

Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

PREDICTION AND QUANTIFICATION OF APPLE BRUISING

A thesis

submitted in partial fulfilment
of the requirements for the degree
of

Doctor of Philosophy

in
Agricultural Engineering

at
Massey University
Palmerston North
New Zealand

David Weilong Pang
1993

ACKNOWLEDGEMENT

I would like to thank Dr. Cliff J. Studman, chief supervisor (Department of Agricultural Engineering), for his generous advice, thoughtful guidance, and encouragement throughout this study, Dr. Nigel H. Banks, associate supervisor (Department of Plant Science), for his valuable suggestions, helpful discussions, and encouragement, and Professor G. T. Ward, associate supervisor (Lincoln University) for his support of this project.

I wish to express my appreciation to Dr. Gavin L. Wall, head of the Department, for his understanding and support of this project, Ian A. Painter and R. L. Bolter for their assistance with laboratory experiments and construction of apparatus, and other members and postgraduates of the Department of Agricultural Engineering for their friendship and cares.

In particular, I wish to express my appreciation to the New Zealand Apple and Pear Marketing Board for the financial support, and to Mrs. Stella McLeod for her helpful suggestions and continued interest in this project.

The fruit growers and packers in Hastings are also thanked for their co-operation during the Instrumented Sphere tests, and for the help in selection of fruit samples.

Finally, I wish to thank my wife Xiao-Min for her assistance with some of the experimental work, and for her understanding and moral support, without which this study would have been impossible.

TABLE OF CONTENTS

ACKNOWLEDGMENTS	i
TABLE OF CONTENTS	ii
LIST OF SYMBOLS	vii
LIST OF FIGURES	ix
LIST OF TABLES	xiv
ABSTRACT	xv
1. GENERAL INTRODUCTION	1
2. REVIEW OF LITERATURE	8
2.1 Introduction	8
2.2 Method of determining apple bruising	8
2.3 Bruise susceptibility	12
2.3.1 Methods of determining bruisability	12
2.3.2 Application of bruise susceptibility	15
2.4 Theoretical analysis of fruit impact	18
2.4.1 Hertz contact theory for fruit impact	18
2.4.2 Bruise prediction models	21
2.5 Impact detection devices and their implications	23
2.5.1 Bruise prediction using an Instrumented Sphere	25
2.6 Bruise boundary in packaging theory	25
2.7 Practical studies of apple packing lines	26
2.7.1 Apple damage assessment on American packing lines	27
2.7.2 Bruise damage in New Zealand apples	29
2.7.3 Discussion	31

3	INVESTIGATION OF BRUISING PHENOMENA	33
3.1	Introduction	33
3.2	Materials and methods	34
3.2.1	Temperature effects on bruising of Gala	37
3.2.2	Cultivar differences in bruising	37
3.2.3	Assessor effects	38
3.3	Results	38
3.3.1	Type of bruises	38
3.3.2	Bruise distribution on apple	39
3.3.3	Frequency distribution of bruise sizes	42
3.3.4	Discussion	45
3.3.5	Human effects on assessment of bruising	46
3.4	Conclusions	52
4	USE OF AN INSTRUMENTED SPHERE	53
4.1	Introduction	53
4.2	Description of Instrumented Sphere	53
4.3	Determination of bruise threshold	58
4.4	Adjustment of IS calibration parameters	58
4.5	Calibration of Instrumented sphere	63
4.6	Commercial packing line tests with Instrumented Sphere	67
4.7	Discussion	69
4.8	Conclusions	71
5.	BRUISING DAMAGE IN APPLE-TO-APPLE IMPACT	72
5.1.	Introduction	72
5.2.	Materials and Methods	73
5.2.1	Experimental details	73

