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**Synthesis, Characterization and Evaluation of
Aza-dipyrromethenes and other Small Molecules
for Organic Photovoltaics**

A thesis presented in partial fulfilment of the requirements for the
degree of

Doctor of Philosophy

In

Chemistry



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For Mum, Dad and Huda

**“anyone who has never made a mistake
has never tried anything new”**

Albert Einstein, 1879-1955

theoretical physicist

Abstract

A designed series of novel boron-difluoride chelated aza-dipyrromethenes with particular physical properties have been synthesized for the purpose of exploring their usefulness as donors in organic photovoltaic (OPV) cells. Boron-difluoride chelated aza-dipyrromethenes are commonly referred in the literature as aza-BODIPYs, and this convention has been adopted in this thesis. The aza-BODIPYs synthesised were symmetrically substituted with aryl groups on the pyrrole rings. The synthesised aza-BODIPYs were: terthiophene-BF₂-aza-dipyrromethene (87), methoxy-terthiophene-BF₂-aza-dipyrromethene (88), triphenylamine-BF₂-aza-dipyrromethene (100), thiophene-triphenylamine-BF₂-aza-dipyrromethene (106), benzothiadiazole-BF₂-aza-dipyrromethene (111), benzothiadiazole-thiophene-BF₂-aza-dipyrromethene (112), benzothiadiazole-triphenylamine-BF₂-aza-dipyrromethene (113), ethylenedioxythiophene-BF₂-aza-dipyrromethene (125), thiophene-phenothiazine-BF₂-aza-dipyrromethene (132), thiophene-methylpyrrole-BF₂-aza-dipyrromethene (139), thiophene-carbazole-BF₂-aza-dipyrromethene (145), fluorenone-BF₂-aza-dipyrromethene (150), and thiophene-fluorenone-BF₂-aza-dipyrromethene (151). The numbers are used to refer to individual compounds in this thesis. Ruthenium dyes, terthiophene monomers and silicon quantum dots were also synthesised, again with a view to discovering novel donors for OPV cells.

The aza-BODIPYs were characterized spectroscopically by ultraviolet-visible (UV-VIS) absorption spectroscopy, fluorescence and time-correlated single-photon counting (TCSPC). Benzothiadiazole-triphenylamine-BF₂-aza-dipyrromethene (referred to as compound (113) in this thesis) was found to exhibit significant red-shifts in absorption ($\lambda_{\text{max}}=855$ nm) and emission ($\lambda_{\text{em}}=953$ nm). This compound showed a large bathochromic shift (205 nm) in absorption, in comparison with the standard BF₂-tetra-aryl aza-dipyrromethene (compound (4), $\lambda_{\text{max}}=650$ nm). Evidence has been found of strong intramolecular-charge-transfer (ICT) character in the excited state. It has been demonstrated how absorption and emission of aza-BODIPYs can be fine-tuned by manipulating the ICT between variously electronic donating and withdrawing substituents in the aza-BODIPY structure. Fluorescence and time-correlated single-photon counting (TCSPC) on the aza-BODIPYs in the presence of fullerenes supported the conclusion that there were charge transfer processes.

Time-dependent density functional theory (TD-DFT) has been successfully used to provide a guide to the structure-property relationships and electronic structures of the aza-BODIPYs. Absorption energies, calculated for the aza-BODIPYs using the B3LYP (Becke, three-parameter, Lee-Yang-Parr) exchange-correlation functional with a split-valence basis set of 6-311++G (2d, P). The B3LYP/6-311++G (2d, P) level of calculation delivered reasonable estimates of the absorption wavelengths for a number of the aza-BODIPYs, although the calculations did give poor estimates for the absorption wavelengths of others.

Photovoltaic devices were fabricated, using primarily carbon-60 fullerene as acceptor in conjunction with the aza-BODIPYs as donors, and successfully generated current on exposure to simulated solar radiation. Using a xenon arc lamp as a solar simulator, external photon-to-current quantum efficiencies (EQE) and overall power conversion efficiencies (η) were measured for these devices with a variety of layer structures, film compositions and film-processing conditions. Compound (106) and compound (113) gave EQEs of 3.89% and 3.01%, and overall power conversion efficiencies of 0.88% and 0.031% respectively. Current density-voltage (J-V) curves exhibit a significant inflection, which was reflected in the low fill factors (FF). The low values of EQE and η are attributed to low open-circuit voltage (V_{OC}) (0.32 V and 0.55 V in compounds (106) and (113)) and low fill factors (FF) (0.312 and 0.0147 in compounds (106) and (113)). The low V_{OC} and FF are possibly the result of an interfacial extraction barrier at one of the active layer interfaces, possibly between the active layer and the metal cathode. Avoiding the possibility of oxide and other layers through encapsulating the devices in an inert environment might remove the charge extraction barrier. The conclusion drawn is efficiencies of devices based on aza-BODIPYs might be improved significantly through further studies of interfaces and defects in devices.

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Table of Contents

Abstract.....	i
Acknowledgements	iii
Table of Contents	iv
List of Figures.....	xi
List of Tables	xxix
List of Abbreviations	xxxii

Chapter 1: Introduction.....	1
1.1 Introduction.....	1
1.2 Types of Solar Cells.....	2
1.2.1 Crystalline-Silicon and Gallium Arsenide (GaAs) Solar Cells.....	2
1.2.2 Dye-Sensitized Solar Cells (DSSC).....	3
1.2.3 Organic Solar Cells.....	3
1.3 Organic Photovoltaic Devices.....	4
1.3.1 Device Architecture.....	4
1.3.1.1 Single-Layer Devices.....	4
1.3.1.2 Bi-layer Heterojunction Devices.....	5
1.3.1.3 Bulk Heterojunction Devices.....	5
1.3.2 Properties and Operation of Organic Photovoltaic Devices.....	6
1.3.3 Optimizing the Performance of Organic Photovoltaics.....	10
1.4 Organic Photovoltaic Materials.....	12
1.4.1 Donor Materials.....	12
1.4.2 Acceptor Materials.....	15
1.5 BF ₂ -Aza-dipyrromethenes (Aza-BODIPYs).....	16
1.5.1 Chemical Structure of Aza-BODIPYs.....	16
1.5.2 Synthesis of Aza-BODIPYs.....	18
1.5.3 Absorption and Emission Properties of Aza-BODIPYs.....	23
1.5.4 Organic Solar Cells Based on Aza-BODIPYs.....	26
1.6 Fluorescence Spectroscopy.....	30

1.7	Time-Correlated Single-Photon Counting (TCSPC) Spectroscopy.....	34
1.8	Aims and Objectives.....	36
1.9	References.....	39

Chapter 2: Syntheses of BF₂-Aza-Dipyrromethenes and Other Small Molecules..... 51

