a single value, might appear to be working in two-dimensional space, but allowing grids to be stacked, allows for multiple values separated by key for each location. For example, one grid map could store the maximum elevation detected whilst another could store the minimum, allowing a pseudo three-dimensional appearance. Extending upon this idea Fankhauser et al. implement an efficient method for map relocating, allowing the boundaries to be relocated, without reallocating the underlying data. This functionality makes it perfect for working with mobile robots that need to navigate terrain in an unconfined space. One is able to define a relatively small map around the robot origin, that moves with the robot as it does, representing just enough information to be computationally efficient but effectively describe the immediate surroundings for navigation. A grid size of $25\,\text{mm}$ (or sometimes $50\,\text{mm}$ for performance) was chosen with a map size of $6\,\text{m} \times 6\,\text{m}$ and the robot located in the middle, giving $3\,\text{m}$ of obstacle detection in each direction. When the robot pose is updated via the visual odometry pipeline described in section 4.4, the map boundaries maintain a $3\,\text{m}$ offset from the new location. Information no longer within the boundaries is forgotten and new area contained is filled with data from the camera if available or set to unknown if not.

6.2 Simultaneous Localization and Mapping

Simultaneous Localisation and Mapping (SLAM) is a key concept to enabling effective use of depth vision. As discussed in section 4.4, data will need to be gathered over time and be stored to enable an effective system. Conveniently grid maps are well suited to this and Fankhauser et al. provide a framework (called *elevation_mapping*) built around ROS and *grid_map* capable of efficiently performing this[Fankhauser et al., 2014]. In SLAM there are a few important concepts to consider that Fankhauser et al. address. Defining the transforms between frames is one of these, as described in section 4.4, whilst another is that of fusing consecutive point clouds into one, where information overlaps. Here the authors introduce a concept of fusing overlapping information based on the variances of each data point (the old and the new). To understand this key feature we must examine their algorithm for performing these updates:

$$\hat{h}^+ = \frac{\sigma_p^2 \hat{h}^- + \hat{\sigma}_h^{2-} \tilde{p}}{\sigma_p^2 + \hat{\sigma}_h^{2-}}, \hat{\sigma}_h^{2+} = \frac{\hat{\sigma}_h^{2-} \sigma_p^2}{\hat{\sigma}_h^{2-} + \sigma_p^2} \tag{6.1}$$

In this equation new height measurements $(\tilde{p}, \sigma_p^2)$ are fused with the existing estimation $(\hat{h}, \hat{\sigma}_h^2)$, where $-$ superscripts denote values prior to the update and $+$ denotes post update. More mathematical insight is given in the original article, but it is important to understand that the variance (σ^2) plays a significant role in the weighting of new readings in this implementation of a Kalman filter. The author employed a pre-filter to handle data points that are significantly different based on the Mahalanobis distance [Mahalanobis, 1936]. This pre-filter selects whether the $\hat{h}$ and $\tilde{p}$ should be merged as described in equation 6.1 or ignored whilst increasing the variance by a pre-defined amount. Aimed at helping handle cells which have multi-height readings, such as walls, or cells that have outliers and moving objects, this effectively delays the merging of values that are too different until enough cycles have passed that the variance has grown to consider the new outlier.

The use of variance proved to be non-trivial when implementing this with a stereo depth camera since working models defining this property had not been implemented. For the Kinect and Kinect v2 articles[Fankhauser et al., 2015, Nguyen et al., 2012] have in-depth explored the sensor variance and defined a mathematical model that is capable of describing it. The open-source code supporting this work [Fankhauser et al., 2014] included sensor pre-processors that were configurable to match the sensor employed. These preprocessors existed for the following sensor models:

Perfect This model was for ground truth data, setting the height variance to 0.

Laser Estimates normal and lateral variances in sensor frame and transforms them to a single height variance. The normal noise is based on an experimental constant whilst the lateral

noise is a linear equation with experimental constants multiplied by distance. Based on the workings detailed in [Pomerleau et al., 2012].

Kinect Also estimates normal and lateral variances first in the sensor frame and then transforms them to a single height variance. Uses experimental constants in linear equations for both factors. Taken from [Nguyen et al., 2012].

Stereo There existed a stereo model based on the workings of Hannes Keller of ETH Zurich, but these were never published and cannot be found on the public domain. To this extent his algorithm has been extracted from the code and reproduced in equations 6.2 and 6.3 for normal σ_n^2 and lateral variance σ_l^2:

$$\sigma_n^2 = \left(\frac{D}{d^2}\right)^2 \left((P_5 d + P_2)\sqrt{(P_3 d + P_4 - j)^2 + (R - i)^2} + P_1\right) \tag{6.2}$$

$$\sigma_l^2 = (Ll)^2 \tag{6.3}$$

where P_{1-5} are constants related to re-projection, D is the depth to disparity factor, d is the disparity (reverse calculated from point's z value), i and j are the row a column the original point was re-projected from in the disparity image, R is the amount of rows in the original image, L is the lateral noise factor and l is the distance of the original point from the sensor (calculated from vector length of point). Without the original material, it is hard to decipher the original intent of equation 6.2. It is possible to speculate that (σ_n^2) is proportional to the initial pixel distance from the image centre (The square-root component in eq. 6.2), since these depend on row and column information. This comes as no surprise as many articles dating back to the conception days of stereo re-projection have identified the error caused by quantisation [Freundlich et al., 2015, Rodriguez and Aggarwal, 1990, Solina, 1985, Mcvey and Lee, 1982], which is related to pixel-position on the sensor.

Experimenting with these preprocessors and the ZED camera revealed that they had little to no effect or undesirable effects. However using the perfect filter also had the undesired effect of not correctly updating scene changes, such as a person walking through the field of view. This would leave artefacts on the map that would not be resolved in a reasonable period of time. In an attempt to improve the data merging, the following sensor models were added:

Simplified Stereo Because the exact nature of parameters P_{1-5} could not be determined in 6.2, that sensor pre-processor could not be employed (attempting to empirically determine them proved unsuccessful). A simplified model was created that closely bound the variance to l, the distance of the point from the sensor, as this seemed to be a predominant factor in most literature. Based of derivations performed by [Rodriguez and Aggarwal, 1990], it is stated

that the maximum normal error is:

$$e_{n_{max}} = \frac{-z^2 \Delta d}{bf + z \Delta d} \tag{6.4}$$

$$\sigma_n^2 = \left(\frac{e_{n_{max}}}{4} \right)^2 \tag{6.5}$$

where b is the baseline of the stereo rig, f is the focal length and Δd is the disparity error. Δd can range from $-\delta$ to δ, where δ is the image sampling resolution (distance between pixels on the sensor chip), therefore $\Delta d = 2\delta$. The lateral noise was approximated as in equation 6.3.

Basic A simplistic model (in terms of computation and parameter definition) was also tested. This model directly calculated the height variance as:

$$\hat{\sigma}_h^2 = e_m + e_p l \tag{6.6}$$

where the constants e_m and e_p are estimated based of calibration points and line fitting.

Ultimately the **simplified stereo** filter was employed, however it remained hard to see large improvements over the **perfect** filter. The ineffectiveness of these was especially apparent when the camera was panned from side to side. Data points that lay at the edges of the field of view exhibited substantial errors and would leave artefacts in a smooth surface (as seen in figure 6.2; the sharp lines on the smooth floor as the camera moved forwards, roughly $50\,\mathrm{mm}$ in height). This behaviour supported the intention of equation 6.2, which includes the location in the camera's field of view in error estimation. Prior to calculating these noise models, the data was pre-processed to both clean and reduce CPU time required. Employing predominantly PCL pass-through filters (C++ classes for acting on all points in a cloud), first the cloud was distance filtered, that is any points below a minimum range and above a maximum range from the camera (in the camera's frame) were removed. Next, the cloud is downsampled, to a user configurable density, significantly reducing the number of points to work with whilst maintaining the accuracy of a higher resolution image in terms of stereo quantisation. Finally, a statistical outlier removal also traverses all remaining points, ensuring they have sufficient neighbouring points within a given radius, otherwise removing these stray points. All parameters are user configurable via the ROS parameter server framework and stages can easily be disabled. A combination of these filters (predominantly the down-sampling), reduced the clouds to contain only around $20\,\%$ of their original points, making subsequent operation significantly faster.

By combining the pre-processing with the simplified stereo filter, the resulting DEM was smoothed and required less processing than originally, increasing refresh rates. With this pipeline implemented the system is capable of producing an elevation grid map which can be visualised in *rviz*

as a point cloud (or surface) as demonstrated in figure 6.2.

*Figure 6.2: **Elevation Mapping output** – Demonstrating the elevation mapping pipeline output. The image in the top left is that seen by the camera, whilst the main image is a 3 dimensional reconstruction of this. The co-ordinate frames demonstrate the map origin (odom), the robot's origin (initial_frame), and the current location (zed_tracked_frame).*

Chapter 7

Traversability Maps

With consistent elevation information extracted from the camera's depth clouds, it was possible to begin analysing what this information could reveal for the REX platform. As discussed in section 3.1, where the design considerations of a device that would benefit the REX are investigated; masses of elevation information would be of no benefit to the end user. For the platform at hand, the main concern is traversability: is it safe and possible to take a step in the desired direction? To address this, mobile robotic systems often create traversability maps based on a variety of sensory information [Pradeep et al., 2010, Fankhauser et al., 2014, Karkowski and Bennewitz, 2016]. These maps can then be used directly to query a certain movement or a series of movements that when combined form a path between points.

7.1 Traversing with the REX

Since *traversable* is relative to the vehicle trying to navigate the terrain it was unlikely to find a solution that would generate accurate maps for the REX. However as we were working with the ROS platform, there existed a lot of open source solutions that would easily be adjusted to suit, saving a considerable amount of time. Again a member of ETH Zurich had provided their solution to traversability mapping open source on GitHub [1], with its functionality explained in [Wermelinger et al., 2016]. Their framework was forked into a local application named *rex_traversability* and adapted for the REX platform. Written by the same research group as the *grid mapping* project, these elevation maps were directly compatible. The first customisation was the footprint of the robot, as this understandably greatly effects the map output. REX's footprint here is described as a rectangular polygon, $200\,\mathrm{mm} \times 400\,\mathrm{mm}$ in size, however, a simplified circular model is also provided with a radius of $300\,\mathrm{mm}$. Testing indicated that this model might

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[1] `https://github.com/ethz-asl/traversability_estimation`

need extending later on as whilst the feet occupied only the size give, the upper robot occupied more, especially when swaying during movement. One could not, however, increase the actual footprint, as this would prevent the robot from determining stairs as traversable.

7.1.1 Traversability Filters

In order to determine traversability, we must investigate what makes terrain un-traversable. Heavily dependent on drive system configuration, this has no universal solution, however there are a few standard terrain metrics that most systems depend on. Wermelinger et al. address this in a universal manner, by implementing a *filter* based system with configurable parameters that produce a standard uniform traversability metric. These filters can be configured depending on the vehicle, as that their outputs are scaled relative to what is and is not acceptable for that platform. Utilising the systems developed for ROS to filter data in an efficient manner, the following filters process DEMs and can be stacked to form a chain of filters:

Step For humanoid robots there exists a height limit that is considered as traversable, usually higher than on a wheeled or tracked robot, due to the kinematics of the limb joints. This filter was crucial for the desired research outcome of investigating stair climbing on medical exoskeletons. Mathematically the filter attempts to determine the maximum height difference between cells, but filters these values as to provide some resilience against noise. In essence the filter iterates through every cell twice, using some defined parameters, to determine first the maximum height difference in an area, and then if enough cells qualify as step-cells in the vicinity. This approach ensure that a singular noisy height reading does not result in non-traversable terrain and is detailed in pseudo algorithm 1.

Slope Similar to the the step height, slope tolerances depend a lot on the platform navigating the terrain. The REX ankle joint is capable of pitch and roll rotations however the platform as a whole must always remain stable encase of power loss. The slope the feet are placed at plays a large role in stability, since in general the device should not be operated on slopes larger than a few degrees. Here the traversability is simply computed from a comparison of the normal angle at that cell against a configurable critical slope value as shown in pseudo algorithm 2.

Surface Normals Used not directly to observe traversability, but instead for many other filters, this property uses a search radius to estimate the average surface normal within the area. The mathematics behind this involve plane fitting and is performed by solving for a set of eigenvectors and eigenvalues, as shown in pseudo algorithm 3.

