

Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.





# **Ontological Lockdown Assessment**

A thesis presented in partial fulfilment of the requirements for the degree of  
Master of Science  
in  
Information Technology  
at Massey University, Palmerston North, New Zealand

**Aaron Steele**

**2008**



## **Abstract**

In order to keep shared access computers secure and stable system administrators resort to locking down the computing environment in order to prevent intentional and unintentional damage by users. Skilled attackers are often able to break out of locked down computing environments and intentionally misuse shared access computers. This misuse has resulted in cases of mass identity theft and fraud, some of which have had an estimated cost ranging in millions.

In order to determine if it is possible to break out of locked down computing environments an assessment method is required. Although a number of vulnerability assessment techniques exist, none of the existing techniques are sufficient for assessing locked down shared access computers. This is due to the existing techniques focusing on traditional, application specific, software vulnerabilities. Break out path vulnerabilities (which are exploited by attackers in order to break out of locked down environments) differ substantially from traditional vulnerabilities, and as a consequence are not easily discovered using existing techniques.

Ontologies can be thought of as a modelling technique that can be used to capture expert knowledge about a domain of interest. The method for discovering break out paths in locked down computers can be considered expert knowledge in the domain of shared access computer security. This research proposes an ontology based assessment process for discovering break out path vulnerabilities in locked down shared access computers. The proposed approach is called the ontological lockdown assessment process. The ontological lockdown assessment process is implemented against a real world system and successfully identifies numerous break out path vulnerabilities.

## Acknowledgements

Firstly, I thank Jesus. Also, my lovely wife Sina, my supervisors: Sven Hartmann and Sebastian Link. Thanks also go to Stephen Marsland, Elizabeth Kemp, and Patrick Rhyhart, my Church and all the people therein.

Last of all I thank everyone else who helped me during the course of this project.

This thesis is dedicated to one of the finest graduates of Ngaumu University, my granddad, Bob Coulson.

## Publications

A publication related to this research is:

Steele, A. (2008). Ontological Vulnerability Assessment. *Proceedings of the International Workshop on Web Information Systems Engineering for Electronic Businesses and Governments (E-BAG 2008)*. S. Hartmann et al. (Eds): WISE 2008, LNCS 5176, pp. 24-35, 2008. © Springer-Verlag Berlin Heidelberg 2008



## Table of Contents

|                                                      |      |
|------------------------------------------------------|------|
| Abstract .....                                       | I    |
| Acknowledgements .....                               | II   |
| Publications .....                                   | III  |
| Table of Contents .....                              | IV   |
| List of Figures .....                                | VII  |
| List of Tables .....                                 | VIII |
| 1 Introduction .....                                 | 1    |
| 1.1 Research Objectives .....                        | 1    |
| 1.2 Thesis Structure .....                           | 2    |
| 2 The Lockdown Problem .....                         | 4    |
| 2.1 Shared Access Computers .....                    | 4    |
| 2.2 Unique Security Issues .....                     | 5    |
| 2.2.1 Perceived Value .....                          | 5    |
| 2.2.2 Usability, Security and Cost Trade Off .....   | 7    |
| 2.2.3 Insider Threat .....                           | 8    |
| 2.2.4 User Information .....                         | 9    |
| 2.2.5 Dormant Technology .....                       | 9    |
| 2.3 Potential Attacks .....                          | 10   |
| 2.3.1 History .....                                  | 11   |
| 2.3.2 Key Logging .....                              | 11   |
| 2.3.3 Shares .....                                   | 13   |
| 2.3.4 Sniffing .....                                 | 13   |
| 2.3.5 Scanning .....                                 | 14   |
| 2.3.6 Denial of Service and Vandalism .....          | 15   |
| 2.4 Problem Summary .....                            | 15   |
| 2.4.1 Locking down .....                             | 16   |
| 2.4.2 Tools and Techniques .....                     | 19   |
| 2.4.3 Problem Statement .....                        | 19   |
| 3 Existing Vulnerability Assessment Techniques ..... | 21   |
| 3.1 Vulnerability Scanners .....                     | 21   |