2.1	Introduction.....	51
2.2	Yields and Structure–Property Relationships of the Aza-BODIPYs.....	53
2.3	Mechanism of Syntheses of the Aza-BODIPYs.....	60
2.4	Synthesis of Terthiophene-Substituted BF ₂ -Aza-Dipyrromethene (TT-aza-BODIPYs).....	62
2.5	Synthesis of BF ₂ -terthiophene-aza-dipyrromethene (TT-aza-BODIPY1)..	63
2.5.1	Synthesis of Intermediate Compounds (93) and (94).....	63
2.5.2	Synthesis of Terthiophene-dipyrromethene (TT-aza-DIPY1).....	64
2.5.3	Synthesis of BF ₂ -terthiophene-aza-dipyrromethene (TT-aza-BODIPY1).....	65
2.6	Synthesis of Methoxy-terthiophene BF ₂ -aza-dipyrromethene (TT-aza-BODIPY2).....	66
2.6.1	Synthesis of Intermediate Compounds (98) and (99).....	66
2.6.2	Synthesis of Methoxy-terthiophene aza-dipyrromethene (TT-aza-DIPY2).....	67
2.6.3	Synthesis of Methoxy-terthiophene BF ₂ -aza-dipyrromethene (TT-aza-BODIPY2).....	67
2.7	Synthesis of Triphenylamine-Substituted BF ₂ -Aza-Dipyrromethene (TPA-aza-BODIPY).....	68
2.7.1	Synthesis of Intermediate Compounds (103) and (104).....	69
2.7.2	Synthesis of Triphenylamine-Substituted Aza-dipyrromethene (TPA-aza-DIPY).....	70
2.7.3	Synthesis of Triphenylamine-Substituted BF ₂ -aza-dipyrromethene (TPA-aza-BODIPY).....	71
2.8	Synthesis of Thiophene-Triphenylamine-Substituted BF ₂ -Aza-dipyrromethene (T-TPA-aza-BODIPY).....	71
2.8.1	Synthesis of Intermediate Compounds (108) and (109).....	72

2.8.2	Synthesis of Thiophene-Triphenylamine-Substituted Aza-dipyrrromethene (T-TPA-aza-DIPY).....	73
2.8.3	Synthesis of Thiophene-Triphenylamine-Substituted BF ₂ -Aza-dipyrrromethene (T-TPA-aza-BODIPY).....	74
2.9	Synthesis of Benzothiadiazole-Thiophene-Terthiophene-Substituted BF ₂ -Aza-dipyrrromethenes (BTZ-T-TT-aza-BODIPYs).....	74
2.10	Synthesis of Benzothiadiazole-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-aza-BODIPY).....	76
2.10.1	Synthesis of Intermediate Compounds (115) and (116).....	76
2.10.2	Synthesis of Benzothiadiazole-Substituted Aza-dipyrrromethene (BTZ-aza-DIPY).....	77
2.10.3	Synthesis of Benzothiadiazole-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-aza-BODIPY).....	77
2.11	Synthesis of Benzothiadiazole-Thiophene-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-T-aza-BODIPY).....	78
2.11.1	Synthesis of Intermediate Compounds (119) and (120).....	78
2.11.2	Synthesis of Benzothiadiazole-Thiophene-Substituted Aza-dipyrrromethene (BTZ-T-aza-DIPY).....	79
2.11.3	Synthesis of Benzothiadiazole-Thiophene-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-T-aza-BODIPY).....	79
2.12	Synthesis of Benzothiadiazole-Triphenylamine-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-TPA-aza-BODIPY).....	80
2.12.1	Synthesis of Intermediate Compounds (122) and (123).....	80
2.12.2	Synthesis of Benzothiadiazole-Triphenylamine-Substituted Aza-dipyrrromethene (BTZ-TPA-aza-DIPY).....	81
2.12.3	Synthesis of Benzothiadiazole-Triphenylamine-Substituted BF ₂ -Aza-dipyrrromethene (BTZ-TPA-aza-BODIPY).....	82
2.13	Synthesis of Ethylenedioxythiophene-Triphenylamine-Substituted BF ₂ -Aza-dipyrrromethene (EDOT-TPA-aza-BODIPYs).....	83
2.14	Synthesis of Ethylenedioxythiophene-Substituted BF ₂ -Aza-dipyrrromethene (EDOT-aza-BODIPYs).....	84
2.14.1	Synthesis of Intermediate Compounds (128) and (129).....	84

2.14.2	Synthesis of Ethylenedioxythiophene- Substituted Aza-dipyrromethene (EDOT-aza-DIPY).....	85
2.14.3	Synthesis of Ethylenedioxythiophene-Substituted BF ₂ -Aza-dipyrromethene (EDOT-aza-BODIPY).....	86
2.15	Synthesis of Thiophene-Phenothiazine-Fluorenone Substituted BF ₂ -Aza-dipyrromethenes (T-FN-PTZ-aza-BODIPYs).....	86
2.16	Synthesis of Thiophene-Phenothiazine-Substituted BF ₂ -Aza-dipyrromethenes (T-FN-PTZ-aza-BODIPYs).....	88
2.16.1	Synthesis of Intermediate Compounds (135) and (136).....	88
2.16.2	Synthesis of Thiophene-Phenothiazine-Substituted Aza-dipyrromethene (T-PTZ-aza-DIPY).....	88
2.16.3	Synthesis of Thiophene-Phenothiazine-Substituted BF ₂ -Aza-dipyrromethene (T-PTZ-aza-BODIPY).....	89
2.17	Synthesis of Thiophene-Fluorenone-MethylPyrrole-Substituted BF ₂ -Aza-dipyrromethenes (T-FN-MPy-aza-BODIPYs).....	90
2.18	Synthesis of Thiophene-MethylPyrrole-Substituted BF ₂ -Aza-dipyrromethene (T-MPy-aza-BODIPY).....	92
2.18.1	Synthesis of Intermediate Compounds (142) and (143).....	92
2.18.2	Synthesis of Thiophene-MethylPyrrole-Substituted Aza-dipyrromethene (T-MPy-aza-DIPY).....	93
2.18.3	Synthesis of Thiophene-MethylPyrrole-Substituted BF ₂ -Aza-dipyrromethene (T-MPy-aza-BODIPY).....	93
2.19	Synthesis of Thiophene-Carbazole-Substituted BF ₂ -Aza-dipyrromethene (T-Cz-aza-BODIPY).....	94
2.19.1	Synthesis of Intermediate Compounds (147) and (148).....	95
2.19.2	Synthesis of Thiophene-Carbazole-Substituted Aza-dipyrromethene (T-Cz-aza-DIPY).....	95
2.19.3	Synthesis of Thiophene-Carbazole-Substituted BF ₂ -Aza-dipyrromethene (T-Cz-aza-BODIPY).....	96
2.20	Synthesis of Fluorenone-Thiophene-Terthiophene-Triphenylamine-Phenothiazine-Methylpyrrole- Substituted BF ₂ -Aza-dipyrromethene (FN-T-TT-TPA-PTZ-MPy-aza-BODIPYs).....	97