Roughness In order to maintain traction and a stable footing the surface must also be relatively flat. To judge this, a roughness filter will classify the elevation map based on the unevenness

of the surface. Again this filter employs surface normals to estimate an average plane, and then computes the distance between this plane to every point within a search radius. This distance is root-mean-squared and used as an indicator or roughness as explained in pseudo algorithm 4

Algorithm 1 Step Filter

Require: $critStep$ ▷ Critical step height parameter
Require: $critCount$ ▷ Critical cell count parameter
Require: $r1, r2$ ▷ 1$^{\text{st}}$ and 2$^{\text{nd}}$ search radius
 1: **procedure** UPDATE(GridMap M)   ▷ First cycle
 2:   **for each** Cell $c \in M$ **do**
 3:    $minHeight \leftarrow 0$
 4:    $maxHeight \leftarrow 0$
 5:    **for each** Cell d within radius $r1$ of c **do**
 6:     **if** $d.height > maxHeight$ **then**
 7:      $maxHeight \leftarrow d.height$
 8:     **else if** $d.height < minHeight$ **then**
 9:      $minHeight \leftarrow d.height$
10:    **end for each**
11:    $c.step \leftarrow maxHeight - minHeight$
12:   **end for each**
13:   **for each** Cell $c \in M$ **do**   ▷ Second cycle
14:    $maxStep \leftarrow 0$
15:    $nCritical \leftarrow 0$
16:    **for each** Cell d within radius $r2$ of c **do**
17:     **if** $d.step > maxStep$ **then**
18:      $maxStep \leftarrow d.step$
19:     **if** $d.step > critStep$ **then**
20:      $nCritical + +$
21:    **end for each**
22:    $maxStep \leftarrow argMin(maxStep, \frac{nCritical}{critCount} maxStep)$
23:    **if** $maxStep < critStep$ **then**
24:     $c.traversability \leftarrow 1 - \frac{maxStep}{critStep}$
25:    **else**
26:     $c.traversability \leftarrow 0$
27:   **end for each**

Algorithm 2 Slope Filter

Require: $critSlope$ ▷ Critical slope angle
1: **procedure** UPDATE(GridMap M)
2:     **for each** Cell $c \in M$ **do**
3:        $slope \leftarrow acos(c.surface\_normal\_z)$
4:        **if** $slope < critSlope$ **then**
5:           $c.traversability \leftarrow 1 - \frac{slope}{critSlope}$
6:        **else**
7:           $c.traversability \leftarrow 0$
8:     **end for each**

Algorithm 3 Surface Normals Filter

Require: $r1$ ▷ Radius of normal sample
Require: ▷ $\vec{covM}$ is the covariance matrix
Require: ▷ The $solveEigen$ function solves for eigenvector (V^T) and values (V)
1: **procedure** UPDATE(GridMap M)
2:     **for each** Cell $c \in M$ **do**
3:        $nCount \leftarrow 0$
4:        $\vec{p}\,[\,]$
5:        **for each** Cell d within radius $r1$ of c **do**
6:           $\vec{p}.pushback(d.\vec{point})$
7:           $nCount \leftarrow nCount + 1$
8:        **end for each**
9:        $\vec{m} \leftarrow average(\vec{p})$
10:       $\vec{NN}\,[\,]$
11:       **for each** Cell d within radius $r1$ of c **do**
12:          $\vec{NN}.pushback(d.\vec{point} - \vec{m})$
13:       **end for each**
14:       $\vec{covM} \leftarrow \vec{NN} \cdot \vec{NN}^\mathsf{T}$
15:       $solveEigen \left(covM = V \begin{bmatrix} \lambda_1 & & \\ & \ldots & \\ & & \lambda_d \end{bmatrix} V^T \right)$
16:       $smallValue \leftarrow \infty$
17:       $smallIndex \leftarrow 0$
18:       $nCount \leftarrow 0$
19:       **for each** EigenValue v within V **do**
20:          **if** $v < smallvalue$ **then**
21:             $smallValue \leftarrow v$
22:             $smallIndex \leftarrow nCount$
23:          $nCount \leftarrow nCount + 1$
24:       **end for each**
25:       $c.\vec{normal} \leftarrow V^T[smallIndex]$
26:     **end for each**

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Algorithm 4 Roughness Filter

Require: $critRough$ ▷ Critical roughness quantity
Require: $r1$ ▷ Radius of roughness sample

1: **procedure** Update(GridMap M)
2:     **for each** Cell $c \in M$ **do**
3:        $nCount \leftarrow 0$
4:        $\vec{p}\,[\ ]$
5:        **for each** Cell d within radius $r1$ of c **do**
6:           $\vec{p}.pushback(d.\vec{point})$
7:           $nCount \leftarrow nCount + 1$
8:        **end for each**
9:        $\vec{m} \leftarrow average(\vec{p})$
10:       $planeParam \leftarrow \vec{m} \bullet c.\vec{normal}$
11:       $sum \leftarrow 0$
12:       **for each** Cell d within radius $r1$ of c **do**
13:          $sum \leftarrow sum + \left(\vec{m} \bullet d.\vec{normal} - planeParam \right)^2$
14:       **end for each**
15:       $roughness = \sqrt{\frac{sum}{nCount-1}}$
16:       **if** $roughness < critRough$ **then**
17:          $c.traversability \leftarrow 1 - \frac{roughness}{critRough}$
18:       **else**
19:          $c.traversability \leftarrow 0$
20:     **end for each**

7.1.2 Combining Traversability Layers

With a lot of information now at hand as an output of the filters, this needs to be amalgamated to a simplistic model that can be referred to by other parts of the system. A weighted summation

Figure 7.1: **Traversability Map Sample** – *This demonstration traversability map shows what the combined outputs of the individual filters may produce. Worth noting in this image are the smooth footpaths (**E**), the rougher grass terrain (**A**), and the non traversable stair edges (**D**) and bushes (**C**). Slopes are also lightly detected (**B**).*

was used to combine the layers into one traversability map, as shown in figure 7.1. This allowed differing importance to be placed on the individual layers depending on the situation, or as it may be the noise levels. For example when operating with the REX, often the weighting of the slope filter was significantly reduced. This was due to the surface normal filter not considering a large enough area (was computationally too expensive) during fitting, rendering it susceptible to noise caused by disconnects in the map. By reducing the weighting of the slope filter, small noise bands where not enough to stop traversing, however, consistent sloped terrain still was detected.

7.2 Interfacing with the REX

Demonstrating this technology functioning with the REX platform was always a key milestone for this research. To investigate the applicability of this research to medical exoskeletons and the REX in particular, a working interface had to be demonstrated. It was identified early on that it would not be possible to directly integrate the vision pipeline to the Master Control Unit (MCU)

of the REX for regulatory reasons, so an alternative route had to be explored. The obvious choice of interface with the MCU was via REX-LINK, a proprietary Application Programming Interface (API) that exposes various levels of control over the robotic device. Predominantly exposed via a Bluetooth connection with the device, this API is used predominantly by physicians to monitor the device, ensuring patients are being placed into the poses they require. Since the REX a highly regulated medical device, advanced access to REX-LINK is carefully controlled, but can allow authorised individuals to remote control authorised devices. This ability presented a solution to demonstrate an end-to-end solution demonstrating functionality with the REX as detailed in figure 7.2. Employing C#, an interface program was written (as it contained a proprietary API, this

*Figure 7.2: **REX-LINK Flowchart** – This demonstrates the flow of operations when interfacing with the REX platform. A continuous stream of three-dimensional points is gathered from the ZED, processed and integrated into the stored maps. When the user issues a command on the REX (via the joystick) this is intercepted, and sent to the Jetson via Bluetooth and REX-LINK. The stored database of maps is then queried if the desired movement is considered traversable, and a yes / no decision is made. If considered traversable, an acknowledgement sound is played, and the REX moves as normal. If not, a tone indicating that it is not considered safe to move in that direction is played and no movement occurs.*

could not be made public) that connected to the REX Platform via REX-LINK with authorisation to remote control the device. The program would monitor the user input joystick, and if moved, intercept the command for processing. Due to software compatibilities of the REX-LINK API, this program had to run on a Windows operating system, providing an extra layer of complexity not detailed in figure 7.2. In practice a Windows laptop was used to connect to and intercept user

input from the REX, which then in turn (via TCP\IP WebSocket, employing rosbridge_suite[2]) communicated with the Jetson TX1 (Linux), forwarding the query via a ROS service call. The Jetson would then respond a status of either: traversable, non-traversable or error. If software running on the laptop received a positive response, it would play an acknowledgement tune on the actual REX and then after a suitable delay, begin the movement. Otherwise a tone would be emitted, alerting the user that this movement was deemed not possible. This entire process was typically able to be executed in less than $100\,\text{ms}$, effectively happening seamlessly behind the scenes to the user (initial first query could take up to $1000\,\text{ms}$ establishing the connection). Physically the camera was mounted to the left armrest of the REX with a custom mount and 3D printed bracket. The angle of the mount could be altered to test different configurations. Usually, an angle of $30°$ to $35°$ was employed (below the horizon). Functionality wise this demonstrated the machine vision interface successfully implemented on the REX medical exoskeleton and directly interacting with the device, concluding the implementation requirements of the research.

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[2]`http://wiki.ros.org/rosbridge_suite`

Chapter 8

Dataset Generation

In order to validate the results of this research in a more quantitative manner, it was determined a ground-truth dataset should be created, and this was included in the budget (section 3.2.4). As the primary focus of this work was to investigate machine vision for traversability analysis, geometric accuracy was an important metric. Researchers often will compare their work to the *ground-truth*, something that is known to be as close to reality as possible (within a small margin of error). This would create a benchmark to compare result accuracy, and a ground truth for error calculations to optimise algorithms. With the technology available in depth sensing moving quickly, this would also indicate what may be possible in this field sensors improve to be on par with current laser scanning technology.

For depth perception often laser scanners are employed to develop these accurate maps due to their consistently small error. The datasets described here were created with a Faro Focus^{3D} S120 laser scanner, which is a very accurate surveying scanner capable of up to $120\,\mathrm{m}$ scanning range. Characteristics of the device (especially ranging error) are shown in table 8.1. Point sampling flat

Table 8.1: **Faro Focus**^{3D} **Accuracy** – *Measurement accuracy of Faro Focus*^{3D} *laser scanner series, including the S120 unit that was used. Error is given as ranging error*[a]

Surface Reflectivity	$10\,\mathrm{m}$	$10\,\mathrm{m}$ - noise compressed[b]	$25\,\mathrm{m}$	$25\,\mathrm{m}$ - noise compressed
90% refl.	$0.6\,\mathrm{mm}$	$0.3\,\mathrm{mm}$	$0.95\,\mathrm{mm}$	$0.5\,\mathrm{mm}$
10% refl.	$1.2\,\mathrm{mm}$	$0.6\,\mathrm{mm}$	$2.2\,\mathrm{mm}$	$1.1\,\mathrm{mm}$

[a] Ranging noise is defined as a standard deviation of values about the best-fit plane for measurement
[b] A noise-compression algorithm may be activated to average points in sets of 4 or 16, thereby compressing raw data noise by a factor of 2 or 4

terrain surfaces in the data gathered indicate that these values are reasonable, although there is

additional error introduced in the multi-scan registration process. However this combined error is still negligible in comparison to the sensors and SLAM implementations being tested, and so serves as a valid comparison.

Since geometric terrain navigation was the focus of this research, a diverse set of environments would be required to validate the methods employed on the REX. These should include reference areas such as flat floors but also doorways, different types of stairs, curbs, and more. Key Locations were chosen around Albany, Auckland, New Zealand that would be convenient to return to. These consist of a household setting, an industrial environment (including office space) and public outdoor environments around Massey University Albany. Notable features of each

Table 8.2: **Laser Datasets** – *A summary table of the laser datasets generated as part of this research, size and notable features contained.*

Label	Project Name	Size (m)	Points (millions)	Notable Features
A	HOME_Ballymore	15x14x7	72	Household, Doorways, Curved Stairs
B	MASSEY_AVKellDrive	75x40	127	Outdoors, Roadside curbs, Public Park
C	MASSEY_AVStairs	7x7x7	47	Complex Angled Staircase
D	MASSEY_EPCourtyard_01	58x76	93	Outdoors, Slopes, Varied Steps, Varied Terrain
E	MASSEY_EPCourtyard_02	24x17	31	Outdoors, Large Stairs
F	MASSEY_EPCourtyard_03	85x66	77	Grass, Stairs, Ledges
G	MASSEY_EPCourtyard_04	9x8	28	Single, Stairs
H	REX_Complete	39x35	125	Large Internal Area
I	REX_DemoStairs	12x12	42	Standard Stairs, Standard Ramp
J	REX_Office	4x4	7	Desks
K	REX_ProductionStairs	12x4x7	38	Large flight of stairs
L	REX_Reception	11x8x6	63	Complex Stairs, Glass railings
M	REX_SteepStairs	9x5	46	Steep Stairs, No kickboards

set are labelled for convenience in table 8.2. Each of the scenes is then down-sampled to $1\,\mathrm{mm}$, cleaned [1], exported and photographed, converted to a DEM (resolution of $25\,\mathrm{mm}$), and also exported. The outputs of this process are shown in figure 8.1 for each scene.

In order to promote comparison, the point-clouds generated by this are publicly available[2] to download and work with in common file formats (PCL compatible). This process has allowed the generation of a ground-truth standard that research outputs can be compared to for validation.

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[1] Via CloudCompare's statistical outlier removal with parameters of 7 points and 4.0 standard deviations

[2] Due to the large size they are hosted at `todo`. Certain sections have been removed due to commercial sensitivity.