5.3.2	Test procedure	73
5.2.3	Bruise evaluation	74
5.3.	Experimental results	75
5.3.1	Bruise volume	75
5.3.2	Contact area	77
5.3.3	Coefficient of restitution	80
5.4.	Discussion	82
5.4.1	Total bruise volume and distribution between apples	82
5.4.2	Contact area	84
5.4.3	Bruise surface area	85
5.4.4	Coefficient of restitution	85
5.4.5	Use of elasticity theory to predict apple-to-apple bruising ..	86
5.5.	Conclusions	88
6.	ANALYSIS OF DAMAGE THRESHOLDS IN APPLE-TO-APPLE IMPACTS USING AN INSTRUMENTED SPHERE	89
6.1	Introduction	89
6.2	Materials and methods	90
6.2.1	Fruit preparation	90
6.2.2	Experimental details	90
6.3	Results	91
6.3.1	Bruise visibility	91
6.3.2	Relationship between bruising area, contact area, and impact energy	92
6.3.3	Instrumented Sphere output	96
6.3.4	Determination of bruise thresholds	97
6.3.5	Interpretation of Instrumented Sphere output	100
6.4	Discussion	100

6.5	Conclusions	103
7.	USE OF AN INSTRUMENTED SPHERE FOR ASSESSING APPLE BRUISING THRESHOLDS	104
7.1	Introduction	104
7.2	Materials and Methods	104
	7.2.1 Experimental details	104
	7.2.2 Bruise analysis and determination of bruise thresholds	110
7.3	Results	111
	7.3.1 Fruit Tests	111
	7.3.2 Relationship between bruise area and peak acceleration ...	113
	7.3.3 Determination of surface response lines	116
	7.3.4 Potential bruise boundary	117
7.4	Discussion	120
7.5	Conclusions	124
8.	CHANGES IN APPLE BRUISE SUSCEPTIBILITY WITH IMPACT CONDITIONS AND STORAGE	125
8.1	Introduction	125
8.2	Materials and methods	126
8.3	Results	127
8.4	Discussion	137
8.5	Conclusions	138
9.	A NEW APPROACH TO ASSESS APPLE BRUISING	139
9.1	Introduction	139
9.2	Methods of determining fruit firmness and maturity	139
9.3	Materials and methods	142

9.3.1	Experimental apparatus	142
9.3.2	Fruit preparation and Bruise Factor test	147
9.4	Experimental results	151
9.4.1	Temperature effects on fruit properties	151
9.4.2	The differences of Bruise Factors on different varieties of apples	155
9.4.3	Granny Smith apples at different harvest times	156
9.5	Discussion	157
9.6	Conclusions	160
10.	General Discussion	161
10.1	Apple bruising on commercial packing lines	161
10.2	Quantification of apple bruising	163
10.3	Prediction of bruising by using an Instrumented Sphere	164
10.4	Suggestions for future work	166
11.	Summary and conclusions	167
12.	References	170
Appendix A	177
Appendix B	178
Appendix C	181
Appendix D	183
Appendix E	184
Appendix F	191

LIST OF SYMBOLS

A	Contact area (cm^2)
A_{ais}	Peak acceleration by adjusted calibration file (g)
$A_{\text{b}}(A_{\text{b}})$	Bruise area (cm^2)
A_{cb}	Contact area or bruise area (cm^2)
A_{r}	Acceleration recorded by the accelerometer (g)
A_{ois}	Peak acceleration by original calibration file (g)
a	Radius of contact surface (m)
a_{n}	Normal component of the acceleration of mass centre
a_{t}	Tangential component of the acceleration of mass centre
BD	Bruise diameter (mm)
$BDAH$	Adjusted Hertz bruise diameter (mm)
D	The surface diameter of bruise (mm)
D_{a}	Apple diameter (mm)
d	Depth of bruise at the centre of bruise region (mm)
d_1	Major diameter of bruise area or contact area (mm)
d_2	Minor diameter of bruise area or contact area (mm)
E_{a}	Energy absorbed (J)
E_{i}	Impact energy (J)
E_1	Elasticity modulus of the first sphere (kg/m^2)
E_2	Elasticity modulus of the second sphere (kg/m^2)
e	Coefficient of restitution
F	Magness-Taylor force (kg)
g	Acceleration due to gravity ($9.81\text{m}/\text{s}^2$)
H (h)	Drop height (m)
H_1	Rebound height (mm)
h_{b}	Depth of bruising below the contact plane (mm)
h_1	Depth of bruise (mm)