2.21	Synthesis of Fluorenone-Substituted BF ₂ -Aza-dipyrromethene (FN-aza-BODIPY).....	99
2.21.1	Synthesis of Intermediate Compounds (157) and (158).....	99
2.21.2	Synthesis of Fluorenone-Substituted Aza-dipyrromethene (FN-aza-DIPY).....	100
2.21.3	Synthesis of Fluorenone-Substituted BF ₂ -Aza-dipyrromethene (FN-aza-BODIPY).....	100
2.22	Synthesis of Thiophene-Fluorenone-Substituted BF ₂ -Aza-dipyrromethene (T-FN-aza-BODIPY).....	101
2.22.1	Synthesis of Intermediate Compounds (160) and (161).....	101
2.22.2	Synthesis of Thiophene-Fluorenone-Substituted Aza-dipyrromethene (T-FN-aza-DIPY).....	102
2.22.3	Synthesis of Thiophene-Fluorenone-Substituted BF ₂ -Aza-dipyrromethene (T-FN-aza-BODIPY).....	103
2.23	Attempted Synthesis of Other BF ₂ -Aza-dipyrromethenes (Aza-BODIPYs)..	104
2.24	Attempted Synthesis of Terthiophene-Substituted BF ₂ -Aza-dipyrromethene (TT-aza-BODIPYs).....	106
2.25	Attempted Synthesis of Ethylenedioxythiophene-Triphenylamine-Substituted BF ₂ -Aza-dipyrromethene (EDOT-TPA-aza-BODIPY).....	108
2.25.1	Synthesis of Intermediate Compounds (172) and (173).....	108
2.26	Attempted Synthesis of Fluorenone-Triphenylamine-Substituted BF ₂ -Aza-dipyrromethene (FN-TPA-aza-BODIPY).....	110
2.27	Attempted Synthesis of Terthiophene-Fluorenone-Substituted BF ₂ -Aza-dipyrromethene (TT-FN-aza-BODIPY).....	111
2.28	Attempted Synthesis of Phenothiazine-Substituted BF ₂ -Aza-dipyrromethene (PTZ-aza-BODIPY).....	113
2.29	Attempted Synthesis of Fluorenone-Phenothiazine-Substituted BF ₂ -Aza-dipyrromethene (FN-PTZ-aza-BODIPY).....	114
2.30	Attempted Synthesis of Methylpyrrole-Substituted BF ₂ -Aza-dipyrromethene (MPy-aza-BODIPY).....	114
2.31	Attempted Synthesis of Fluorenone-Methylpyrrole-Substituted BF ₂ -Aza-dipyrromethene (FN-MPy-aza-BODIPY).....	115

2.32	Attempted Synthesis of Ethylenedioxythiophene-Thiophene-Substituted BF ₂ -Aza-dipyrromethene (EDOT-T-aza-BODIPY).....	116
2.33	Synthesis of β' -Substituted Terthiophene Monomers.....	117
2.33.1	Synthesis of Starting Materials: 3'-Formylterthiophene Derivatives.....	118
2.33.2	Synthesis of Intermediate Compounds (205), (208) and (211).....	119
2.33.3	Synthesis of β' -Substituted Terthiophene Monomers.....	121
2.34	Synthesis of Ruthenium Complexes.....	123
2.35	Synthesis of Silicon Quantum Dots and their Surface Modification.....	125
2.36	Synthesis of BODIPY monomers as Building Blocks for Benzo-Dithiophene (BDT)-BODIPY Polymers.....	129
2.37	¹ H NMR and Mass Peaks Assignments.....	131
2.38	Experimental Methods.....	156
2.38.1	General Experimental Procedures.....	156
2.39	Characterization Data.....	159
2.39.1	¹ H NMR Spectra, MALDI Mass Spectra and Elemental Analysis Results.....	159
2.39.2	Crystal data and structure refinement for compound (87).....	189
2.40	References.....	201

Chapter 3: Electronic and Fluorescence Properties of BF₂-Aza-Dipyrromethenes..... 208

3.1	Introduction.....	208
3.2	Experimental Details.....	209
3.2.1	Absorption Measurements.....	209
3.2.2	Quantum Chemical Calculations.....	209
3.2.3	Fluorescence measurements.....	209
3.2.4	Time-Correlated Single-Photon Counting (TCSPC) Measurements..	210
3.3	Results and Discussion.....	211
3.3.1	Quantum Chemical Calculations and UV-VIS Studies on Aza-BODIPYs.....	211
3.3.2	Fluorescence Study.....	249
3.3.2.1	Fluorescence Spectra and Quantum yields.....	249

3.3.2.2	Fluorescence Quenching	255
3.3.3	Time-Correlated Single-Photon Counting (TCSPC) Study.....	257
3.3.3.1	Fluorescence Lifetime Measurements.....	257
3.3.3.2	Stern-Volmer Quenching Constants.....	266
3.4	References.....	281
 Chapter 4: Organic Photovoltaic Device Fabrication and Testing...		284
4.1	Introduction.....	284
4.2	Experimental Details.....	285
4.2.1	Device Fabrication.....	285
4.2.1.1	Preparation of the Solutions.....	285
4.2.1.2	Substrate Preparation.....	285
4.2.1.3	Spin Coating.....	286
4.2.1.4	Contact Evaporation.....	286
4.2.2	Device Testing.....	288
4.3	Results and Discussion.....	289
4.3.1	Device Fabrication.....	289
4.3.2	Device Testing.....	292
4.3.2.1	External Quantum Efficiency (EQE) Measurements.....	292
4.3.2.2	Current Density-Voltage (J-V) Characteristics.....	298
4.4	Conclusion.....	308
4.5	References.....	309
 Chapter 5: Conclusions and Future Directions.....		311
5.1	Conclusions and Future Directions.....	311
5.2	References.....	316
 Appendix.....		317

List of Figures

<i>Number</i>	<i>Description</i>	<i>Page</i>
Figure 1.1	Single-layer solar cell device architecture.....	4
Figure 1.2	Bi-layer heterojunction solar cell device architecture.....	5
Figure 1.3	Bulk heterojunction solar cell device architecture.....	5
Figure 1.4	Bulk heterojunction band structure with a donor-acceptor blend as an active layer.....	7
Figure 1.5	(a) Radiative, (b) non-radiative and (c) Auger recombination.....	8
Figure 1.6	Current-voltage characteristics of an organic solar cell in the dark (black line) and under illumination (blue line).....	9
Figure 1.7	The energy levels (eV) (HOMO and LUMO) of donor (P3HT) and acceptor (PCBM) in a bulk heterojunction device. (PEDOT:PSS is an additional layer, which facilitates the efficient extraction of charges generated in the active layer, see Fig. (1.9) for structure)....	11
Figure 1.8	The offset influence between the LUMO (donor) and the LUMO (acceptor).....	12
Figure 1.9	The chemical structures of the polymers (PPV, MEH-PPV, MDMO-PV, PCPDTBT, P3HT, P3OT and PEDOT-PSS) that are used in organic solar cells.....	13
Figure 1.10	The chemical structures of the small molecules most commonly used as donor in organic solar cells.....	14
Figure 1.11	The structure of PC ₆₀ BM ([6,6]-phenyl-C ₆₁ -butyric acid methyl ester).....	15
Figure 1.12	A basic bi-layer fullerene based heterojunction solar cell.....	16
Figure 1.13	Structure of (1) BODIPY core showing IUPAC numbering (2) Difluoro-bora- 1,3,5,7-tetraphenyl dipyrromethene boron difluoride (3) aza-BODIPY core (4) 1,3,5,7- tetraphenyl aza-dipyrromethene boron difluoride.....	17
Figure 1.14	The synthesis of aza-BODIPY. Reagents and conditions: (i) KOH, EtOH, room temperature, 24h. (ii) CH ₃ NO ₂ , diethylamine, MeOH, reflux, 24h. (iii) NH ₄ CO ₂ H, EtOH, reflux, 24h. (iv) BF ₃ .OEt ₂ , N,N-diisopropylethylamine, CH ₂ Cl ₂ , room temperature, 24 h.....	19
Figure 1.15	The formation of symmetrical aza-BODIPYs.....	20