(a) Household in Auckland   *(b) Albany Kell Drive & Park*   *(c) Massey AV Stairs*

(d) Massey Courtyard 01   *(e) Massey Courtyard 02*   *(f) Massey Courtyard 03*

(g) Massey Courtyard 04   *(h) Rex Warehouse*   *(i) Rex Demonstration Stairs*

(j) Rex Production Stairs   *(k) Rex Reception*   *(l) Rex Steep Stairs*

Figure 8.1: **Laser Dataset Point Clouds** – *Point clouds of each dataset generated for this research. Normal RGB points and DEM coloured points are superimposed to help perceive height. One sample set (Rex Office) is not shown here for formatting reasons.*

Chapter 9

Results

9.1 Traversability Mapping

Combining the hardware and software development of implementing a vision system on the REX exoskeleton, this chapter details the results achieved and attempts to qualitatively and quantitatively assess them. Testing the system as a whole was a process that had to be developed and progressed various stages. The most basic system that demonstrated outputting traversability maps was with the ZED camera mounted on a trolley with the Jetson TX1, a screen, keyboard, mouse and Uninterupted Power Supply (UPS). With this setup and ROS's recording ability, it was possible to gather the following results demonstrating the system's initial capability. Figure

(a) Camera Scene

(b) Trolley Map

(c) REX Mounted Map

Figure 9.1: **Flat Terrain Results** *– Elevation and traversability maps for a flat open area (seen in a). In b the movement (depicted by the red arrows) was on the trolley, whilst in c it was with the camera attached to the REX*

9.1 shows the most simple terrain: a clear flat area with items around the edges. From left to right are depicted the colour image of the scene as captured by the camera, the output maps when employing a trolley for movement, and the outputs of using a REX for movement. Focusing first on image 9.1b, it is possible to identify the flat floor, the boxes along the left-hand side, and the two obstacles straight ahead protruding from the wall line. There are a few small spots that

show up as black (non-traversable). These are false negatives in the interpretation of the terrain and are in fact flat floor, due to noise in the stereo data. Often the segment lines in the concrete pads (seen in image 9.1a) are enough of a lighting and reflectivity difference to result in elevation noise. Under normal operation, the traversability map is kept smaller, to reduce processing power required and increase overall refresh-rates. The concrete poured floor is relatively flat (Confirmed in laser dataset), revealing the wavy nature of stereo vision by the range of colours representing the core floor section. Image 9.1c depicts the same scene and roughly the same start and end positions, but the camera was mounted to the REX platform for movement. The REX's movement maps are very linear with sharp transitions, resulting in non-smooth trajectories. These can be visualised by the red arrows and when compared to 9.1b, the difference is clear. This non-linear motion is difficult for the ZED to track, given the computing resources it has access too, resulting in inaccuracies for the pose estimation of the robot, and consequently, the merging of grid_map messages. In the elevation, and consequently the traversability, maps this manifests itself as jagged edges. These are e specially apparent in the peripheral vision areas as seen along the right edge in image 9.1c. Figure 9.2 further investigates the difference between smooth motion

(a) Camera Scene   *(b) Trolley Map*   *(c) REX Mounted Map*

*Figure 9.2: **Flat Terrain Analysis** – Focusing on the difference between data generated with a REX moving the camera as opposed to a trolley image a shows the jagged edges and labels their height. Histograms b and c show the spread of points representing the ground plane form the trolley data and the REX data respectively. Note the larger spread in c.*

and abrupt motion by comparing the spread of points representing the floor plane when trolley mounted (image 9.2b) and REX mounted (image 9.2c). Individual edge height differences are also annotated in image 9.2a, ranging from $0.030\,\mathrm{m}$ to $0.078\,\mathrm{m}$, with the larger difference being at the edges. Mainly triggering the slope filter, this causes the traversability map to be fairly noisy and filled with horizontal lines. The key features (the floor, the boxes on the right, the back wall, and the obstacles protruding) are still clearly present, and so the challenge of differentiating them is discussed later.

Figure 9.3 features a doorway straight ahead of the camera, with the door standing ajar. This introduces the interesting feature of considerable lighting change (visible in 9.3a, it is considerably darker through the door and is artificial lighting as opposed to natural lighting), and also a tracking challenge when forced to track with a narrow field of view for feature tracking. Image 9.3b again shows the smooth movement maps generated using a trolley to approach the doorway with only

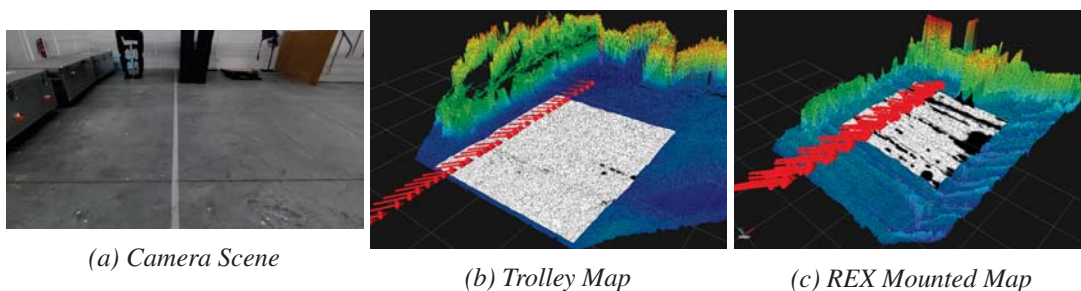*(a) Camera Scene*   *(b) Trolley Map*   *(c) REX Mounted Map*

Figure 9.3: **Door Terrain Results** *– Elevation and traversability maps for an approach to a doorway (seen in a). In b the movement (depicted by the red arrows) was on the trolley, whilst in c it was with the camera attached to the REX*

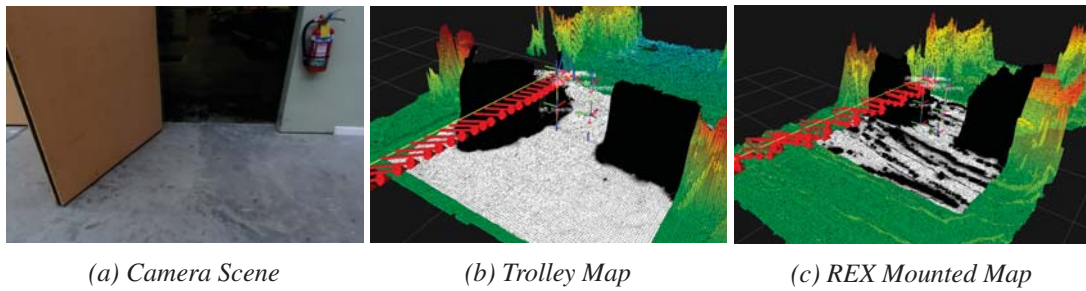minor noise speckles. The width of the doorway is clearly visible and measures (0.95 ± 0.10) m from the elevation map compared to (1.0 ± 0.1) m when measured with a ruler. The elevation level of the ground drops a small amount over the door sill (no physical sill is present) due to lighting effects, but this is not significant enough to cause any errors in the traversability map generation. Plane fitting on the elevation map for the internal and external floor show close

(a) Processed Cloud   *(b) Left Histogram*   *(c) Right Histogram*

Figure 9.4: **Door Terrain Analysis** *– Ground planes fitted separately for the lighter section (before the door) and the darker section (past the door) are shown in a left and right respectively. The statistical analysis of these points is shown in b and c respectively.*

proximity with a difference of 0.09 m (as seen in figure 9.4). Whilst this may seem significant, upon closer inspection it is evident that this *averaged* difference is affected by the slope of the inner ground plane, most likely caused by incorrect movement tracking (visible in the downwards slope of pose tracking arrows). Similar to the empty space, when the camera was mounted to the REX platform, and this was walked towards the door, significant noise was introduced to the model, in particular, to the ground plane traversability estimation. The motion increased the standard deviation of the floor area roughly 2.5 fold, very similar to the increase soon in the first case. However, when comparing absolute readings such as the door width or ground level change across the door sill, these remain within the same error bounds and still present useful information to the user.

Moving on to a more complex scene, figure 9.5 shows the results of a set New Zealand building code compliant demonstration stairs. These stairs are often used as a reference to test various

(a) Camera Scene   *(b) Trolley Map*   *(c) REX Mounted Map*

Figure 9.5: **Demo Stairs Terrain Results** *– Elevation and traversability maps of a demonstration set of stairs compliant to New Zealand's building code (seen in a). In b the movement (depicted by the red arrows) was on the trolley, whilst in c it was with the camera attached to the REX*

features of the REX and so using them for the vision system was obvious. There are three steps, and four railings with a wide space in the middle for the REX to use, whilst assistants can ensure patient safety 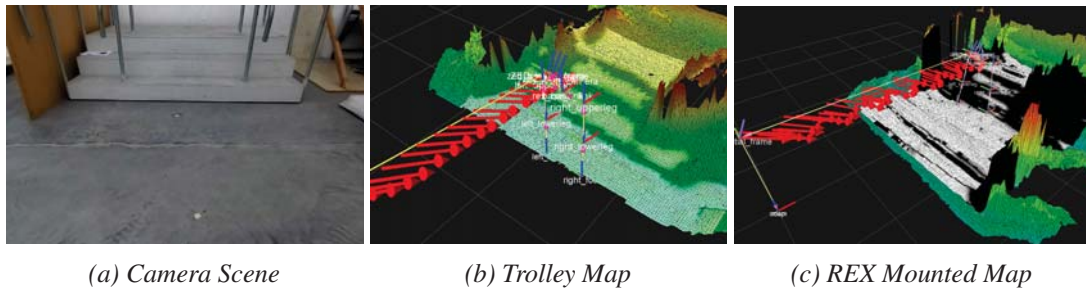from outside sections. The traversability filter output can be appreciated here, clearly showing the three steps in image 9.5b as consistent black bars. With sufficient tuning of the parameters detailed in equation 1 the bands became narrow enough to allow stepping in-between them. The steps are further investigated in figure 9.6, which highlights them in

(a) Coloured Stair Cloud (b) Histogram of trolley (c) Histogram with REX (d) Histogram with laser

Figure 9.6: **Demo Stairs Terrain Analysis** *– Showing the individual stairs hand picked in image a. The histogram shows the four peaks from left to right of the floor, 1st and 2nd steps and the the top platform. Histogram b is from the trolley dataset whilst c was with the ZED mounted on the REX. For comparison the histogram from the laser dataset is shown in d, with perfect peaks.*

height-mapped colour. Each step measured $(0.15 \pm 0.05)\,\text{m}$ in height, compared to $0.18\,\text{m}$ in reality. The sharpness of the peaks in the histogram 9.6b demonstrate precision of the data points whilst the distance between peaks shows their accuracy (should measure $0.18\,\text{m}$), as demonstrated with 9.6d. Comparing 9.6b and 9.6c shows the impact of mounting the ZED on the REX platform. The four peaks are still visible, however clearly less defined in 9.6c, reinforced by the reduced clarity in traversability estimation shown in image 9.5c. The distance between peaks is still not accurate, but has similar precision at $(0.14 \pm 0.05)\,\text{m}$.

Although fully building code compliant, the demonstration stairs are aesthetically not like a normal set of stairs. Figure 9.7 challenges the pipelines ability to track multi-story traversability across large flights of stairs. Totalling 20 stairs, divided by a mid platform these represent a normal set of straight stairs with well-defined front edges and naturally lit by ambient sunlight. With the

(a) Scene   *(b) REX Mounted Map*   *(c) Hand Guided Map*

*Figure 9.7: **Large Flight of Stairs Terrain Results** – Mapping a large flight of stairs with a centre platform as shown in a. Approaching the stairs with the camera mounted to the REX is shown in image b and since neither the trolley, nor the REX were capable of traversing the stairs, it was carried up by hand in image c.*

understanding that the trolley gives smoother results, it was decided to demonstrate this no longer, but rather attempt to test the tracking ability when climbing the entire flight of stairs. Image 9.7b first demonstrates the approach with the REX, still showing traversable bands matching the frequency of stairs, albeit rather narrow. Image 9.7c then demonstrates the same stairs traversed on foot carrying the ZED camera in a relatively consistent stance. Trials revealed that this had to be higher than when mounted to the REX; otherwise the camera struggled to accurately track movement and re-project point clouds due to the operational minimum distance limit and the approaching climbing stairs. This resulted in the camera being held at roughly $1.8\,\mathrm{m}$ height angled downwards. With many stairs detected it was possible to analyse the precision and accuracy

(a) REX Histogram

(b) Hand Histogram

(c) Step Height Histogram

*Figure 9.8: **Large Flight of Stairs Terrain Analysis** – First we compare the step separation (indicated by peaks in height value histograms) between the maps generated with a REX (a) and when hand-held (b). Then the peak separation of all are analysed in chart c.*