h_2	Depth of bruise region (mm)
I	Moment of inertia (kg m ²)
K	Two-fifths power of impact energy (J ^{2/5})
k_p	Initial drop height (mm)
k_1	Bruise susceptibility (cm ³ /J)
k_2	Constant
l	Length of the arm (m)
m	Mass of apple (kg)
m_i	Mass of a particle (kg)
m_p	Mass of aluminium arm (kg)
m_1	Mass of first sphere (kg)
m_2	Mass of second sphere (kg)
PA	Peak acceleration (g)
q_p	Geometrical progression factor
R	Radius of apple (mm)
R_1	Radius of first sphere (m)
R_2	Radius of second sphere (m)
r_i	Distance from the particle to rotating point O (m)
V	Velocity of approach (m/s)
V_{a1}	Total volume (cm ³)
V_{a2}	Bruise volume above the contact plane (cm ³)
V_b	Bruise volume (cm ³)
VC	Velocity change (m/s)
W	Apple weight (kg)
X	Height of bruise above contact plane (mm)
α	Approach of two impacting objects (m)
α_a	Angular acceleration
λ	Regressed ration
ν_1	Poisson's ratio of the first sphere

ν_2	Poisson's ratio of the second sphere
ψ	Ratio of total velocity change to the maximum acceleration
ω	Angular velocity

LIST OF FIGURES

Figure 1.1	A typical New Zealand fruit handling chain	3
Figure 2.1	Cross-section of idealized bruise showing symbols used by Holt and Schoorl (1977)	10
Figure 2.2	Sampling sites along packing line	28
Figure 2.3	Problem points on New Zealand packing lines	30
Figure 3.1	Treeways two-lane mechanical grading machine used for studying the bruise damage	34
Figure 3.2	Fruit were placed onto sorting table of the grader	36
Figure 3.3	Percentage of bruises observed from different parts of fresh fruit	40
Figure 3.4	Percentage of bruises observed from different parts of cooled fruit	41
Figure 3.5	Percentage of bruises observed from different parts of warmed Gala apples	42
Figure 3.6	Frequency distribution of bruise areas (cm ²) produced by Treeways fruit grader	43
Figure 3.7	Total number of visible bruises without skin removal on fresh, cooled, and warmed Gala picked commercially based on background colour	44
Figure 3.8	Number of bruises on every five Granny Smith apples observed by assessor 1 on time sequence	48
Figure 3.9	Number of bruises on every five Granny Smith apples observed by assessor 2 on time sequence	49
Figure 3.10	Number of bruises on every five Granny Smith apples observed by assessor 3 on time sequence from morning to afternoon	50
Figure 3.11	Number of bruises on every five fruit observed	

	by assessor 1 in two days on one hundred Granny Smith apples under the same impact conditions	51
Figure 4.1	Instrumented Sphere used in this study	55
Figure 4.2	A typical output of the Instrumented Sphere	56
Figure 4.3	A typical un-calibrated pulse (integer format) recorded by the Instrumented Sphere during an impact against flat steel surface in direction of Z axis	57
Figure 4.4	Apple bruising initiation threshold lines (0-10, 50, and 100% bruising) for velocity change versus peak acceleration compared to impact surface response lines created using a 89 mm diameter IS	59
Figure 4.5	Peak acceleration (g) recorded at three different directions of Instrumented Sphere	60
Figure 4.6	Peak accelerations produced by the Instrumented Sphere with new calibration file	62
Figure 4.7	Experimental device to calibrate Instrumented Sphere	64
Figure 4.8	Impact pulse obtained by the Accelerometer and Oscilloscope	65
Figure 4.9	Impact pulse obtained by Instrumented Sphere	66
Figure 4.10	Relationship between the peak acceleration recorded by IS both with adjusted and original calibration files and the acceleration measured by using an accelerometer	67
Figure 4.11	Impacts recorded by IS from a New Zealand typical fruit packing line	68
Figure 4.12	Instrumented Sphere data resulting from the impacts produced as the sphere fell into a final size packing bin, during commercial grading operations on Gala apples	69
Figure 5.1	Cross-section of idealized bruise showing symbols	