Figure 1.16	The proposed mechanism for the formation of aza-DIPYs from nitromethane adducts.....	21
Figure 1.17	The general synthesis method of unsymmetrical aza-BODIPY.....	22
Figure 1.18	Synthesis of aza-dipyrromethenes (aza-DIPY) using Grignard reagents.....	22
Figure 1.19	Synthesis of conformationally restricted aza-BODIPY. Reagents and conditions: (i) 1) HOAc, Ac ₂ O, NaNO ₂ 2) Hunig's base BF ₃ .OEt ₂ (CH ₂ Cl) ₂ . (ii) 1) HOAc, NaNO ₂ 2) aryl pyrrole, Ac ₂ O, HOAc 3) Hunig's base BF ₃ .OEt ₂ (CH ₂ Cl) ₂	23
Figure 1.20	Spectroscopic properties of a series of BF ₂ -tetraaryl-aza-dipyrromethene in chloroform substituted with electron donating groups.....	24
Figure 1.21	Spectroscopic properties of ion chelated aza-BODIPY.....	25
Figure 1.22	Spectroscopic properties of conformationally restricted aza-BODIPY (both R groups are the same in compounds (47), (48) and (49)). (°) represents the point of attachment of the R group to the aza-BODIPYs core).....	26
Figure 1.23	Structure of compounds (4), (54), (55) and (56).....	27
Figure 1.24	Energy levels (eV) for compounds (4), (54), (55), (56), PCBM and those of the ideal donor according to literature (LUMO in the range -3.7 to -4.0 eV and HOMO in the range -5.2 to -5.8 eV).....	28
Figure 1.25	Structure of compounds (57), (58), (59) and (60).....	29
Figure 1.26	Energy levels for compounds (57), (58), (59), (60) and PCBM.....	30
Figure 1.27	A Jablonski diagram.....	31
Figure 1.28	Comparison of dynamic and static quenching.....	34
Figure 1.29	TCSPC working principles (a) and the fluorescence lifetime histogram (b).....	35
Figure 2.1	(a) IUPAC numbering of the aza-BODIPY core; (b)-(j) the key building-blocks of the target aza-BODIPYs. (°) represents the point of attachment of a group to the aza-BODIPYs core).....	52
Figure 2.2	The synthesis of aza-BODIPYs.....	53
Figure 2.3	The new successfully synthesised aza-BODIPYs (aza-BODIPYs were	54

	numbered chronologically as they were synthesised).....	
Figure 2.4	Energy levels for selected derivatives of PEDOT, aza-BODIPY (59), TTPA, PCDTBT, BTZ and FN.....	59
Figure 2.5	The reaction mechanism of chalcone's synthesis.....	60
Figure 2.6	The suggested mechanism for the formation of aza-DIPYs from nitromethane adducts.....	61
Figure 2.7	The target molecular structures of terthiophene-substituted BF ₂ -aza-dipyrromethenes (TT-aza-BODIPYs).....	63
Figure 2.8	Synthesis of intermediate compounds (93) and (94). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	64
Figure 2.9	Synthesis of terthiophene-dipyrromethene (TT-aza-DIPY1) (95). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	65
Figure 2.10	Synthesis of BF ₂ -terthiophene-aza-dipyrromethene (TT-aza-BODIPY1) (87). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	65
Figure 2.11	Synthesis of intermediate compounds (97) and (98). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	66
Figure 2.12	Synthesis of terthiophene-substituted aza-dipyrromethene (TT-aza-DIPY2) (99). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	67
Figure 2.13	Synthesis of terthiophene-substituted BF ₂ -aza-dipyrromethene (TT-aza-BODIPY2) (88). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	68
Figure 2.14	The target molecular structure of triphenylamine-substituted BF ₂ -aza-dipyrromethene (TPA-aza-BODIPY) (100).....	69
Figure 2.15	Synthesis of intermediate compounds (103) and (104). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	70
Figure 2.16	Synthesis of triphenylamine-substituted aza-dipyrromethene (TPA-aza-DIPY) (105). Reagents and conditions: NH ₄ HCO ₂ /n-BuOH, reflux, 24h.....	70

Figure 2.17	The synthesis of triphenylamine-substituted BF ₂ -aza-dipyrrromethene (TPA-aza-BODIPY) (100). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	71
Figure 2.18	The target molecular structure of thiophene-triphenylamine-substituted BF ₂ -aza-dipyrrromethene (T-TPA-aza-BODIPY) (106)...	72
Figure 2.19	Synthesis of intermediate compounds (108) and (109). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	73
Figure 2.20	The synthesis of thiophene-triphenylamine-substituted aza-dipyrrromethene (TTPA-aza-DIPY) (110). Reagents and conditions: NH ₄ OAc/n-BuOH, reflux, 24h.....	73
Figure 2.21	Synthesis of thiophene-triphenylamine-substituted BF ₂ -aza-dipyrrromethene (TTPA-aza-BODIPY) (106). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	74
Figure 2.22	The target structures of BTZ-aza-BODIPY, BTZ-T-aza-BODIPY and BTZ-TPA-aza-BODIPY.....	75
Figure 2.23	Synthesis of intermediate compounds (115) and (116). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	76
Figure 2.24	The synthesis of benzothiadiazole-substituted aza-dipyrrromethene (BTZ-aza-DIPY) (117). Reagents and conditions: NH ₄ OAc/n-BuOH, reflux, 24h.....	77
Figure 2.25	The synthesis of benzothiadiazole-substituted BF ₂ -aza-dipyrrromethene (BTZ-aza-BODIPY) (111). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	78
Figure 2.26	Synthesis of intermediate compounds (119) and (120). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	78
Figure 2.27	Synthesis of benzothiadiazole-thiophene-substituted aza-dipyrrromethene (BTZT-aza-DIPY) (121). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	79

Figure 2.28	Synthesis of benzothiadiazole-thiophene-substituted BF ₂ -aza-dipyrrromethene (BTZ-T-aza-BODIPY) (112). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	80
Figure 2.29	Synthesis of intermediate compounds (122) and (123). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	81
Figure 2.30	The synthesis of benzothiadiazole-triphenylamine-substituted aza-dipyrrromethene (BTZ-aza-DIPY) (124). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	82
Figure 2.31	The synthesis of benzothiadiazole-triphenylamine-substituted BF ₂ -aza-dipyrrromethene (BTZ-TPA-aza-BODIPY) (113). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	83
Figure 2.32	The target chemical structures of ethylenedioxythiophene-substituted BF ₂ -aza-dipyrrromethene (EDOT-aza-BODIPYs).....	84
Figure 2.33	Synthesis of intermediate compounds (128) and (129). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	85
Figure 2.34	The synthesis of ethylenedioxythiophene-substituted aza-dipyrrromethene (EDOT-aza-DIPY) (130). Reagents and conditions: NH ₄ HCO ₂ /EtOH, reflux, 24h.....	85
Figure 2.35	The synthesis of ethylenedioxythiophene-substituted BF ₂ -aza-dipyrrromethene (EDOT-aza-BODIPY) (125). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	86
Figure 2.36	The target structures of thiophene-phenothiazine-fluorenone substituted BF ₂ -aza-dipyrrromethenes (T-FN-PTZ-aza-BODIPYs)...	87
Figure 2.37	Synthesis of intermediate compounds (135) and (136). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	88
Figure 2.38	The synthesis of thiophene-phenothiazine-substituted aza-dipyrrromethene (TPTZ-aza-DIPY) (137). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	89