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of the detection process in more detail. Figure 9.8 does this by extracting and comparing the height histograms of both REX mounted data and hand-held data, and then both combined. With histogram 9.8c in mind it is possible to determine that the system consistently under-estimates step height (an average of (0.170 ± 0.004) m). In terms of accuracy the gathered samples had a standard deviation of 0.024 m. The system also correctly identified the mid-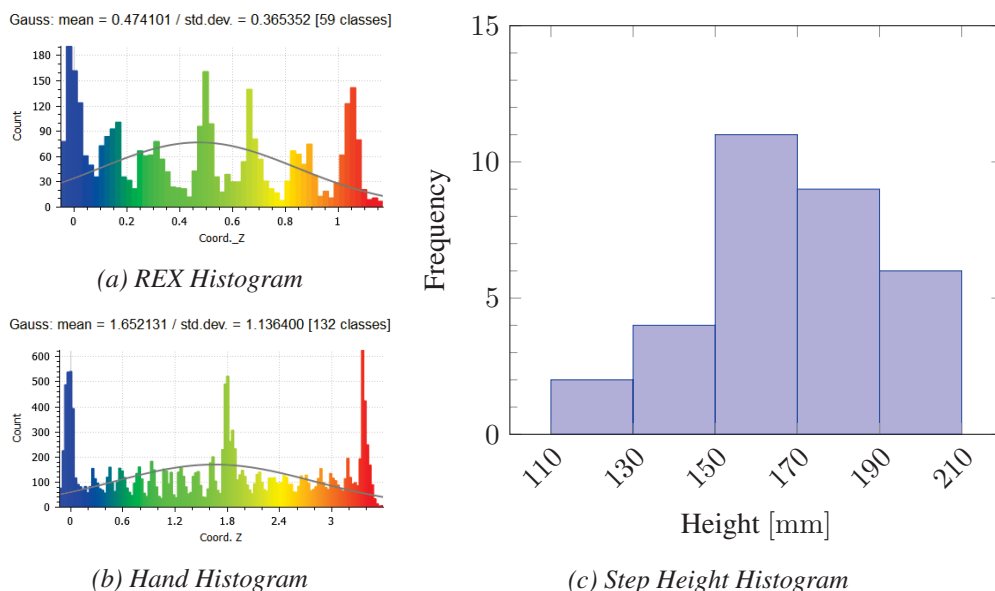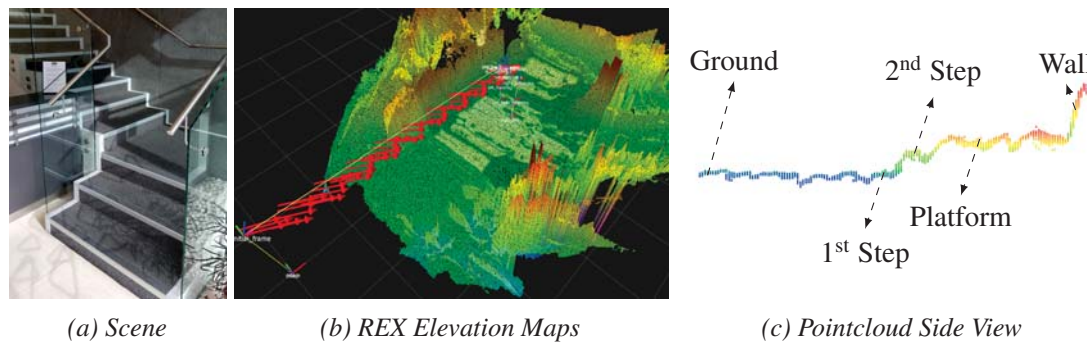platform as a suitable traversable area. Tread depth was compared (in a similar manner, using histogram peaks), with the hand-held map averaging (0.275 ± 0.025) m in depth and (0.285 ± 0.005) m in the laser scan.

At 1.25 m wide, the previous set of stairs is wider than normal, making detection with the ZED easier. To contrast this a standard set of stairs with a width of 1.10 m was tested.

| (a) Scene | (b) REX Elevation Maps | (c) Pointcloud Side View |

Figure 9.9: **Complex Stairs Terrain Results** – *Traversability of some more complex stairs featuring varied lighting, glass and a right angle, as depicted in a. The elevation map here is less definitive as shown in figure c, resulting in a less clearly defined traversability map (shown in b). The annotations show how little height is present in the 1st step.*

These stairs also featured a number of other complexities such as; clear glass sides, carpet texture and direct sunlight streaks (all visible in 9.9a). It is therefore not surprising that the definition of the elevation (and consequently traversability) maps was reduced as shown in image 9.9b, which was generated with the ZED mounted to the REX platform. It is very heard to distinguish the first step (shown in image 9.9c), because it is nearly level with the ground plane, and so it is not detected as a step on the traversability maps. Adding to the factors making detection hard, these stairs are also only 0.165 m tall with the first step measuring only 0.155 m in height. The pipeline did pick up well on the traversable areas in the ground plane, clearly marking the internal rock-garden and reception desk as non-traversable.

Tied into all the results, the sensors ability to perform under sunlight, whilst not quantitatively, was understood qualitatively through repeat exposure to such environments. In general, these results indicate that on a macro scale the results are accurate, but calculating traversability from elevation still requires refinement.

9.2   Laser Data Set

A common practice when proposing research results is to compare them to a *standard*. With limited prior work with machine vision traversability mapping on medical exoskeletons available, research outputs are here compared to a ground-truth dataset generated with a laser scanner as described in chapter 8. With the focus of traversability mapping being primary, two comparisons will be made to evaluate performance:

Gridmap Comparison Select areas will be mapped using the methods described in the preceding chapters, and the resulting gridmaps will be saved as point clouds (each cell as one point with the appropriate height). A relevant matching area of the laser point cloud is processed with the identical pipeline, and the resulting gridmaps are also converted back to point clouds. The two gridmaps in point cloud form are then aligned, first roughly manually, then using regression to optimise the match. Differences between the two clouds are then computed using two approaches; Direct Cloud to Cloud (C2C) and Multiscale Model to Model Cloud Comparison (M3C2)[Lague et al., 2013]. Whilst similar both algorithms have their advantages as described by Lague et al., so both are presented here.

Traversability Comparison The above exported elevation maps are processed through the traversability mapping node. The resulting map is exported in two formats; an image (greyscale) for displaying, where white is traversable and black is non-traversable, and each pixel is one unit of the map. The other export is a point cloud, where each point corresponds to a traversability cell (storing $[x, y]$ information), and the point height (z) stores the traversability of the cell. A height of 0 defines non-traversable, and a height of 1.0 defines fully traversable. In this form, it was possible to employ the same comparisons as above (C2C and M3C2) between the two outputs for visual analysis. Figures 9.10 and 9.12 explain how this works in practice and how to interpret the results.

Having established a standard practice for comparing results, processing could begin, which took a significant amount of time, even on a workstation computer, as some of the clouds had over 100 million points. Figure 8.1 and table 8.2 provide a summary of the datasets.

Starting with a simple flat area comparison, figures 9.10b and 9.10c demonstrate the differences in technologies as explained in the caption. This clearly helps confirm some of the results demonstrated in the previous section, especially regarding the discontinuities introduced by the rough movement of the REX. It also begins to investigate the effect of intense direct sunlight on the sensor.

Looking at a more complex example, we again analyse the double set of stairs (as in section 9.1), this time comparing the traversability maps generated. Figure 9.11 shows both the DEMs and

(a) Camera RGB

(b) DEM Difference Map

(c) Traversability Difference Map

Figure 9.10: **Flat Area Laser Comparison** *– This figure demonstrates the comparative process between data gathered by hand or with the REX to data gathered by laser scanner, representing the ground truth. First sub-figure a shows an establishing shot of the scene, captured by the depth camera. Here labels* **A** *and* **B** *show an obstacle (pillar) and a stripe of intense sunlight respectively. Sub-figure b then shows a colour coded M3C2 difference map between the collected datasets. As set-out by the scale* **F***, green indicates little deviation, whilst blue indicates the ground truth lies below the measured value (negative), and red shows areas where the truth is higher than measured.* **C** *shows an area with consistent upwards discontinuities in the horizontal peripheral areas, and* **D** *consistent downwards discontinuities in the lower peripheral area.* **E** *shows the pillar as labelled in* **A***. It is to be expected that a match could not be naturally found here, as the ZED would not see the top of the pillar (over* $5\,\mathrm{m}$*), and so there would be a large difference. Looking at the traversability difference map (c), area* **G** *indicates the false negatives produced produced by* **C***,* **H** *shows some resilience towards the errors in* **D** *and* **I** *shows the pillar correctly identified as an obstacle. Area* **J** *which relates to the sunlight strip* **B** *also clearly becomes a false negative. On both lower images a histogram indicates density of the errors observed.*

(a) Laser DEM   *(b) Hand DEM*   *(c) Laser Traversability*   *(d) Hand Traversability*

Figure 9.11: **Production Stairs Laser Comparison (a)** *– Detailed difference analysis of the double staircase dataset. Left clouds a and b show the coloured elevation maps generated using the laser scanner and ZED respectively. Right figures then show the traversability maps from a top down perspective, showing clear similarities.*

the traversability map side by side for a visual comparison. Using this information figure 9.12 then analyses the differences as described, using M3C2 pointcloud comparison. As annotated it identifies areas that matched well, and areas that did not, either false positives (areas noted as traversable, that are in truth not), or false negatives (areas that are noted as not-traversable but in fact are). The results here clearly show that the camera is able to perform fairly well under these conditions in mapping the environment, and whilst the DEMs do not directly match, the

Figure 9.12: **Production Stairs Laser Comparison (b)** *– An area correctly (in both the laser data and ZED data) identified as non-traversable, indicated by green and black (A), Correctly identified as traversable, shown with green and white (B), area falsely classified as not traversable shown with red and black (C), and an area falsely classified as traversable shown with blue and white (D). Areas where camera shake cause disconnects are clearly visible as in (E).*

traversability outcomes are very similar.

Direct sunlight and harsh texture conditions proved challenging for the methods put in place. Figure 9.13 demonstrates a lot of challenging features within one scene, and reports on how the methods perform. Looking down a set of stairs is of particular interest to the REX, since it must approach them backwards. These results (albeit in harsh lighting) show that whilst the overall height and slope of the stairs, and the horizon are correctly identified (figure 9.13b), because the camera detects them only as a smooth slope, the traversability maps are wrong. The effect of bright sunlit scenes mixed directly with shaded areas is also demonstrated.

To demonstrate the capability of the traversability mapping, a ground truth map is directly processed and analysed. Figure 9.14 shows the main courtyard at Massey University (Albany, New Zealand) processed with the same methods as the core results. The acquisition of this data is explained in section 8. It is shown here primarily to discuss the future potential of this work, when sensors are improved to produce similar levels of data on mobile moving platforms.

Comparing results from the REX platform (and also hand-held) highlights the important areas where the sensors cause false results. Many scenes were scanned and then also traversed with the REX that are not presented in this section, but included in the additional content.

(a) Camera RGB

(b) DEM Difference Map

(c) Traversability Difference Map

*Figure 9.13: **Harsh Environment Laser Comparison** – Demonstrates a very harsh environment in terms of lighting and geometry for the ZED camera. With a harsh lighting difference across **A**, the camera cannot simple adjust exposure to suit either and it must compromise. This places obstacles in the dark such as the side rails (**B**). Downwards stairs are also challenging to detect due to the horizon visible in **C**. The elevation map is fairly accurate, with $50\,\%$ of points lying within $5\,\mathrm{mm}$ of the ground truth, and $90\,\%$ within $14\,\mathrm{mm}$. **D** shows how little effect the harsh lighting conditions have on the ZED in a real use case scenario. **E** demonstrates how the horizon was correctly detected (with minimal erroneous pixels), whilst **F** shows the continued inaccuracies in the horizontal peripheal vision, failing to properly detect the dark obstacles labelled in **B**. Figure c however identifies the requirement for tuning of the traversability parameters to match lighting conditions. Area **I** identifies how most stairs were not correctly detected, and **H** shows large false negative areas.*

(a) Laser DEM   *(b) Laser Traversability*

*Figure 9.14: **Ground Truth Traversability Map** – Showing the results when the same methods are applied to clean ground truth data. Figure a shows the height coloured DEM with a scale one the right. Left (b) shows the processed traversability. **A** shows a ramp clearly on both maps, with the flat mid section being detected (the slope critical value parameter remained unchanged, but could be reduced to make this more apparent). The non-standard geometry around point **B** is converted to clearly separated surfaces. The occasional noise is present, as shown in area **C**, where a flat surface as a non traversable cell labelled. Stairs are also clearly labelled **D**. Surface roughness is detectable in these results where all the grass surfaces (such as **E**) are a slightly darker shade. This feature was completely un-utilised on the REX due to significant false negative traversability results from noisy data.*

9.3 Interfacing with the REX

Whilst sections 9.1 and 9.2 present the algorithm's results by analysing the data outputs, this section considers the complete integration with the REX as described in section 7.2. Working around the limitations set by a commercial environment, it was still possible to implement and demonstrate simple integration with the REX. Figure 9.15 left to right shows the camera mounted

(a) REX Mounted ZED	*(b) Complete system in action*	*(c) Backend Footstep Query*

Figure 9.15: **REX-Link Interface Overview** *– Demonstrating a complete end to end application of the methods developed, the ZED is mounted to the left armrest of the REX (a), the device is then operated by a person (b), and when a movement command is issued, the traversability map is first queried, preventing the movement if deemed non-traversable (c). The green square indicated the area the REX would inhabit if the requested command would be allowed, however clearly being in occupied terrain, in this case it would be intercepted and stopped.*

below the left-hand armrest of the REX, the REX in operation with the camera and Jetson TX1 onboard, and a movement request that would stop the REX as it is on the blocked terrain. Although the Jetson TX1 could easily have been powered by the REX battery, it was deemed inappropriate to modify the device purely for the demonstration, leaving an extension cord to power the PC.