	used in bruise volume calculations. The shaded area represents the bruised region.	76
Figure 5.2	Total bruise volume versus 5/2 power of contact area for the values of contact area $> 1.46 \text{ cm}^2$	77
Figure 5.3	Contact area versus impact energy for Granny Smith apples	78
Figure 5.4	Total bruise volume versus energy absorbed for Granny Smith apples	79
Figure 5.5	Total bruise volume versus contact area for the values of contact area $\leq 1.46 \text{ cm}^2$	80
Figure 5.6	Coefficient of restitution versus impact energy for free impact of apple-to-apple	81
Figure 5.7	Total bruise volume versus coefficient of restitution during the free impact of apple-to-apple	82
Figure 5.8	Contact area versus coefficient of restitution	83
Figure 6.1	Largest bruise area after skin removal on either of two colliding apples plotted against two-fifths power of impact energy for Splendour apples	93
Figure 6.2	Largest bruise area on either of two colliding apples plotted against contact area for Splendour apples	95
Figure 6.3	Largest bruise area on either of two Splendour apples in apple-to-apple impact plotted against peak acceleration recorded by the Instrumented Sphere when dropped from the same height	98
Figure 7.1	Different impact surfaces selected from local fruit grader manufacturer	106
Figure 7.2	Wall pendulum system used in this experiment	107
Figure 7.3	Plastic bar fixed onto the impact board	108

- Figure 7.4 Largest bruise area on either apple versus two-fifth power of impact energy for Splendour apples 111
- Figure 7.5 Bruise area versus peak acceleration recorded by Instrumented Sphere during impacts of Splendour apples onto a flat steel surface 114
- Figure 7.6 Surface response lines for different surfaces tested 116
- Figure 7.7 Bruise boundary for Gala apples 118
- Figure 7.8 Bruise boundary for Splendour apples 118
- Figure 7.9 Bruise boundary for Braeburn apples 119
- Figure 7.10 Bruise boundary for Fuji apples 119
- Figure 7.11 Bruise boundary for Granny Smith apples 120
- Figure 7.12 Bruise boundaries for different varieties tested 123
- Figure 8.1 Linear relationship between total bruise volume (cm^3) of the two fruit and energy absorbed (J) during apple-to-apple impacts for Granny Smith in the 1990 season 128
- Figure 8.2 The linear relationship between total bruise volume of the two fruit and energy absorbed during apple-to-apple impacts for Granny Smith apples after 14 days storage 128
- Figure 8.3 The linear relationship between total bruise volume of the two fruit and energy absorbed during apple-to-apple impacts for Granny Smith apples after 44 days storage 129
- Figure 8.4 The linear relationship between total bruise volume of the two fruit and energy absorbed during apple-to-apple impacts for Granny Smith apples after 220 days storage 129
- Figure 8.5 Bruise susceptibility (cm^3/J) of Granny Smith apples at different storage times 130
- Figure 8.6 Ratio of the larger bruise area of the two fruit to $2/5$ power of impact energy ($\text{cm}^2/\text{J}^{2/5}$) during the impacts of Granny Smith, Splendour, Fuji, and Braeburn onto different surfaces

	in the 1991 season	133
Figure 8.7	Ratio of bruise area to $2/5$ power of impact energy for Granny Smith apples at different times in storage	135
Figure 8.8	Ratio of bruise area to $2/5$ power of impact energy ($\text{cm}^2/\text{J}^{2/5}$) of Splendour during the impacts of fruit-to-steel and fruit-to-fruit at different times in storage in the 1991 season . .	136
Figure 9.1	Ball drop test	141
Figure 9.2	Pendulum device designed for determining Bruise Factors	143
Figure 9.3	Simplified pendulum system	144
Figure 9.4	Fruit and fruit cutter	147
Figure 9.5	Fruit after cutting	148
Figure 9.6	Starch index for Gala apples	150
Figure 9.7	Bruise factor measured by the new approach and the number of bruises by fruit grader for Gala apples at different temperatures	152
Figure 9.8	Bruise susceptibility (cm^3/J) obtained by ball drop test	153
Figure 9.9	Standard measurements of starch test on Gala apple at different temperatures	154
Figure 9.10	Fruit firmness of Gala apples at different temperatures measured by penetrometer	154
Figure 9.11	Measurements of Brix of Gala apples at different temperatures by refractometer	156
Figure 9.12	Bruise factors and the total number of bruises produced by the fruit grader for different varieties	157
Figure 9.13	Starch tests of Granny Smith apples at different harvest dates	158
Figure 9.14	Bruise Factors of Granny Smith apples at different harvest dates	159