Figure 2.39	Synthesis of thiophene-phenothiazine-substituted BF ₂ -azadipyrrromethene (TPTZ-aza-BODIPY) (132). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	90
Figure 2.40	The targets structures of methylpyrrole-substituted BF ₂ -azadipyrrromethene (MPy-aza-BODIPY), thiophene-methylpyrrole-substituted BF ₂ -aza-dipyrrromethene (T-MPy-aza-BODIPY), and fluorenone-methylpyrrole-substituted BF ₂ -aza-dipyrrromethene (FN-MPy-aza-BODIPY).....	91
Figure 2.41	Synthesis of intermediate compounds (142) and (143). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	92
Figure 2.42	The synthesis of thiophene-methylpyrrole-substituted azadipyrrromethene (T-MPy-aza-DIPY) (144). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	93
Figure 2.43	The synthesis of thiophene-methylpyrrole-substituted BF ₂ -azadipyrrromethene (T-MPy-aza-BODIPY) (139). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	94
Figure 2.44	The structure of the target thiophene-carbazole-substituted BF ₂ -azadipyrrromethene (T-Cz-aza-BODIPY).....	94
Figure 2.45	Synthesis of intermediate compounds (147) and (148). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	95
Figure 2.46	The synthesis of thiophene-carbazole-substituted azadipyrrromethene (T-Cz-aza-DIPY). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	96
Figure 2.47	The synthesis of thiophene-carbazole-substituted BF ₂ -azadipyrrromethene (T-Cz-aza-BODIPY) (145). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	96
Figure 2.48	The target structures of fluorenone-based aza-BODIPYs.....	98
Figure 2.49	Synthesis of intermediate compounds (157) and (158). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	99

Figure 2.50	The synthesis of fluorenone-substituted aza-dipyrromethene (FN-aza-DIPY) (159). Reagents and conditions: NH ₄ OAc/EtOH, reflux, 24h.....	100
Figure 2.51	The synthesis of fluorenone-substituted BF ₂ -aza-dipyrromethene (FN-aza-BODIPY) (150). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	101
Figure 2.52	Synthesis of intermediate compounds (160) and (161). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	102
Figure 2.53	The synthesis of thiophene-fluorenone-substituted aza-dipyrromethene (T-FN-aza-DIPY) (162). Reagents and conditions: NH ₄ HCO ₂ /EtOH, reflux, 24h.....	102
Figure 2.54	Synthesis of thiophene-fluorenone-substituted BF ₂ -aza-dipyrromethene (T-FN-aza-BODIPY) (151). Reagents and conditions: BF ₃ .OEt ₂ , DIEA, CH ₂ Cl ₂ , rt, 24h.....	103
Figure 2.55	Structures of some proposed BF ₂ -aza-dipyrromethenes (aza-BODIPYs) which were not synthesized successfully.....	105
Figure 2.56	Synthesis of intermediate compounds (165), (166), and (167) (TT-aza-DIPY3). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.(iii) NH ₄ OAc/EtOH, reflux, 24h.....	107
Figure 2.57	The attempted synthesis of TT-aza-DIPY4. Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.(iii) NH ₄ OAc/EtOH, reflux, 24h.....	108
Figure 2.58	Synthesis of intermediate compounds (172) and (173). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	109
Figure 2.59	The synthesis of ethylenedioxythiophene-triphenylamine-substituted aza-dipyrromethene (EDOT-TPA-aza-DIPY) (174). Reagents and conditions: NH ₄ HCO ₂ /EtOH, reflux, 24h.....	110

Figure 2.60	Attempted synthesis of fluorenone-triphenylamine-substituted azadipyrromethene (FN-TPA-aza-DIPY). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.(iii) NH ₄ HCO ₂ /EtOH, reflux, 24h.....	111
Figure 2.61	Synthesis of intermediate compound (178) and (179). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.(iii) NH ₄ HCO ₂ / <i>n</i> -BuOH, reflux, 24h.....	112
Figure 2.62	Synthesis of intermediate compounds (181) and (182). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	113
Figure 2.63	The attempted synthesis of compound (183). Reagents and conditions: KOH, EtOH/H ₂ O.....	114
Figure 2.64	Synthesis of intermediate compound (184) and the attempted synthesis of compound (185). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h.....	115
Figure 2.65	The attempted synthesis of compound (186). Reagents and conditions: KOH, EtOH/H ₂ O.....	116
Figure 2.66	Synthesis of intermediate compound (187) and the attempted synthesis of compound (188). Reagents and conditions: (i) KOH, EtOH/H ₂ O. (ii) CH ₃ NO ₂ , DEA, MeOH, reflux, 24h. (iii) NH ₄ HCO ₂ / <i>n</i> -BuOH, reflux, 24h.....	117
Figure 2.67	The structures of β' -substituted terthiophenes.....	118
Figure 2.68	Synthesis of 3'-formylterthiophene starting materials.....	119
Figure 2.69	Synthesis of intermediate compounds: bromide derivatives (compounds 203, 207, and 210) and phosphonium salt derivatives (compounds (205), (208), and (211)).....	120
Figure 2.70	Synthetic routes of the functionalized β' -substituted terthiophene; (190), (191), (192) and (193).....	121
Figure 2.71	The proposed mechanism of the Wittig reaction for the formation of terthiophene derivatives (derived from the general mechanism of a Wittig reaction).....	122
Figure 2.72	The synthesis of standard ruthenium complexes the N3 dye (222)	124

	and (224).....	
Figure 2.73	The proposed routes for modification of the silicon quantum dots..	127
Figure 2.74	Transmission electron microscopy (TEM) image of amine-terminated silicon quantum dots.....	128
Figure 2.75	The proposed synthetic routes of thiophene-BODIPY based monomers. Reagents and conditions: (i) TFA, DCM. (ii) <i>p</i> -chloranil or DDQ, rt. (iii) DIEA, BF ₃ -OEt ₂ , DCM. (iv) NBS (2 mol), -20 °C, DMF. (v) Pd(PPh ₃) ₄ , DME, Na ₂ CO ₃	130
Figure 2.76	The proposed synthetic routes of thiophene-BODIPY based monomers. Reagents and conditions: (i) TFA, DCM.....	130
Figure 2.77	The ¹ H NMR spectrum of compound (108).....	133
Figure 2.78	The mass spectrum of compound (108).....	134
Figure 2.79	The ¹ H NMR spectrum of compound (109).....	135
Figure 2.80	The mass spectrum of compound (109).....	136
Figure 2.81	The ¹ H NMR spectrum of compound (110).....	137
Figure 2.82	The mass spectrum of compound (110).....	138
Figure 2.83	The ¹ H NMR spectrum of compound (106).....	139
Figure 2.84	The ¹³ C NMR spectrum of compound (106).....	140
Figure 2.85	Theoretical isotope distribution of molecule ion (M+H) of compound (106).....	141
Figure 2.86	Experimental mass spectrum of compound (106).....	142
Figure 2.87	The ¹ H NMR spectrum of compound (113).....	143
Figure 2.88	¹³ C NMR spectrum of compound (113).....	144
Figure 2.89	Theoretical isotope distribution of molecule ion (M+H) of compound (113).....	145
Figure 2.90	Experimental mass spectrum of compound (113).....	146
Figure 2.91	The ¹ H NMR spectrum of compound (112).....	147
Figure 2.92	The ¹³ C NMR spectrum of compound (112).....	148
Figure 2.93	Theoretical isotope distribution of molecule ion (M+H) of compound (112).....	149
Figure 2.94	Experimental mass spectrum of compound (112).....	150
Figure 2.95	The ¹ H NMR spectrum of compound (132).....	151
Figure 2.96	The ¹³ C NMR spectrum of compound (132).....	152