To demonstrate the capabilities of this interface, a demonstration scene is traversed, collision with an obstacle is avoided, and the REX is inhibited from reversing into an obstacle. To capture this, figure 9.16 shows the entire process, with the video frames overlaid, and the individual interface moments also captured. In the 3rd position, the forwards command from the user is intercepted and stopped, as it is deemed unsafe, instead playing a warning tone. The 4th position shows the methods ability to prevent collision with obstacles not directly visible to the camera at that moment. Here the table is still remembered in memory, and by tracking the motion of the robot

(a) Interface Demonstration Lapse

(b) Interface outputs 1ˢᵗ pose

(c) Interface outputs 2ⁿᵈ pose

(d) Interface outputs 3ʳᵈ pose

(e) Interface outputs 4ᵗʰ pose

Figure 9.16: **REX-Link Interface Demonstration** *– Taking extracts from a video, figure a shows the movements made during demonstrating the REX-Link interface with a table obstacle. 1st the occupant gets into the REX, the initial gridmap, traversability map and camera output (from the ZED) are shown in figure b. Then the occupant issues commands via the joystick, which are then relayed to the Jetson TX1 via bluetooth. Shown as a green square (seen in figure c) the footprint queries determine if the movement is carried out. In then 2nd position this is clearly possible and so the robot carries of forwards until it reaches the 3rd position. Figure d shows the table clearly detected as a non-traversable edge. It does determine that the table top itself is flat and traversable, however the footstep query determines that it cannot reach this. From this position the user takes a couple steps backwards, and circumnavigates the table, proceeding to approach it backwards. This will be possible until in the 4th position, where as shown in figure e, memory of the tables presence stops the REX.*

visually, it is predicted when the table is directly behind the robot, intercepting and stopping movement in that direction.

This entire process and another demonstration are visible as videos in the digital media supplied with this document or on-line[1]. These are played at five times speed and demonstrate interception of movements that would cause a collision, both in-front and behind the REX. They show both a camera recording of the entire scene, as well as all the interface outputs (gridmaps, traversability maps and ZED camera stream), providing full oversight of the actions happening.

It was successfully demonstrated that the careful design decisions taken whilst developing the methods made implementation on an actual REX possible. Multiple scenarios are tested, and the platform has been extended to have loop closing feedback from the surrounding environment that it can act upon. This is done in a safe manner, where it will only intercept and inhibit commands it deems unsafe, and will never autonomously issue movement commands not first issues by the user.

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[1]https://goo.gl/kfQoKu

Chapter 10

Discussion and Conclusion

Determining and understanding the significance of these results for REX platform is an important aspect of this work. Having investigated a wide range of scenarios, it is attempted to summarise the strengths and weaknesses of the applied methods. It also provides an opportunity to review the original focus of commercial integration. This will naturally lead into discussing the direction of potential future work in this field of research and extensions to the methods employed.

10.1 Discussion

Analysing the scenarios presented in section 9.1, the extent to which this system can provide useful information is qualitatively questioned. Whilst the system is capable of detecting flat terrain and walls when stationary, and even when smoothly moved (such as on a trolley), the fact that a significant amount of noise is introduced when mounted to a walking REX is an issue (figure 9.1). Analysing the causes of this suggests a solution; that improved visual odometry paired with accurate, high-speed, inertial tracking would significantly improve the results. This stems from reviewing the recorded sessions and noting that the movement tracking features large discrete steps, rather than smooth movements. Since the temporal combining of point clouds depends on an accurate transform between time-frames (described in chapter 6), the result of delayed or inaccurate transforms will be discontinuities in alignment. With results being published of highly accurate, fast moving visual odometry [Bloesch et al., 2015, Leutenegger et al., 2015], this is not a significant hindrance, and should easily be overcome with time. The authors demonstrate it accurately tracking movement aboard a roller-coaster ride with extreme accelerations, and yet still providing accurate positional estimations[1]. Another deficiency of using purely pose information from the ZED camera was that it is provided with no measure of confidence, which

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[1] https://www.youtube.com/watch?v=9RaenslCedA

meant that this could not be considered further down the pipeline. Hence whilst the *grid_mapping* code base implements including pose uncertainty when merging with past data, this could not be used due to the lack of this information. Visual odometry often relies on tracking features in successive frames to place in them a three-dimensional space. Many algorithms are capable of storing the key-points, and over time build a database of consistent point-features to improve tracking. This functionality was not implemented, as testing was constantly being carried out in new locations, but for a device permanently located in a home or clinic, this could further help improve tracking. Having access to fast and accurate positional information with measures of confidence will significantly reduce the discontinuities, with results trending towards those gathered on the trolley.

A new stereo sensor filter was added to the *grid_mapping* code-base as described in chapter 6. This helped improve the temporal combining of data frames, however still has a lot of extension potential. Calculating point variance as described in the algorithms did not seem to be sufficient in managing noise introduced by the stereo camera, especially in the peripheral vision areas. Figure 9.10 demonstrates this, showing significant errors in traversability mapping in the outer areas. Negative effects were most prominent when reversing or pivoting. In these scenarios, data from extreme edges can end up rotated to lie directly at the foot of the REX and be too close to be updated (due to ZED's minimum range), resulting in significant errors directly interfering with movement. Incorporating a confidence measure into the stereo filter would further help assign useful error probability information to points. Stereo confidence measures each have their own merits, as discussed by Hu and Mordohai, and it is important to use a fitting model [Hu and Mordohai, 2012]. By extending the implemented filter to capture these probabilities and employing them as weights when merging points that inhabit the same DEM cell, the map would progressively become smoother as surfaces average out.

By improving the above-discussed points the quality of information gathered can be improved; however, it will be challenging to adjust the scale of error from macro to micro fundamentally. Shown in figure 9.3, the proposed system functions well at detecting macro objects in relation to the REX (such as doorways), but cannot detect micro objects that affect the REX. An obstacle only a few mm in height, if placed in the right location, can cause instability of the REX platform resulting in the device tipping over. Discussed in chapter 6, quantisation error of stereo matching produces errors larger than this in many scenarios. Recalling the equation defining stereo quantisation error (eq. 6.4), factors of influence in reducing this under user control are the baseline and focal-length (defined in pixel units, effectively including resolution into the equation)[2]. Changing these parameters to effect accuracy is investigated by Gallup et al., who develop a fixed error stereo sensor; that is a sensor with identical quantisation error at all operable

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[2]This stereo vision calculator helps demonstrate this fact: `https://nerian.com/support/resources/calculator/`

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ranges [Gallup et al., 2008]. The authors acknowledge that baseline cannot be arbitrarily increased as near-field perception is lost, but recognise that increasing the resolution requires it to grow proportionally to z_{max}^4 (where z_{max} is the furthermost detectable object). Employing this type of technology, the stereo error can be improved; however, it will be challenging reduce the error significantly, allowing detection of *micro* obstacles that pose a danger to the REX's stability.

Parameter optimisation and adaptation was a large issue when progressing methods and collecting results in varied scenarios. Across all the ROS nodes employed when operating, there are over 100 configurable parameters that contribute to the results produced. Although not all have major effects, minor changes compound to changes in results that are not understood. Key parameters to do with the ZED's SDK regarding frame-rate, resolution, depth matching quality and tracking are still poorly understood, often trial and error was used to adjust these values. Stemming from the large potential for covariance between parameters, it was not within the scope of this research to determine these relations. It is feasible to employ advances in machine learning and controlled reinforcement learning to address this issue. Using ground truth (such as laser based traversability) maps is a commonly employed technique in machine optimisation and is directly applicable in this situation. Additionally, the inclusion of ambient lighting levels (via a light sensor) could help adjust parameters to match, maintaining optimum results when transitioning from indoors to outdoors. Literature shows that evolutionary algorithms can be effectively employed, providing the constraints are well understood[Bäck and Schwefel, 1993].

Relating to technology compromise, the results indicate that a combination of sensors, utilising each's strengths, could further improve reliability. Stereo-depth sensor's ability to still function in sunlight was a key factor in choosing the technology, and in this regard, it has proven that it can perform; even in bright sunlight (figure 9.13 and more in the additional content). Combining sensory inputs to improve accuracy is not a novel approach. Maier et al. employ such a tactic in their article, using a Computer Aided Design (CAD) scene to represent the static information of the map (walls, stairs, structural), and a RGB-D camera to add dynamic objects and obstacles to the map [Maier et al., 2012]. From a commercial standpoint, using CAD to map the environment REX will inhabit is not directly feasible, but employing a laser scanner, such as that used in chapter 8, is a potential. Effectively creating mm accurate maps of the static environment (such as those shown in figure 9.14), semantic labelling of this could allow significant data noise reduction, such as disregarding points detected below the surface or within walls. With REX's price-point in consideration, this is a feasible solution to allowing patients more freedom and diverse movements when using the device within a shared location such as a physiotherapy clinic. ToF cameras have only been shown in this research for comparative purposes; it is, however, clear that there exist opportunities for these to function alongside stereo vision. Recent ToF sensors developed[3] are small enough that they could provide targeted feedback. For example, these could be mounted

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[3]Such as pmd's 19k-S3 – http://www.pmdtec.com/html/pdf/pmdPhotonICs_19k_S3.pdf

in the foot or on the ankle of the device to provide highly accurate surface maps (since these operate at less than 1% error in accuracy). As with the laser mapping, employing ToF would not replace stereo, but rather extend it. Determining step height and depth was investigated and results show that while steps are consistently detected (figures 9.7, and 9.12), it is still difficult to extract the correct step height (figure 9.8c). Analysing the results, the key issue is that the steps are not detected as flat parallel platforms, but rather smoothed slopes, making feature extraction difficult. It could be investigated if a two-dimensional laser scanner mounted so that it could scan vertically could augment these methods sufficiently to resolve this ambiguity.

When collecting results, a key issue was alignment with the ground plane. The methods developed in section 4.3.1 enabled case-by-case alignment (critical to the dynamic start position of the REX), but did present challenges when operating in harsh environments. Often result recordings had to be discarded because the ground-plane alignment would be wrong, causing the DEMs to be completely erroneous (since the process assumes the positive z-axis is perpendicular to the ground). Investigating the causes of this revealed that excessive sunlight would cause the first $10\,\mathrm{s}$ to $30\,\mathrm{s}$ of depth data to be significantly warped due to over exposure whilst the camera adjusted. Although an interim fix of simply delaying the single-shot ground alignment process when operating outdoors (giving the camera time to adjust) sufficed, it did raise awareness that a constantly updating alignment process would be advantageous. It would, however, have to be determined when the floor was sufficiently visible, as a stable result relied on this.

Map update rates of less than $1\,\mathrm{Hz}$ caused additional complications visible in the results. The Jetson TX1 was employed to generate the results presented, and an effort was maintained to keep this real-time in nature; however there was much room for improvements. Investigating these revealed that the hardware was not being utilised to its full potential, often leaving the GPU and sometimes even individual CPU cores unloaded. Reason for this was the reliance on platform independent code, such as *grid_mapping*, which was not designed to be multi-threaded in nature necessarily, or utilise the GPU. In fact only ZED's SDK made use of the GPU resources, even though all processes that deal with point-clouds (or derivations thereof, such as DEMs) are well suited for the kind of hardware parallelization offered by GPUs. Future improvements in this research would have to investigate implementing core processing steps within the GPU to achieve greater update rates, potentially also reducing the discontinuity that has been a primary source of erroneous results.

Employing ROS has been a significant advantage in bringing together the many aspects of implementing a depth vision system (illustrated in figure 5.3a), and whilst introducing some overhead to the system, this was an acceptable compromise. An example of what ROS enabled can be seen when looking at the results in section 9.3, demonstrating an end-to-end implementation of the systems with a human operated REX. As the *REX_Link* interface is only compatible with Windows operating systems, ROS's messaging structure and core framework allowed a quick

solution (less than a day development time) to a cross-platform communication issue complex in nature. Extending on this, inserting additional building blocks becomes a modular process, for example integrating depth information from an additional ToF sensor would be a trivial matter.

Throughout all parts of this work, patient safety was always considered highly important. REX Bionics carefully considers all design choices it must make as their medical class devices must be safe to operate and incapable of causing harm. The implications of this have become apparent during this research, especially regarding software safety. To determine software as medically safe is an incredibly difficult task, and as identified early on, would be far outside of the scope of this research. It is, however, likely that a framework such as ROS would never be directly considered medically safe, due to it's extensively large code-base. This introduces a certain challenge, to which the most obvious solution is to separate the two core components as demonstrated in the results. Posing implications for future development, however, this leaves to question if it could ever be considered *safe* for a framework, such as the one presented, to autonomously control a device inhabited by a human being. Discussions around this often identify that this strenuous restriction of systems can hold back technology from reaching patients that desperately require and want it.

10.2 Conclusion

Since the late 19th century, humans have attempted to extend their capabilities by literally surrounding themselves with technology in the form of an exoskeleton. This Technology has rapidly advanced, consistently extending the capability of these devices and broadening the outreach of their applications. Medical exoskeletons are becoming mainstream with multiple companies pursuing the technology, each adding their improvements, jointly advancing the state-of-the-art. REX Bionics is a company heavily involved in medical exoskeleton development and uniquely offer the only non-crutch assisted platform, primarily targeted for physiotherapy of patients unable to control their lower body. As they do not rely on four points of ground contact (crutches), terrain navigation and understanding is vital to the stable and safe operation of their devices. Analysing their current technology, it was identified that this could potentially be advanced by applying machine vision practices to their unique application case to help close the machine control loop. Reviewing literature on the matter indicated that research on such an application had not yet been pursued and that the benefits for such a device were yet unknown. Considering what research had been conducted, especially in the areas of machine vision applied to mobile robotics, an extensive review of the literature was conducted, forming an educated foundation of knowledge on which to build. The core challenge would be developing a system compatible with the REX platform that was not only viable in a theoretical manner but provided an easy path to commercial viability both regarding technology and benefit to the customer.