LIST OF TABLES

Table 2.1	Bruise susceptibility at different times of storage	16
Table 3.1	Styles of fruit bruising appearance	39
Table 6.1.	Linear relationship between the contact area and two-fifths power of impact energy for different varieties of apple	93
Table 6.2.	Linear relationship between the bruise area and two-fifths power of impact energy for different varieties of apple	94
Table 6.3.	Linear relationship between the bruise area and contact area for different varieties of apple	96
Table 6.4.	Linear relationship between the velocity change and peak acceleration recorded by Instrumented Sphere during impact onto Gala, Splendour, Braeburn, Fuji, and Granny Smith apples	97
Table 6.5.	Linear relationship between bruise area and peak acceleration and bruise thresholds for fresh Gala, Splendour, Fuji, Braeburn, and Granny Smith apples in 1991 season	99
Table 7.1.	The average weight and the range of weight used for different varieties	110
Table 7.2.	Linear relationship between the largest bruise area and two-fifths power of impact energy for different varieties	113
Table 7.3	Relationship between bruise area and peak acceleration recorded by the Instrumented Sphere. Fruit and Instrumented Sphere were dropped from the same height. Figures in brackets are coefficients of determination (R^2)	115
Table 7.4	The linear relationship between the velocity change and peak acceleration recorded by IS during the impacts of IS onto Gala, Splendour, Braeburn, Fuji, and Granny Smith apples . .	117

ABSTRACT

Mechanical handling subjects fruit to impacts which often cause bruising. Such bruising is a major source of quality loss in the fruit industry. In this study, a range of experiments was carried out to investigate the quantification of bruises and the prediction of bruising in relation to mechanical handling systems.

In order to understand apple bruising, a study of free normal impact between pairs of apples was conducted. There was a $2/5$ power relationship between contact area and impact energy. The coefficient of restitution varied in a non-linear manner with impact energy, decreasing as impact energy increased.

Bruise damage produced by a typical New Zealand-made fruit grader was critically analysed. A large percentage of individual bruises was under 1 cm^2 in area and it was rare to have any bruises above 3 cm^2 . The total number of bruises was found to be the best indicator of bruise susceptibility. A new method of predicting such bruises has been developed involving a new term, the Bruise Factor, which was related to bruising sustained during handling operations, allowing for the variation in fruit size, shape and mass.

An Instrumented Sphere (IS) was used to characterize impacts on commercial packing lines. It was found that the IS could be used to identify apple-to-apple impacts likely to cause bruising in commercial packing operations, providing care is taken with interpretation of the data. Typical impacts on packing lines were represented by impacts onto a flat steel surface, a rubber pad, a plastic tube, a solid plastic bar, and onto another fruit. Impact tests were conducted on freshly picked Gala, Splendour, Fuji, Braeburn, and Granny Smith apples, all grown in Hawkes Bay, New Zealand. Bruise areas produced by impact onto flat steel, rubber, plastic tubing, and a solid plastic bar were found to be linearly correlated with the peak

acceleration recorded by an Instrumented Sphere dropped from the same heights.

Following fruit-to-fruit impacts, bruising was generally more severe on one of the two apples. When the results of apple-to-apple and IS-to-apple impacts were compared, it was found that the area of the larger of the two bruises produced in fruit-to-fruit impacts was directly related to the peak acceleration recorded by the IS when it was dropped onto a fruit from the same height.

For each variety and each surface the drop height required to produce a critical bruise with a surface area of 1 cm² (as measured with the skin removed) was determined. By joining the threshold points on each surface response line, a threshold potential bruise boundary was formed on a velocity change against peak acceleration graph. The boundary curve, which included apple-to-apple impact, was hyperbolic in shape, rather than the linear boundary described in other studies.

The implications of the results to the fruit industry are discussed in this study.