Figure 2.97	Theoretical isotope distribution of molecule ion (M+H) of compound (132).....	153
Figure 2.98	Experimental mass spectrum of compound (132).....	154
Figure 2.99	The ¹ H NMR spectrum of compound (151).....	155
Figure 2.100	Crystal structure for compound (87).....	200
Figure 3.1	The configuration of the integrating sphere.....	210
Figure 3.2	The experimental λ_{\max} and λ_{em} values for selected aza-BODIPYs from the literature.....	216
Figure 3.3	The experimental λ_{\max} and λ_{em} values measured for the novel aza-BODIPYs.....	217
Figure 3.4	A comparison between the experimental and calculated absorption spectrum of compound (87) in chloroform.....	220
Figure 3.5	A comparison between the experimental and calculated absorption spectrum of compound (88) in chloroform.....	220
Figure 3.6	A comparison between the experimental and calculated absorption spectrum of compound (100) in chloroform.....	221
Figure 3.7	A comparison between the experimental and calculated absorption spectrum of compound (106) in chloroform.....	221
Figure 3.8	A comparison between the experimental and calculated absorption spectrum of compound (113) in chloroform.....	222
Figure 3.19	A comparison between the experimental and calculated absorption spectrum of compound (150) in chloroform.....	222
Figure 3.10	A comparison between the experimental and calculated absorption spectrum of compound (151) in chloroform.....	223
Figure 3.11	A comparison between the experimental and calculated absorption spectrum of compound (111) in chloroform.....	223
Figure 3.12	A comparison between the experimental and calculated absorption spectrum of compound (112) in chloroform.....	224
Figure 3.13	A comparison between the experimental and calculated absorption spectrum of compound (125) in chloroform.....	224
Figure 3.14	A comparison between the experimental and calculated absorption spectrum of compound (132) in chloroform.....	225

Figure 3.15	A comparison between the experimental and calculated absorption spectrum of compound (145) in chloroform.....	225
Figure 3.16	A comparison between the experimental and calculated absorption spectrum of compound (139) in chloroform.....	226
Figure 3.17	The experimental UV-visible spectra of compound (4), $\lambda_{\text{max}}= 650$ nm (black line), compound (87), $\lambda_{\text{max}}= 665$ nm (red line) and compound (88), $\lambda_{\text{max}}= 706$ nm (blue line) in chloroform.....	227
Figure 3.18	The optimized geometry of the isolated molecules (87) (left) and (88) (right) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	229
Figure 3.19	The experimental UV-VIS spectra of compound (100), $\lambda_{\text{max}}=785$ nm (blue line), compound (106), $\lambda_{\text{max}}= 818$ nm (black line) and compound (113), $\lambda_{\text{max}}= 855$ nm (red line) in chloroform.....	231
Figure 3.20	The optimized geometry of the isolated molecules (a) (100), (b) (106) and (c) (113) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	232
Figure 3.21	The HOMO frontier orbital of compound (106).....	234
Figure 3.22	The LUMO frontier orbital of compound (106).....	235
Figure 3.23	The HOMO-1 frontier orbital of compound (106).....	235
Figure 3.24	The HOMO-10 frontier orbital of compound (106).....	236
Figure 3.25	The experimental UV-visible spectra of compound (150), $\lambda_{\text{max}}= 677$ nm (black line) and compound (151), $\lambda_{\text{max}}= 725$ nm (red line) in chloroform.....	237
Figure 3.26	The optimized geometry of isolated molecules (a) (150) and (b) (151) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	238
Figure 3.27	The HOMO frontier orbital of compound (151).....	239
Figure 3.28	The LUMO frontier orbital of compound (151).....	239
Figure 3.29	The HOMO-2 frontier orbital of compound (151).....	240
Figure 3.30	The LUMO+3 frontier orbital of compound (151).....	240
Figure 3.31	The experimental UV-visible spectra of compound (111), $\lambda_{\text{max}}= 571$ nm (black line), compound (112), $\lambda_{\text{max}}= 754$ nm (red line) and	242

	compound (125), $\lambda_{\text{max}} = 610$ nm (blue line) in chloroform.....	
Figure 3.32	The optimized geometry of isolated molecules (a) (111) (b) (112) and (c) (125) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	243
Figure 3.33	The HOMO frontier orbital of compound (125).....	244
Figure 3.34	The LUMO frontier orbital of compound (125).....	245
Figure 3.35	The HOMO-1 frontier orbital of compound (125).....	245
Figure 3.36	The HOMO-7 frontier orbital of compound (125).....	246
Figure 3.37	The experimental UV-visible spectra of compound (139), $\lambda_{\text{max}} = 710$ nm (black line), compound (132), $\lambda_{\text{max}} = 755$ nm (red line) and compound (145), $\lambda_{\text{max}} = 736$ nm (blue line) in chloroform.....	247
Figure 3.38	The optimized geometry of the isolated molecule (132) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	247
Figure 3.39	The optimized geometry of the isolated molecules (a) (139) and (b) (145) obtained from DFT calculations using DFT/B3LYP 6-311++G (2d, P) method.....	248
Figure 3.40	Absorption (black line) and emission spectra (red line) of compound (88) in chloroform, $\lambda_{\text{max}} = 706$ nm, $\lambda_{\text{ex}} = 686$ nm, $\lambda_{\text{em}} = 734$ nm.....	251
Figure 3.41	Absorption (black line) and emission spectra (red line) of compound (106) in chloroform, $\lambda_{\text{max}} = 818$ nm, $\lambda_{\text{ex}} = 825$ nm, $\lambda_{\text{em}} = 896$ nm.....	251
Figure 3.42	Absorption (black line) and emission spectra (red line) of compound (112) in chloroform, $\lambda_{\text{max}} = 754$ nm, $\lambda_{\text{ex}} = 730$ nm, $\lambda_{\text{em}} = 776$ nm.....	252
Figure 3.43	Absorption (black line) and emission spectra (red line) of compound (113) in chloroform, $\lambda_{\text{max}} = 855$ nm, $\lambda_{\text{ex}} = 880$ nm, $\lambda_{\text{em}} = 953$ nm.....	252
Figure 3.44	Absorption (black line) and emission spectra (red line) of compound (151) in chloroform, $\lambda_{\text{max}} = 725$ nm, $\lambda_{\text{ex}} = 725$ nm, $\lambda_{\text{em}} = 763$ nm.....	253