## Table of Contents

---

|       |                                                             |    |
|-------|-------------------------------------------------------------|----|
| 3.1.1 | Nessus .....                                                | 21 |
| 3.1.2 | GFI LANguard .....                                          | 23 |
| 3.1.3 | Other Vulnerability Scanners .....                          | 24 |
| 3.2   | Vulnerability Assessment Procedures .....                   | 25 |
| 3.2.1 | NIST Risk Management Guide .....                            | 25 |
| 3.2.2 | FRAP .....                                                  | 28 |
| 3.2.3 | VAM .....                                                   | 30 |
| 3.2.4 | Pfleegeer & Pfleegeer .....                                 | 32 |
| 3.3   | Summary of Existing Techniques .....                        | 34 |
| 4     | An Ontological Solution .....                               | 36 |
| 4.1   | Ontologies .....                                            | 36 |
| 4.1.1 | Definition .....                                            | 36 |
| 4.1.2 | Features .....                                              | 38 |
| 4.2   | Ontologies in Security .....                                | 39 |
| 4.2.1 | NRL Security Ontology .....                                 | 40 |
| 4.2.2 | An Ontology for Network Security Attacks .....              | 40 |
| 4.2.3 | Security Ontology as a Methodical Tool .....                | 41 |
| 4.2.4 | Ontologies for Security Planning .....                      | 42 |
| 4.2.5 | Ontologies for Security Critical Software Development ..... | 42 |
| 4.2.6 | Ontologies for Security Management .....                    | 43 |
| 4.2.7 | Summary of Ontologies in Security .....                     | 46 |
| 4.3   | Proposed Ontological Solution .....                         | 47 |
| 5     | Lockdown Assessment Ontology .....                          | 48 |
| 5.1   | Breaking Out .....                                          | 48 |
| 5.1.1 | Example One .....                                           | 48 |
| 5.1.2 | Example Two .....                                           | 49 |
| 5.1.3 | Example Three .....                                         | 50 |
| 5.1.4 | Example Four .....                                          | 51 |
| 5.2   | The Underlying Principles .....                             | 52 |
| 5.2.1 | Inputs .....                                                | 53 |
| 5.2.2 | Processes and Outputs .....                                 | 54 |
| 5.3   | The Ontology .....                                          | 55 |
| 5.4   | Ontological Lockdown Assessment .....                       | 57 |
| 5.4.1 | Phase 1: Define the Broken State .....                      | 58 |

## Table of Contents

---

|       |                                           |    |
|-------|-------------------------------------------|----|
| 5.4.2 | Phase 2: Identify the Initial Assets..... | 59 |
| 5.4.3 | Phase 3: Build Access Paths .....         | 60 |
| 5.4.4 | Phase 4: Compilation and Analysis .....   | 61 |
| 6     | Case Study .....                          | 63 |
| 6.1   | System Characteristics .....              | 63 |
| 6.2   | Ontological Lockdown Assessment.....      | 64 |
| 6.2.1 | Phase 1: Define the Broken State.....     | 64 |
| 6.2.2 | Phase 2: Identify the Initial Assets..... | 64 |
| 6.2.3 | Phase 3: Build Access Paths .....         | 65 |
| 6.2.4 | Phase 4: Compilation and Analysis .....   | 73 |
| 6.3   | Comparative Results .....                 | 80 |
| 6.3.1 | Vulnerability Scanner .....               | 80 |
| 6.3.2 | Online Vulnerability Databases .....      | 86 |
| 6.4   | Case Study Summary .....                  | 88 |
| 7     | Conclusion .....                          | 89 |
| 7.1   | Review .....                              | 89 |
| 7.2   | Future .....                              | 90 |
| 7.3   | Limitations .....                         | 91 |
| 7.4   | Discussion .....                          | 92 |
|       | References.....                           | 94 |

## List of Figures

|                                                                                       |    |
|---------------------------------------------------------------------------------------|----|
| Figure 1. Usability, security and cost trade off triangle for computer security ..... | 7  |
| Figure 2. Function sets of a perfectly locked down shared access computer .....       | 17 |
| Figure 3. Function sets of an imperfectly locked down shared access computer .....    | 18 |
| Figure 4. FRAP Brainstorming Guide [55] (p. 78) .....                                 | 29 |
| Figure 5. The VAM Vulnerability Matrix [20] (p. 27) .....                             | 31 |
| Figure 6. Assets and Security Properties [57] (p. 529) .....                          | 33 |
| Figure 7. Asset, threat, countermeasure ontology model.....                           | 45 |
| Figure 8. Generic Microsoft Narrator dialog box .....                                 | 50 |
| Figure 9. The basic IPO model .....                                                   | 52 |
| Figure 10. Ontology of the break out process .....                                    | 55 |
| Figure 11. Ontological Asset to Access Point path.....                                | 57 |
| Figure 12. Screen Control Panel access paths in graph format.....                     | 74 |
| Figure 13. Interconnect access path graph .....                                       | 75 |
| Figure 14. Keyboard port and Mouse port access paths in graph format .....            | 76 |

List of Tables

Table 1. Asset to security attribute relationship table [65] ..... 44

Table 2. Improved asset to security attribute relationship table ..... 45

Table 3. Screen Control Panel vulnerability impact ratings ..... 77

Table 4. Keyboard and Mouse port vulnerability impact ratings ..... 77

Table 5. Interconnected break out path vulnerability impact ratings..... 79

Table 6. Comparative Nessus vulnerability scan results..... 86