Removing constraints that held other research within a theoretical academic domain would be a core challenge of this work. From a hardware perspective, this was addressed by choosing to work with a commercially available depth camera (the ZED), reducing the time-to-market. Initially, two stereo vision systems were trialled, but the ZED proved to suit the purpose better. One constraint that often was faced with similar work was that ToF or structured light cameras, whilst being generally more accurate, had limited to no functionality outdoors. Stereo vision (whilst introducing its own challenges) removed this constraint. Pairing the ZED with a Jetson TX1 embedded computing module presented consumer-grade hardware that could easily be integrated into the REX platform, should this research prove beneficial. Choosing to develop with ROS as a core component enabled this research to quickly and efficiently bring togeather multiple aspects and processes. By breaking tasks down to have smaller and precise goals, a *pipeline* of these was easily constructed, individually joined by the ROS framework to tackle a greater complex task. A major challenge identified in this work was the lack of a clear path to employing machine vision to such an application. Extracting useful information required the development of high-level drivers that would work with the ZED to provide calibration and alignment of the data provided relative to the REX platform. Ground plane detection and visual odometry allowed the system to track the movement of the platform in three-dimensional space with respect to time, which was fundamental to building up a map of the surroundings. Filters were developed that focused on removing the noise produced by stereo-vision devices before this was integrated into temporal DEMs of the environment. Based heavily on the working of the ASL-ETHZ[4] group, this data was processed and analysed for traversability by the REX platform. Representing the surrounding terrain as a map of traversable to non-traversable (values between 1.0 and 0.0 respectively), allowed for the device to quickly determine whether a specific movement was potentially unsafe. To tie everything together, methods of testing the frameworks developed on an actual REX were put in place. This involved interfacing with the REX platform, intercepting user commands, querying the traversability maps, and either allowing or denying the movement request.

Evaluating the ability of the developed methods to correctly sense, map, and label terrain, in a manner the was directly integrated to the REX was demonstrated through various results. Building in complexity, differing aspects of performance were presented; from flat floor detection to complex stairways in mixed lighting and obscured terrain. These results highlighted the systems general capability to correctly identify terrain, although do show significant challenges introduced by the jerky movement of the REX platform. Stairs are detected with reasonable accuracy and relevant information such as step height and pitch is proved to be contained in the maps. Results are directly compared to a *ground-truth* data set gathered with a laser scanner. These assess the operability outdoors in mixed lighting and direct sunlight, where (although

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[4]http://www.asl.ethz.ch/

degraded) useful results are still produced. Also, these provide a good quantitative measure of inaccuracy in the terrain traversability estimation process. Summarising these results, their usefulness is demonstrated by showing them in use with a REX device.

Questioning the validity of these results and revisiting the initial question, *commercial viability of a machine vision system for medical exoskeletons*, a critical discussion identifies that key areas require further investigation before this can be answered. Whilst this work and the results demonstrate an interaction between the two fields that does extend the ability of the device, the constraints and conditions of operating are still too confined. Additionally, end-user engagement and benefit are not directly assessed, leaving the commercial cost-benefit question largely open. Potential directions that can solve the key issues identified in these works, such as sensor inaccuracy or motion-tracking, are suggested. Ultimately the knowledge base about applying machine vision to medical exoskeletons has been extended with a practical application that identifies some of the key challenges that still need to be overcome to provide a commercially viable solution that enhances the user experience.

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Appendices

I ZED Datasheet

TECH SPECS

Dimensions

6.89 in.
(175 mm)

Features

› High-Resolution and High Frame-rate 3D Video Capture

› Depth Perception indoors and outdoors at up to 20m

› 6-DOF Positional Tracking

› Large-scale 3D Mapping using ZEDfu

Video

Video Mode	Frames per second	Output Resolution (side by side)
2.2K	15	4416x1242
1080p	30	3840x1080
720p	60	2560x720
WVGA	100	1344x376

Depth

Depth Resolution	Depth Format
Same as selected video resolution	32-bits

Depth Range	Stereo Baseline

	0.7 - 20 m (2.3 to 65 ft)	120 mm (4.7")

Motion

6-axis Pose Accuracy
Position: +/- 1mm
Orientation: 0.1°

Technology
Real-time depth-based visual odometry
and SLAM

Frequency
Up to 100Hz

Lens

› Wide-angle all-glass dual lens with reduced distortion

› Field of View: 110° (D) max.

› ƒ/2.0 aperture

Sensors

Sensor Resolution
4M pixels per sensor with
large 2-micron pixels

Sensor Format
Native 16:9 Format
for a greater horizontal field of view

Sensor Size
1/3" backside illumination sensors
with high low-light sensitivity

Shutter Sync
Electronic Synchronized Rolling Shutter

Camera Controls
Adjust Resolution, Frame-rate, Exposure,
Brightness, Contrast, Saturation,
Gamma, Sharpness and White Balance

ISP Sync
Synchronized Auto Exposure

Connectivity

Connector
USB 3.0 port with 1.5m integrated cable

Mounting Options
Mount the camera to the ZED mini tripod
or use its 1/4"-20 UNC thread mount

Power
Power via USB
5V / 380mA

Operating Temperature
0°C to +45°C (32°F to 113°F)

Size and Weight

Dimensions
175 x 30 x 33 mm (6.89 x 1.18 x 1.3'')

Weight
159 g (0.35 lb)

Compatible OS

Windows 7, 8, 10   Linux

Third-party Support

unity   oculus   ROS   OpenCV   MATLAB

SDK System Requirements

> Dual-core 2,3GHz or faster processor

> 4 GB RAM or more

> Nvidia GPU with compute capability > 2.0

In The Box

> ZED Stereo camera

> Mini Tripod stand

> USB Drive with Drivers and SDK

> Documentation

Order your ZED today

Start building exciting new applications that recognize and understand your environment.

Order Now

II   DUO MLX Datasheet

// DUO

Solution Overview

- Ultra Compact Design (52 x 24 x 13 mm)
- Acquire Stereo Frames at (0.1-3000+ FPS)
- Programmable Illumination Array (3x3.4W)
- Hi-Speed USB 2.0 Interface (480Mb/s)
- Standard Interfaces, Lenses & Mounting
- Available Board Level/Reference Designs
- Board Level Strobe Trigger Signal
- Modular/Extendable Design
- Software API/SDK & Drivers

Embeddable stereo imaging for high performance 3D sensing.

Code Laboratories introduces a new series of machine vision cameras, utilizing low latency and low noise CMOS sensors and hardware synchronization. With a wide range of accessories and customizable illumination, lens, mounts and more the DUO offers a unique and powerful solution for researchers and integrators.

Using high-speed USB 2.0 bus protocol and offering a fully programmable illumination array, digitization and processing of monochrome video signals, these cameras are designed for real-time uncompressed stereo video streaming and digital still image acquisition while maintaining resolution and high frame rates.

This document will outline the specifications of the **DUO mini lx** solution. Featuring an ultra-compact design and low level sensor access in an extremely lightweight solution (only 12.5 grams). The ultra small form factor provides more versatility in space restricted applications.

With a standard USB 2.0 interface, Micro USB connector and modular components to allow for use in real-time/high demand applications. The camera supports a standard M8 Lens Mount for flexible usage with a wide range of industrial micro lens configurations.

The software provides thorough documentation and low level access to sensor data making it easy to integrate into existing and embedded systems. With a robust C API and SDK examples, common languages/frameworks such as C/C++, C#, Python and more are supported.

The cameras offers fine grain control over all aspects of device usage and is highly optimized for real-time scenarios. Target areas of application are machine vision, human computer interaction, automotive, robotics, microscopy inspection, military, medical, navigation and related fields.

Specification	Model: CL-DUO-MINILX-LV1
Stereo Frames	Configurable Binning/Windowing: 56 FPS @ 752x480 62 FPS @ 640x480 123 FPS @ 640x240 240 FPS @ 640x120 93 FPS @ 320x480 184 FPS @ 320x240 360 FPS @ 320x120 Variable Framerates: 0.1-3000+ FPS
Pixel Size	6.0 x 6.0μm
Baseline	30.0mm
Colormode/Filters	Monochrome/IR - 850nm Narrow Band-pass
Focal Lengths	2.0mm - 2.1mm - Infinity 2.2mm - Back 3.1mm
Field of View	170° Wide Angle Lens with Low Distortion < 3%
Lens Type	M8 x P0.5 - Compact Microlens
Interfaces	1 x USB 2.0 Interface (Micro USB)
Power Consumption	~2.5 Watt @ +5V DC from USB
Illumination	3 Independently controlled 3.4W 850nm IR LEDs 170° light cone Individual brightness and illumination sequence programmable in 256 linear steps.
Transfer Rate	Hi-Speed 480 Mbps
Control Functions	Exposure/Shutter/Brightness
Scanning Modes	Progressive Scan/Global Shutter
S/N Ratio	> 54dB Linear
Sync/Strobe Triggers	Yes
Supplied Accessories	Lens Covers, Drivers/SDK, Micro USB cable
Temperatures	Operations: -5 to50° C Storage: -20 to 60° C
Shutter Speed	0.3 μsec ~ 10 sec
Integrated Sensors	6 Axis (Gyroscope/Accelerometer) Motion Sensor Temperature
Dimensions/Weight	52 x 25.4 x 13.3 mm @ 12.5 grams
Current Revision	2.0

DUO API/SDK & Vision Engine

Software Overview

- High Performance & Modular Imaging Pipeline
- Dense 3D Mapping/Reconstruction
- Feature Based Tracking/Processing
- Highly Optimized Algorithms (AMX/SSE)
- Direct Memory Access Driver/Interface
- Standard C API with Language/Framework Bindings
- Compatible with C++, C#, Python, MATLAB and more.

Sensor Access & Control

The **DUO API** provides low level access and control of the device and related sensors. Whether running in standalone or in an array the API allows for precise manipulation of common parameters such as acquisition rates and illumination methods. This is a requirement for developing fully custom machine vision applications.

Applications & Algorithms

The **DUO SDK** provides high level applications and algorithms for working with modern stereo vision systems. With applications ranging from standard matching examples, dense 3D reconstruction, hand and face tracking and more we aim to give developers a head start by providing highly optimized production quality solutions.

Requirements

- Modern Processor
  Intel i5/i7, AMD or ARM
- Minimum 4GB System Memory
- Linux, Mac or Windows OS
- Micro USB 2.0 Hi-Speed Cable
- Internet Connection for Updates

30.02mm

52.02mm

25.40mm

13.30mm

Phone: 1-800-282-5031
duo@codelaboratories.com
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Code Laboratories, Inc.
Las Vegas, Nevada - USA

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III   Jetson TX1 Datasheet

Unleash Your Potential with the Jetson TX1 Development Kit

The Jetson TX1 Developer Kit is a full-featured development platform for visual computing designed to get you up and running fast. It comes pre-flashed with a Linux environment, includes support for many common APIs, and is supported by NVIDIA's complete development tool chain. The board also exposes a variety of standard hardware interfaces, enabling a highly flexible and extensible platform. This makes it ideal for all your applications requiring high computational performance in a low-power envelope.

What's in the DevKit?

You will receive the Jetson TX1 Developer Board, AC adapter with power cord, a USB Micro B to USB A adapter, a copy of the Quick Start Guide, Safety Guide, and two Antennas for Wi-Fi.

The Tech Specs

JETSON TX1 MODULE

- NVIDIA Maxwell™ GPU with 256 NVIDIA® CUDA® Cores
- Quad-core ARM® Cortex®-A57 MPCore Processor
- 4 GB LPDDR4 Memory
- 16 GB eMMC 5.1 Flash Storage
- 10/100/1000BASE-T Ethernet

JETSON CAMERA MODULE

- 5 MP Fixed Focus MIPI CSI Camera

BUTTONS

- Power On/Off
- Reset
- Force Recovery
- User-Defined

I/O

- USB 3.0 Type A
- USB 2.0 Micro AB (supports recovery and host mode)
- HDMI
- M.2 Key E
- PCI-E x4
- Gigabit Ethernet
- Full-Size SD
- SATA Data and Power
- GPIOs, I2C, I2S, SPI*
- TTL UART with Flow Control
- Display Expansion Header*
- Camera Expansion Header*
  - *I/O expansion headers: refer to product documentation for header specification.