Figure 3.45	Absorption (black line) and emission spectra (red line) of compound (125) in chloroform, $\lambda_{\text{max}}= 610 \text{ nm}$, $\lambda_{\text{ex}}= 595 \text{ nm}$, $\lambda_{\text{em}}= 658 \text{ nm}$	253
Figure 3.46	Absorption (black line) and emission spectra (red line) of compound (145) in chloroform, $\lambda_{\text{max}}= 736 \text{ nm}$, $\lambda_{\text{ex}}= 715 \text{ nm}$, $\lambda_{\text{em}}= 778 \text{ nm}$	254
Figure 3.47	Fluorescence quenching study of (a) compound (88) ($\lambda_{\text{ex}}= 686 \text{ nm}$ and $\lambda_{\text{em}}= 734 \text{ nm}$) and (b) compound (106) ($\lambda_{\text{ex}}= 825 \text{ nm}$ and $\lambda_{\text{em}}= 896 \text{ nm}$) in chloroform solution, the spectra shows the fluorescence quenched by PC ₆₀ BM.....	256
Figure 3.48	Fluorescence lifetime decay of compound (87) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	258
Figure 3.49	Fluorescence lifetime decay of compound (88) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	258
Figure 3.50	Fluorescence lifetime decay of compound (100) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	259
Figure 3.51	Fluorescence lifetime decay of compound (113) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	259
Figure 3.52	Fluorescence lifetime decay of compound (125) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	260
Figure 3.53	Fluorescence lifetime decay of compound (111) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	260
Figure 3.54	Fluorescence lifetime decay of compound (150) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	261
Figure 3.55	Fluorescence lifetime decay of compound (106) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	261
Figure 3.56	Fluorescence lifetime decay of compound (151) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	262
Figure 3.57	Fluorescence lifetime decay of compound (112) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	262
Figure 3.58	Fluorescence lifetime decay of compound (132) in the absence of PC ₆₀ BM (black line) and the presence of PC ₆₀ BM (colored lines)...	263

Figure 3.59	Fluorescence lifetime decay of compound (145) in the absence of PC60BM (black line) and the presence of PC ₆₀ BM (colored lines)...	263
Figure 3.60	The ratio of fluorescence intensities of compound (87) versus quencher concentration [PC ₆₀ BM].....	268
Figure 3.61	The ratio of fluorescence intensities of compound (88) versus quencher concentration [PC ₆₀ BM].....	268
Figure 3.62	The ratio of fluorescence intensities of compound (100) versus quencher concentration [PC ₆₀ BM].	269
Figure 3.63	The ratio of fluorescence intensities of compound (106) versus quencher concentration [PC ₆₀ BM]	269
Figure 3.64	The ratio of fluorescence intensities of compound (111) versus quencher concentration [PC ₆₀ BM]	270
Figure 3.65	The ratio of fluorescence intensities of compound (112) versus quencher concentration [PC ₆₀ BM]	270
Figure 3.66	The ratio of fluorescence intensities of compound (113) versus quencher concentration [PC ₆₀ BM]	271
Figure 3.67	The ratio of fluorescence intensities of compound (125) versus quencher concentration [PC ₆₀ BM]	271
Figure 3.68	The ratio of fluorescence intensities of compound (132) versus quencher concentration [PC ₆₀ BM]	272
Figure 3.69	The ratio of fluorescence intensities of compound (145) versus quencher concentration [PC ₆₀ BM]	272
Figure 3.70	The ratio of fluorescence intensities of compound (150) versus quencher concentration [PC ₆₀ BM]	273
Figure 3.71	The ratio of fluorescence intensities of compound (151) versus quencher concentration [PC ₆₀ BM]	273
Figure 3.72	The fluorescence lifetimes of compound (87) versus quencher concentration [PC ₆₀ BM].....	275
Figure 3.73	The fluorescence lifetimes of compound (88) versus quencher concentration [PC ₆₀ BM]	275
Figure 3.74	The fluorescence lifetimes of compound (100) versus quencher concentration [PC ₆₀ BM]	276

Figure 3.75	The fluorescence lifetimes of compound (106) versus quencher concentration [PC ₆₀ BM]	276
Figure 3.76	The fluorescence lifetimes of compound (111) versus quencher concentration [PC ₆₀ BM]	277
Figure 3.77	The fluorescence lifetimes of compound (112) versus quencher concentration [PC ₆₀ BM]	277
Figure 3.78	The fluorescence lifetimes of compound (113) versus quencher concentration [PC ₆₀ BM]	278
Figure 3.79	The fluorescence lifetimes of compound (125) versus quencher concentration [PC ₆₀ BM]	278
Figure 3.80	The fluorescence lifetimes of compound (132) versus quencher concentration [PC ₆₀ BM]	279
Figure 3.81	The fluorescence lifetimes of compound (145) versus quencher concentration [PC ₆₀ BM]	279
Figure 3.82	The fluorescence lifetimes of compound (150) versus quencher concentration [PC ₆₀ BM]	280
Figure 3.83	The fluorescence lifetimes of compound (151) versus quencher concentration [PC ₆₀ BM]	280
Figure 4.1	(a) Mount designed for positioning devices (in top slot) appropriately for test clip. (b) Shadow mask for patterning electrodes. (c) OPV devices after aluminum and silver evaporation at the contacts.....	287
Figure 4.2	Schematic solar cell device architecture (a) regular device (b) inverted device.....	289
Figure 4.3	Effect of the electron donor (aza-BODIPY) for a series of devices with the same blend ratio and total concentration.....	292
Figure 4.4	Spectrally resolved photocurrent for devices employing compound (112), examining the effect of the PEDOT:PSS interfacial layer....	293
Figure 4.5	Spectrally resolved photocurrent for devices employing compound (106), examining the effect of the PEDOT:PSS interfacial layer....	294
Figure 4.6	Spectrally resolved photocurrent for devices employing compound (113), examining the effect of the PEDOT:PSS interfacial layer....	294

Figure 4.7	Effect of active layer thickness (compound (106):PCBM) in nm, as controlled by total blend concentration.....	295
Figure 4.8	Effect of donor:PCBM ratio for compound (106). CB is chlorobenzene used to cast the active layer.....	296
Figure 4.9	Effect of donor:PCBM ratio for compound (113). CB is chlorobenzene used to cast the active layer.....	297
Figure 4.10	Effect of casting solvent, where PCBM blends with compound (113) were cast from chlorobenzene (CB) and chloroform (CF).....	298
Figure 4.11	The current density-voltage (J-V) characteristics of device number (7) under AM 1.5G simulated 1 sun solar illumination and in the dark.....	299
Figure 4.12	The power (J×V) versus V for device number (7).....	300
Figure 4.13	The current density-voltage (J-V) characteristics of device number 3 under AM 1.5G simulated 1 sun solar illumination.....	300
Figure 4.14	The power (J×V) versus V for device number (3)	301
Figure 4.15	The current density-voltage (J-V) characteristics of device number 4 under AM 1.5G simulated 1 sun solar illumination.....	301
Figure 4.16	The power (J×V) versus V for device number (4)	302
Figure 4.17	The current density-voltage (J-V) characteristics of device number 23 under AM 1.5G simulated 1 sun solar illumination.....	302
Figure 4.18	The power (J×V) versus V for device number (23)	303
Figure 4.19	The current density-voltage (J-V) characteristics of device number 2 under AM 1.5G simulated 1 sun solar illumination.....	303
Figure 4.20	The power (J×V) versus V for device number (2)	304
Figure 4.21	The current density-voltage (J-V) characteristics of standard solar cell with the architecture ITO/PEDOT/P3HT:PCBM/Al under AM 1.5G simulated 1 sun solar illumination.....	306
Figure 5.1	The structures of the new aza-BODIPY compounds ((87), (88), (100), (106), (111), (112), (113), (125), (132), (139), (145), (150) and (151)) along with the standard compound (4).....	312