POWER OPTIONS

- External 19V AC adapter

The following items are recommended, but not included:

- HDMI Display and Cable (Type A)
- Keyboard and Mouse
- JTAG Debugger
- TTL to RS232 UART

Select Your Country

Austria (http://www.nvidia.de/object/jetson-tx1-dev-kit-de.html)

Belgium (http://www.nvidia.fr/object/jetson-tx1-dev-kit-fr.html)

Canada (http://www.nvidia.com/object/jetson-tx1-dev-kit.html)

Czech Republic (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

Denmark (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

Finland (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

France (http://www.nvidia.fr/object/jetson-tx1-dev-kit-fr.html)

Germany (http://www.nvidia.de/object/jetson-tx1-dev-kit-de.html)

Ireland (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

Israel (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-il.html)

Italy (http://www.nvidia.it/object/jetson-tx1-dev-kit-it.html)

Japan (http://www.nvidia.co.jp/object/jetson-tx1-dev-kit-jp.html)

South Korea (http://www.nvidia.co.kr/object/jetson-tx1-dev-kit-kr.html)

Luxembourg (http://www.nvidia.fr/object/jetson-tx1-dev-kit-fr.html)

Netherlands (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

Norway (http://www.nvidia.co.uk/object/jetson-tx1-dev-kit-uk.html)

Poland (http://www.nvidia.pl/object/jetson-tx1-dev-kit-pl.html)

Portugal (http://www.nvidia.es/object/jetson-tx1-dev-kit-es.html)

IV REX Exoskeleton Datasheet

12 Technical Specifications

12.1 REX Device Specifications

Device Specifications		
REX Dimensions	☛ 1050 to 1300 mm height ☛ 645 mm Width ☛ 660 mm Depth	☛ 41.3 to 51.2 inches ☛ 25.4 inches ☛ 26.0 inches
REX Weight (Complete)	45 kg	99.2 lbs
REX Device Range of Movement	Hip	☛ 90 degrees flexion ☛ 15 degrees hip extension ☛ 20 degrees abduction ☛ 16 degrees adduction
	Knee	☛ 0 degrees extension ☛ 93 degrees flexion
	Ankle	☛ 20 degrees dorsiflexion ☛ 22 degrees dorsiflexion ☛ 10 degrees plantar flexion ☛ 9 degrees inversion ☛ 9 degrees eversion
User Height	1.42 to 1.93 m	4'8" to 6'4"
User Weight	40 to 100 kg	88 to 220 lbs
Battery Weight	4.3 kg	9.5 lbs
Battery Type	Lithium Polymer	
Battery Charger	115/230 Vac, 50/60 Hz	
Battery Charger Input	☛ 6.0/3.0 A ☛ Charging Voltage 33.6 V	
Battery Charger Output	8.0 A	
Battery Voltage	33.6 V	
Battery Capacity	16.5 Ah	
Usage Duration	1 hour, depending on usage activities	
IP Mark	IP2X	

Operating Environment		
Temperature	5˚C to 35˚C	41˚F to 95˚F
Relative Humidity	25 to 95% at 35˚C (non-condensing)	25 to 95% at 95˚F (non-condensing)

Standards Classification for IEC 60601-1	
Charger	☛ Class 1 Equipment ☛ Internally Powered Equipment, Type B Applied Part ☛ Continuous Operation
REX	Equipment not suitable for use in the presence of a flammable anesthetic mixture, with air or oxygen or nitrous oxide.

12.2 EMC Guidance & Declaration

The following information is required for compliance to the standard IEC 60601-1-2 for medical device electromagnetic compatibility.

Guidance and manufacturer's declaration – electromagnetic emissions		
REX is intended for use in the electromagnetic environment specified below. The customer or the user of REX should assure that it is used in such an environment.		
Emissions Test	**Compliance**	**Electromagnetic Environment – Guidance**
RF emissions CISPR 11	Group 1	REX uses RF energy only for its internal function. Therefore, its RF emissions are very low and are not likely to cause any interference in nearby electronic equipment.
RF emissions CISPR 11	Class B	REX is suitable for use in all establishments, including domestic establishments and those directly connected to the public low-voltage power supply network that supplies buildings used for domestic purposes.
Harmonic emissions IEC 61000-3-2	Class A	
Voltage fluctuations / flicker emissions IEC 61000-3-3	Complies	

V Additional Results

Included in the bonus content package are results not published here. These are sorted by location and often include processed point cloud exports alongside traversability mapping images.

VI Source Code

Listing 1: ROS Interface Program C++ Header

```cpp
1  // ============================================================================
2  //                 Rex ROS Sample Core Node
3  //          Copyright(C) {2016}  {Manu Lange}
4  //
5  // This program is free software : you can redistribute it and / or modify
6  // it under the terms of the GNU General Public License as published by
7  // the Free Software Foundation, either version 3 of the License, or
8  // (at your option) any later version.
9  //
10 // This program is distributed in the hope that it will be useful,
11 // but WITHOUT ANY WARRANTY; without even the implied warranty of
12 // MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE.See the
13 // GNU General Public License for more details.
14 //
15 // You should have received a copy of the GNU General Public License
16 // along with this program.If not, see < http ://www.gnu.org/licenses/>.
17 // ============================================================================
18 #pragma once
19
20 #include <ros/ros.h>
21 #include <std_srvs/Empty.h>
22 #include <tf2_ros/transform_listener.h>
23 #include <tf2_geometry_msgs/tf2_geometry_msgs.h>
24 #include <tf2/convert.h>
25 #include <tf2_eigen/tf2_eigen.h>
26 #include <vector>
27 #include <geometry_msgs/TransformStamped.h>
28 #include <geometry_msgs/Twist.h>
29 #include <geometry_msgs/PolygonStamped.h>
30 #include <visualization_msgs/Marker.h>
31 #include <geometry_msgs/PoseStamped.h>
32 #include <rex_interface/stepQuery.h>
33 #include <traversability_msgs/CheckFootprintPath.h>
34 #include <traversability_msgs/FootprintPath.h>
35 #include <traversability_msgs/TraversabilityResult.h>
36
37 class RexInterface
38 {
39 public:
40    RexInterface(ros::NodeHandle& nodeHandle);
41    ~RexInterface();
42
43    /*!
```

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```
44      * Attempts to take a step in the given direction.
45      * @param request the ROS service request.
46      * @param response the ROS service response.
47      * @return true if successful.
48      */
49      bool step ( rex_interface :: stepQuery :: Request& request , rex_interface ::
            stepQuery :: Response& response );
50
51      /*!
52      * Reads in all the parameters from the parameter server.
53      * @return true if successful.
54      */
55      bool readParamters ();
56
57      /*!
58      * Callback for a movement goal message. Well check if footstep is
            possible at goal.
59      * @return true if successful.
60      */
61      void stepQueryCallback (const geometry_msgs :: PoseStampedConstPtr&
            message );
62
63      void visualise (const geometry_msgs :: Pose footprint );
64
65 private:
66      ros :: NodeHandle& nodeHandle_ ;
67      ros :: Publisher markerPublisher_ ;
68      ros :: Subscriber     stepQueryPoseSubscriber_ ;
69      ros :: ServiceServer stepForwardService_ ;
70      ros :: ServiceServer stepService_ ;
71      ros :: ServiceClient footprintCheckerSubscriber_ ;
72      tf2_ros :: Buffer tfBuffer ;
73      tf2_ros :: TransformListener tfListener ;
74
75      //! Vertices of the footprint polygon in base frame.
76      std :: vector<geometry_msgs :: Point32> footprintPoints_ ;
77
78      //parameters
79      std :: string footprintServiceName_ ;
80      std :: string footprintFrame_ ;
81      double       stepForwardDistance_ ;
82      double       stepBackwardDistance_ ;
83      double       stepSidewaysDistance_ ;
84      double       footprintRadius_ ;
85      double       safeTraverse_ ;
86
87      /// <summary>Joystick sectors</summary>
```

```
88    enum EJoystickSector : int
89    {
90        NO_POSITION = 0,
91        INSIDE_N,
92        INSIDE_NE,
93        INSIDE_E,
94        INSIDE_SE,
95        INSIDE_S,
96        INSIDE_SW,
97        INSIDE_W,
98        INSIDE_NW,
99        OUTSIDE_N,
100       OUTSIDE_NNE,
101       OUTSIDE_NE,
102       OUTSIDE_ENE,
103       OUTSIDE_E,
104       OUTSIDE_ESE,
105       OUTSIDE_SE,
106       OUTSIDE_SSE,
107       OUTSIDE_S,
108       OUTSIDE_SSW,
109       OUTSIDE_SW,
110       OUTSIDE_WSW,
111       OUTSIDE_W,
112       OUTSIDE_WNW,
113       OUTSIDE_NW,
114       OUTSIDE_NNW,
115   };
116
117   enum ResultCode : int
118   {
119       OK = 0,
120       NOT_TRAVERSABLE,
121       ERROR = -1,
122   };
123 };
```

Listing 2: ROS Interface Program C++ Source

```
1 // =======================================================================
2 //              Rex ROS Sample Core Node
3 //          Copyright(C) {2016}  {Manu Lange}
4 //
5 // This program is free software : you can redistribute it and / or modify
6 // it under the terms of the GNU General Public License as published by
7 // the Free Software Foundation, either version 3 of the License, or
8 // (at your option) any later version.
```

```cpp
9  //
10 // This program is distributed in the hope that it will be useful,
11 // but WITHOUT ANY WARRANTY; without even the implied warranty of
12 // MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE.See the
13 // GNU General Public License for more details.
14 //
15 // You should have received a copy of the GNU General Public License
16 // along with this program.If not, see < http ://www.gnu.org/licenses/>.
17 // =======================================================================
18
19 #include <iostream>
20 #include <pcl/common/common_headers.h>
21 #include <Eigen/Core>
22
23
24 #include "../include/rex_interface/rex_interface.hpp"
25
26
27
28 RexInterface :: RexInterface ( ros :: NodeHandle& nodeHandle )
29   : nodeHandle_(nodeHandle),
30   tfListener(tfBuffer)
31 {
32   readParamters();
33
34   //Load publishers
35   markerPublisher_ = nodeHandle_.advertise<visualization_msgs :: Marker>("
        visualization_marker", 0);
36
37   //Load service handlers
38   stepForwardService_ = nodeHandle_.advertiseService("step_forward", &
        RexInterface :: stepForward , this );
39   stepService_ = nodeHandle_.advertiseService("step", &RexInterface :: step ,
         this );
40
41   //Subscribe to services
42   footprintCheckerSubscriber_ = nodeHandle_.serviceClient<
        traversability_msgs :: CheckFootprintPath >(footprintServiceName_);
43
44   //Subscribe to messages
45   stepQueryPoseSubscriber_ = nodeHandle_.subscribe("/move_base_simple/goal
        ", 1, &RexInterface :: stepQueryCallback , this );
46
47 }
48
49 RexInterface :: ~RexInterface ()
50 {
```

```
51      nodeHandle_.shutdown();
52 }
53
54 bool RexInterface::step(rex_interface::stepQuery::Request& request,
       rex_interface::stepQuery::Response& response)
55 {
56     //Establish local variables
57     traversability_msgs::CheckFootprintPath    footprintService;
58     traversability_msgs::FootprintPath    footprintPath;
59     geometry_msgs::Pose                    footprint;
60     response.resultCode = ResultCode::ERROR;   //default to error
61
62     geometry_msgs::TransformStamped transformStamped;
63     //Get transform to map
64     try {
65        transformStamped = tfBuffer.lookupTransform("map",
66           "base_link",
67           ros::Time(0),ros::Duration(1.0));
68     }
69     catch (tf2::TransformException &ex) {
70        ROS_WARN("%s", ex.what());
71        return false;
72     }
73
74     //tf2::Vector3 footPosition;
75     Eigen::Vector3d    footPosition;
76
77     //Check if the direction queried is handled. This should become generic
           eventually..
78     footPosition.z() = 0.0; //Default z height
79     footprint.orientation.x = footprint.orientation.y = footprint.
           orientation.z = footprint.orientation.w = 0.0;   //Default
           orientation
80     switch (request.direction)
81     {
82     case OUTSIDE_N:
83        footPosition.x() = stepForwardDistance_;
84        footPosition.y() = 0.0;
85        break;
86     case OUTSIDE_E:
87        footPosition.x() = 0.0;
88        footPosition.y() = -stepSidewaysDistance_;
89        break;
90     case OUTSIDE_S:
91        footPosition.x() = -stepBackwardDistance_;
92        footPosition.y() = 0.0;
93        break;
```

```
94     case OUTSIDE_W:
95         footPosition.x() = 0.0;
96         footPosition.y() = stepSidewaysDistance_;
97         break;
98     default:
99         return false;  //Unhandled case, return an error.
100    }
101
102    //Transform frames
103    Eigen::Affine3d   eigenTF = tf2::transformToEigen(transformStamped);
104    Eigen::Affine3f   eigenTFf = eigenTF.cast<float>();   //need flaot form
           for PCL helper
105    footPosition = eigenTF*footPosition;
106    float roll, pitch, yaw;
107    pcl::getEulerAngles(eigenTFf, roll, pitch, yaw);
108    //ROS_INFO("Roll: %f, Pitch: %f, Yaw: %f", roll,pitch,yaw);
109    float yawTOw = (1.570796 / yaw); //For some reason the quarternion uses
           a scale of 2.0 per pi radians... Maybe it uses 2 * vector length,
           which I set to 1.0?
110    //bug may be the normalisation of quart. Testing
111    Eigen::Quaternion<double>  testing;
112    tf2::Quaternion    normalQuat;
113    normalQuat.setRPY(0.0, 0.0, yaw);
114    normalQuat.normalize();
115    //Set rotation
116    footprint.orientation.x = normalQuat.x();
117    footprint.orientation.y = normalQuat.y();
118    footprint.orientation.z = normalQuat.z();
119    footprint.orientation.w = normalQuat.w();
120
121    //load footprints into service message
122    footprint.position.x = footPosition.x();
123    footprint.position.y = footPosition.y();
124    footprint.position.z = 0.0; //footPosition.z(); //Testing force 0
125    footprintPath.poses.header.frame_id = footprintFrame_;   //Test using /
           map instead of footprintFrame_
126    footprintPath.poses.header.stamp = ros::Time::now();
127    footprintPath.poses.poses.push_back(footprint);
128
129    //Using polygon
130    footprintPath.radius = 0.0;   //Testing polygons
131    footprintPath.footprint.header = footprintPath.poses.header;
132
133    for (size_t i = 0; i < footprintPoints_.size(); i++)
134    {
135        footprintPath.footprint.polygon.points.push_back(footprintPoints_[i])
               ;
```

```
136        }
137
138        //copy into service request
139        footprintService.request.path.push_back(footprintPath);
140
141        ROS_INFO("Debugging: %f, %f, %f || %f, %f, %f, %f || %s",
142            footprintPath.poses.poses.front().position.x,
143            footprintPath.poses.poses.front().position.y,
144            footprintPath.poses.poses.front().position.z,
145            footprintPath.poses.poses.front().orientation.x,
146            footprintPath.poses.poses.front().orientation.y,
147            footprintPath.poses.poses.front().orientation.z,
148            footprintPath.poses.poses.front().orientation.w,
149            footprintPath.poses.header.frame_id.c_str());
150
151        //call service
152        footprintCheckerSubscriber_.waitForExistence(); //wait for it
153        ROS_DEBUG("Calling footprint checker service");
154        footprintCheckerSubscriber_.call(footprintService);
155
156        //Testing Visualisation
157        visualise(footprint);
158
159        double result = 0.0;
160        result = footprintService.response.result.front().traversability;
161        ROS_INFO("Traversibility: %f", result);
162
163        if (result >= safeTraverse_)  //Todo: Figure out why sometimes it
                returns values around 0.95 when some cells are 'unseen'
164            response.resultCode = ResultCode::OK;
165        else
166            response.resultCode = ResultCode::NOT_TRAVERSABLE;
167
168        //Todo: Figure out how to handle unmapped terrain properly...? Maybe the
                isSafe flag on footpath response?
169
170        return true;
171 }
172
173 bool RexInterface::readParamters()
174 {
175     //Added footprint for query
176     // Read footprint polygon.
177     XmlRpc::XmlRpcValue footprint;
178     if (nodeHandle_.getParam("footprint/footprint_polygon", footprint)) {
179         if (footprint.size() < 3) {
180             ROS_WARN("Footprint polygon must consist of at least 3 points.
```

```
                 Only %i points found.", footprint.size());
181             footprintPoints_.clear();
182         }
183         else {
184             geometry_msgs::Point32 pt;
185             pt.z = 0.0;
186             for (int i = 0; i < footprint.size(); i++) {
187                 pt.x = (double) footprint[i][0];
188                 pt.y = (double) footprint[i][1];
189                 footprintPoints_.push_back(pt);
190             }
191         }
192     }
193     else {
194         ROS_WARN("Traversability Map: No footprint polygon defined.");
195     }
196
197     nodeHandle_.param("footprintServiceName", footprintServiceName_, std::
            string("/rex_traversibility/check_footprint_path"));
198     nodeHandle_.param("footprintFrame", footprintFrame_, std::string("
            base_link"));
199     nodeHandle_.param("stepForwardDistance", stepForwardDistance_, 0.40);
200     nodeHandle_.param("stepBackwardDistance", stepBackwardDistance_, 0.25);
201     nodeHandle_.param("stepSidwaysDistance", stepSidewaysDistance_, 0.10);
202     nodeHandle_.param("footprintRadius", footprintRadius_, 0.15);
203     nodeHandle_.param("safeTraverse", safeTraverse_, 0.80);
204     return true;
205 }
206
207 void RexInterface::stepQueryCallback(const geometry_msgs::
        PoseStampedConstPtr&   message)
208 {
209     traversability_msgs::CheckFootprintPath   footprintService;
210     traversability_msgs::FootprintPath   footprintPath;
211     geometry_msgs::Pose                footprint;
212
213     //Copy pose information into footprint message
214     footprint.position.x = message->pose.position.x;
215     footprint.position.y = message->pose.position.y;
216     footprint.position.z = message->pose.position.z;
217
218     footprint.orientation.x = message->pose.orientation.x;
219     footprint.orientation.y = message->pose.orientation.y;
220     footprint.orientation.z = message->pose.orientation.z;
221     footprint.orientation.w = message->pose.orientation.w;
222
223     //load footprints into service message
```

```
224    footprintPath.poses.header.frame_id = footprintFrame_;   //This should
           be changed...
225    footprintPath.poses.header.stamp = ros::Time::now();
226    footprintPath.poses.poses.push_back(footprint);
227
228
229    //assign radius, this could later be a polygon
230    footprintPath.radius = 0.0;   //Testing polygons
231    footprintPath.footprint.header = footprintPath.poses.header;
232
233    for (size_t i = 0; i < footprintPoints_.size(); i++)
234    {
235        footprintPath.footprint.polygon.points.push_back(footprintPoints_[i])
               ;
236    }
237
238    //copy into service request
239    footprintService.request.path.push_back(footprintPath);
240
241    ROS_INFO("Debugging: %f, %f, %f || %f, %f, %f, %f || %s",
242        footprintPath.poses.poses.front().position.x,
243        footprintPath.poses.poses.front().position.y,
244        footprintPath.poses.poses.front().position.z,
245        footprintPath.poses.poses.front().orientation.x,
246        footprintPath.poses.poses.front().orientation.y,
247        footprintPath.poses.poses.front().orientation.z,
248        footprintPath.poses.poses.front().orientation.w,
249        footprintPath.poses.header.frame_id.c_str());
250
251    //call service
252    footprintCheckerSubscriber_.waitForExistence(); //wait for it
253    ROS_DEBUG("Calling footprint checker service");
254    footprintCheckerSubscriber_.call(footprintService);
255
256    //Testing Visualisation
257    visualise(footprint);
258
259    double test = 0.0;
260    test = footprintService.response.result.front().traversability;
261    ROS_INFO("Traversibility: %f", footprintService.response.result.front().
           traversability);
262
263 }
264
265
266 void RexInterface::visualise(const geometry_msgs::Pose footprint)
267 {
```

```
268    //Testing Visualisation
269    visualization_msgs::Marker marker;
270    marker.header.frame_id = "map";
271    marker.header.stamp = ros::Time();
272    marker.ns = "rex_interface";
273    marker.id = 0;
274    marker.type = visualization_msgs::Marker::LINE_STRIP;
275    marker.action = visualization_msgs::Marker::ADD;
276    marker.pose.position.x = footprint.position.x;
277    marker.pose.position.y = footprint.position.y;
278    marker.pose.position.z = footprint.position.z;
279    marker.pose.orientation.x = footprint.orientation.x;
280    marker.pose.orientation.y = footprint.orientation.y;
281    marker.pose.orientation.z = footprint.orientation.z;
282    marker.pose.orientation.w = footprint.orientation.w;
283
284    for (size_t i = 0; i < footprintPoints_.size(); i++)
285    {
286        geometry_msgs::Point test2;
287        test2.x = footprintPoints_[i].x;
288        test2.y = footprintPoints_[i].y;
289        test2.z = footprintPoints_[i].z;
290        marker.points.push_back(test2);
291    }
292    marker.scale.x = 0.05;
293    marker.scale.y = 1.0;
294    marker.scale.z = 1.0;
295    marker.color.a = 0.75; // Don't forget to set the alpha!
296    marker.color.r = 0.0;
297    marker.color.g = 1.0;
298    marker.color.b = 0.0;
299    markerPublisher_.publish(marker);
300 }
```

Listing 3: ROS Xacro model code

```xml
1 <?xml version="1.0"?>
2 <robot xmlns:xacro="http://www.ros.org/wiki/xacro" name="rex_collision">
3   <xacro:property name="robotName" value="rex"/>
4   <xacro:property name="pelvisWidth" value="0.470"/>
5   <xacro:property name="upperLegDepth" value="0.15"/>
6   <xacro:property name="upperLegWidth" value="0.15"/>
7   <xacro:property name="upperLegHeight" value="0.43"/>
8   <xacro:property name="lowerLegDepth" value="0.15"/>
9   <xacro:property name="lowerLegWidth" value="0.15"/>
10  <xacro:property name="lowerLegHeight" value="0.416"/>
11  <xacro:property name="footDepth" value="0.366"/>
```

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```
12   <xacro:property name="footWidth" value="0.190"/>
13   <xacro:property name="footHeight" value="0.144"/>
14   <xacro:property name="footBackToAnkle" value="0.126"/>
15   <xacro:property name="footInsideToAnkle" value="0.150"/>
16
17   <!--NOTE: Floor to base_link distance = ${ref_foot}-footHeight-->
18
19   <xacro:macro name="default_inertial" params="mass">
20     <inertial>
21       <mass value="${mass}"/>
22       <inertia ixx="1.0" ixy="0.0" ixz="0.0" iyy="1.0" iyz="0.0" izz="1.0"
            />
23     </inertial>
24   </xacro:macro>
25
26   <xacro:macro name="upperleg" params="prefix reflect">
27     <link name="${prefix}_upperleg">
28       <visual>
29         <geometry>
30           <box size="${upperLegDepth} ${upperLegWidth} ${upperLegHeight}"/>
31         </geometry>
32         <origin xyz="0 0 -${upperLegHeight/2}" rpy="0 0 0"/>
33         <material name="white">
34           <color rgba="1 1 1 1"/>
35         </material>
36       </visual>
37       <!--This is currently more of a place holder and not used...-->
38       <xacro:default_inertial mass="10"/>
39     </link>
40     <joint name="pelvis_to_${prefix}_upperleg" type="revolute">
41       <axis xyz="0 1 0"/>
42       <parent link="${robotName}_base_link"/>
43       <child link="${prefix}_upperleg"/>
44       <origin xyz="0 ${reflect*pelvisWidth/2} 0"/>
45       <limit effort="1000.0" lower="-${pi/4}" upper="${pi/4}" velocity="10"
            />
46     </joint>
47   </xacro:macro>
48
49   <xacro:macro name="lowerleg" params="prefix reflect">
50     <link name="${prefix}_lowerleg">
51       <visual>
52         <geometry>
53           <box size="${lowerLegDepth} ${lowerLegWidth} ${lowerLegHeight}"/>
54         </geometry>
55         <origin xyz="0 0 -${lowerLegHeight/2}" rpy="0 0 0"/>
56         <material name="white">
```

```xml
57              <color rgba="1 1 1 1"/>
58            </material>
59          </visual>
60          <!--This is currently more of a place holder and not used...-->
61          <xacro:default_inertial mass="10"/>
62        </link>
63        <joint name="${prefix}_upperleg_to_${prefix}_lowerleg" type="revolute">
64          <axis xyz="0 1 0"/>
65          <parent link="${prefix}_upperleg"/>
66          <child link="${prefix}_lowerleg"/>
67          <origin xyz="0 0 -${upperLegHeight}"/>
68          <limit effort="1000.0" lower="-${pi/4}" upper="${pi/4}" velocity="10"
                 />
69        </joint>
70      </xacro:macro>
71
72      <xacro:macro name="foot" params="prefix reflect">
73        <link name="${prefix}_foot">
74          <visual>
75            <geometry>
76              <box size="${footDepth} ${footWidth} ${footHeight}"/>
77            </geometry>
78            <origin xyz="${footDepth/2-footBackToAnkle} ${reflect*(footWidth/2-
                   footInsideToAnkle)} -${footHeight/2}" rpy="0 0 0"/>
79            <material name="white">
80              <color rgba="1 1 1 1"/>
81            </material>
82          </visual>
83          <!--This is currently more of a place holder and not used...-->
84          <xacro:default_inertial mass="10"/>
85        </link>
86        <joint name="${prefix}_lowerleg_to_${prefix}_foot" type="revolute">
87          <axis xyz="0 1 0"/>
88          <parent link="${prefix}_lowerleg"/>
89          <child link="${prefix}_foot"/>
90          <origin xyz="0 0 -${lowerLegHeight}"/>
91          <limit effort="1000.0" lower="-${pi/4}" upper="${pi/4}" velocity="10"
                 />
92        </joint>
93      </xacro:macro>
94
95      <link name="${robotName}_base_link">
96        <visual>
97          <geometry>
98            <box size="0.10 0.411 0.332"/>
99          </geometry>
100         <origin rpy="0 0 0" xyz="-0.160 0 -0.008"/>
```

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```
101        <material name="black">
102          <color rgba="0 0 0 1"/>
103        </material>
104      </visual>
105      <visual>
106        <geometry>
107          <box size="0.230 0.060 0.180"/>
108        </geometry>
109        <origin rpy="0 0 0" xyz="-0.07 ${pelvisWidth/2} 0.040"/>
110        <material name="white">
111          <color rgba="0 0 0 1"/>
112        </material>
113      </visual>
114      <visual>
115        <geometry>
116          <box size="0.230 0.060 0.180"/>
117        </geometry>
118        <origin rpy="0 0 0" xyz="-0.07 -${pelvisWidth/2} 0.040"/>
119        <material name="white">
120          <color rgba="0 0 0 1"/>
121        </material>
122      </visual>
123    </link>
124
125    <xacro:upperleg prefix="right" reflect="-1"/>
126    <xacro:upperleg prefix="left" reflect="1"/>
127    <xacro:lowerleg prefix="right" reflect="-1"/>
128    <xacro:lowerleg prefix="left" reflect="1"/>
129    <xacro:foot prefix="right" reflect="-1"/>
130    <xacro:foot prefix="left" reflect="1"/>
131  </robot>
```