Figure 5.2	The experimental UV-VIS spectra of selected aza-BODIPYs (compound (4), $\lambda_{\text{max}}=650$ nm (black line), compound (88), $\lambda_{\text{max}}=706$ nm (grey line), compound (100), $\lambda_{\text{max}}=785$ nm (orange line), compound (106), $\lambda_{\text{max}}=818$ nm (green line), compound (111), $\lambda_{\text{max}}=571$ nm (blue line) and compound (113), $\lambda_{\text{max}}=855$ nm (red line)) in chloroform.....	313
Figure 5.3	Effect of the electron donor (aza-BODIPY) for a series of devices with the same blend ratio and total concentration.....	314
Figure 5.4	Effect of active layer thickness (compound (106):PCBM) in nm, as controlled by total blend concentration.....	314
Figure A1	Comparison between the experimental and calculated Fourier transform infrared (FTIR) spectrum of compound (106).....	317
Figure A2	The current density-voltage (J-V) characteristics of device number (1) under AM 1.5G simulated 1 sun solar illumination.....	319
Figure A3	The current density-voltage (J-V) characteristics of device number (5) under AM 1.5G simulated 1 sun solar illumination.....	319
Figure A4	The current density-voltage (J-V) characteristics of device number (6) under AM 1.5G simulated 1 sun solar illumination.....	320
Figure A5	The current density-voltage (J-V) characteristics of device number (8) under AM 1.5G simulated 1 sun solar illumination.....	320
Figure A6	The current density-voltage (J-V) characteristics of device number (9) under AM 1.5G simulated 1 sun solar illumination.....	321
Figure A7	The current density-voltage (J-V) characteristics of device number (10) under AM 1.5G simulated 1 sun solar illumination.....	321
Figure A8	The current density-voltage (J-V) characteristics of device number (13) under AM 1.5G simulated 1 sun solar illumination.....	322
Figure A9	The current density-voltage (J-V) characteristics of device number (14) under AM 1.5G simulated 1 sun solar illumination.....	322
Figure A10	The current density-voltage (J-V) characteristics of device number (15) under AM 1.5G simulated 1 sun solar illumination.....	323
Figure A11	The current density-voltage (J-V) characteristics of device number (19) under AM 1.5G simulated 1 sun solar illumination.....	323

Figure A12	The current density-voltage (J-V) characteristics of device number (22) under AM 1.5G simulated 1 sun solar illumination.....	324
Figure A13	The current density-voltage (J-V) characteristics of device number (25) under AM 1.5G simulated 1 sun solar illumination.....	324
Figure A14	The current density-voltage (J-V) characteristics of device number (26) under AM 1.5G simulated 1 sun solar illumination.....	325
Figure A15	The current density-voltage (J-V) characteristics of device number (28) under AM 1.5G simulated 1 sun solar illumination.....	325

List of Tables

<i>Number</i>	<i>Description</i>	<i>Page</i>
Table 2.1	Substitution effects on the formation of the novel aza-BODIPYs. Rows (1) and (2) are literature yields, while rows (3) to (15) are the experimental yields for the novel aza-BODIPYs obtained experimentally (Sections (2.2) to (2.32)).....	56
Table 2.2	Crystal data and structure refinement for compound (87).....	189
Table 2.3	Atomic coordinates and equivalent isotropic displacement parameters (\AA^2) for compound (87). $U(\text{eq})$ is defined as one third of the trace of the orthogonalized U^{ij}	190
Table 2.4	Bond lengths [\AA] for compound (87).....	193
Table 2.5	Bond angles [$^\circ$] for compound (87).....	195
Table 2.6	Anisotropic displacement parameters (\AA^2) for compound (87).....	198
Table 3.1	Mean absolute errors (DFT versus XRD) of compounds (a), (b) (literature) and the new compound (87) calculated for selected bond lengths (\AA) and valence angles (degrees).....	214
Table 3.2	Comparison of the theoretical and experimental λ_{max} (nm) from the literature. The theoretical values were computed using a variety (rows 1-15) with the PCM(CH_2Cl_2)-TD-DFT/6-311+G(2d,p)//PCM(CH_2Cl_2)-PBE0/6-311G(2d,p) level of approximation. Oscillator strengths are given in brackets.....	215
Table 3.3	Comparison of the theoretical and experimental λ_{max} (nm). The theoretical values were computed using the B3LYP/6-311++G (2d, P) level of approximation. (Rows (1) and (2) are from literature; rows (3) to (15) were from this study).....	219
Table 3.4	Experimental spectroscopic characteristics of synthesized BF_2 -aza-dipyrromethene compounds measured in chloroform.....	228
Table 3.5	Calculated spectroscopic characteristics and frontier orbital energies of compounds (106), (125) and (151), calculated in chloroform.....	233

Table 3.6	Experimental fluorescence characteristics of compounds (88), (106), (112), (113), (125), (145), and (151) measured in chloroform, and standard aza-BODIPY (4).....	249
Table 3.7	Fluorescence lifetime measurements from interactions between BF ₂ -aza-dipyromethenes and PC ₆₀ BM (in chloroform solution).....	265
Table 3.8	The quenching (K_b) and binding (K_{sv}) constants.....	267
Table 4.1	Device fabrication parameters for compounds (88), (132), (112) and (151).....	291
Table 4.2	Device performance characteristics for the better performing devices..	304
Table A1	Experimental and calculated vibrational frequencies of compound (106) (Vibrational scaling factors = 0.96).....	318

Abbreviations

ACN	acetonitrile
Ar	aromatic
CDCl ₃	deuterated chloroform
conc	concentrated
Da	daltons
DBU	1,8-diazabicyclo[5.4.0]undec-7-ene
DCM	dichloromethane
DDQ	2,3-dichloro-5,6-dicyanobenzoquinone
DFT	density functional theory
DEA	Diethylamine
DIEA	<i>N,N</i> -Diisopropylethylamine
DME	Dimethyl ether
DMF	<i>N,N</i> -dimethylformamide
DSSC	dye sensitised solar cell
ESI	electrospray ionisation
EtOH	ethanol
FF	fill factor
ITO	indium tin oxide
J _{sc}	short circuit current
L	litres
LDA	Lithium diisopropylamide
MALDI	matrix assisted laser desorption ionisation
MeOH	methanol
min	minute
mL	millilitres
mmol	millimole
NBS	<i>N</i> -bromosuccinimide
NMP	<i>N</i> -methyl-2-pyrrolidone
NMR	nuclear magnetic resonance
Ph	phenyl
ppm	parts per million
R _f	retention factor
TFA	Trifluoroacetic acid
THF	tetrahydrofuran
TLC	thin layer chromatography

TMS	tetramethylsilane
TOF	time of flight
UV-Vis	ultraviolet-visible spectroscopy
V _{oc}	open circuit voltage