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# **On Two Problems of Arithmetic Degree Theory**

# A thesis presented in partial fulfilment of the requirements for the degree

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by

# **Alistair Allan Compton**

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To the memory

of

Wolfgang Vogel

whose guidance was invaluable.

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# ABSTRACT

The reader of this thesis should already have a basic understanding of ideal theory. For this reason it is recommended that a good introduction to this subject would be gained from reading D. G. Northcott's book "Ideal Theory", paying special attention to chapters one and three. This thesis consists of three chapters, with chapter one providing the definitions and theorems which will be used throughout. Then I will be considering two problems on the arithmetic degree of an ideal, one posed by Sturmfels, Trung and Vogel and the other by Renschuch. These problems will be described in the introductions to chapters two and three.

# **CHAPTER 1**

# PRELIMINARY RESULTS

Let I be a homogeneous ideal of the polynomial ring  $S = F[x_0, ..., x_n]$  where F is any field.

Let P be a prime ideal belonging to I.

If P is isolated, we know from the corollary of theorem 3 of Northcott's book [6, p.19], that the primary component corresponding to P is the same for all normal decompositions of I.

However, if P is embedded, then this is not true, as the following example [6, p.30] shows.

Consider the ideal  $(x^2, xy)$  in the ring F[x, y], F any field.

It is shown in Northcott's book [6, p. 30] that

and

 $(x) \cap (y + ax, x^2) \quad \text{(where } a \text{ is any element of } F\text{)},$  $(x) \cap (y + bx, x^2) \quad \text{(where } b \in F, \ b \neq a\text{)},$  $(x) \cap (x^2, xy, y^2)$ 

are all normal decompositions of  $(x^2, xy)$  with  $(y + ax, x^2)$ ,  $(y + bx, x^2)$ ,  $(x^2, xy, y^2)$ all (x, y) – primary.

So the primary component corresponding to an embedded prime ideal need not be unique.

Therefore, if we have two normal primary decompositions of I, one having a primary component  $Q_1$  corresponding to an embedded prime P, and the other having a primary component  $Q_2$  corresponding to P,  $Q_1 \neq Q_2$ , then in general, the classical length multiplicity of  $Q_1$  does not equal the classical length multiplicity of  $Q_2$ .

However, in arithmetic degree theory, we do have a way of defining the length multiplicity of an embedded component of an ideal which is well-defined.

The definitions that are needed to do this are given later in this chapter.

We will also need some basic facts about the Hilbert function from the classical degree theory.

Let V(n + 1, t) denote the *F*-vector space consisting of all forms of degree *t* in  $x_0, \ldots, x_n$ .

Then dim<sub>F</sub>  $V(n+1,t) = {t+n \choose n}, t \ge 0, n \ge 0.$ 

Let V(I,t) be the *F*-vector space consisting of all forms in V(n+1,t) which are in *I*.

**Definition 1.** The function  $H(I, -) : Z^+ \to Z^+$  [10, p.43] defined by  $H(I, t) = \dim_F V(n + 1, t) - \dim_F V(I, t)$  is called the Hilbert function of I.

For large enough t, the Hilbert function is a polynomial P(I,t) in t with coefficients in Z. The degree  $d (0 \le d \le n)$  of this polynomial is called the dimension of I and is denoted by dim (I).

The polynomial P(I, t) can be written in the following form:

 $P(I,t) = h_0(I) \begin{pmatrix} t \\ d \end{pmatrix} + h_1 \begin{pmatrix} t \\ d-1 \end{pmatrix} + \ldots + h_d$  [10, p.45] where  $h_0(I)$  is a positive integer.

The leading coefficient of P(I, t), namely  $h_0(I)$ , is called the degree of I.

There is of course a great deal of theory on the Hilbert polynomial, but for our purposes the following definition and theorem will suffice.

Let  $I = (f_1, ..., f_t)$ .

**Definition 2.** I is said to be a complete intersection if  $(f_1, \ldots, f_{i-1})$ :  $f_i = (f_1, \ldots, f_{i-1})$  for all  $i = 1, \ldots, t$ .

**Theorem 1** [10, p.46]. Let the generators  $f_1, \ldots f_t$  of I be forms of degrees  $s_1, \ldots, s_t$  respectively. If I is a complete intersection then  $h_0(I) = s_1 \ldots s_t$ .

We will now state the other definitions, theorems and propositions that will be used in chapters two and three.

**Definition 3** [5, p.1]. Given any homogeneous ideal I and prime ideal P in S, we define J to be the intersection of the primary components of I with associated primes strictly contained in P. We let J = S if there are no primes p belonging to I with  $p \notin P$ .

Let Q be a P-primary ideal belonging to I.

**Definition 4** [3]. We define the length-multiplicity of Q, denoted by  $\operatorname{mult}_{I}(P)$ , as the length of a maximal strictly increasing chain of ideals,  $I \subseteq J_{\ell} \subset J_{\ell-1} \subset \ldots \subset$  $J_{2} \subset J_{1} \subset J$  where each  $J_{k}$  equals  $q \cap J$  for some P-primary ideal q.

As we will be making repeated use of an algorithmic approach to calculate  $\mathrm{mult}_{\mathrm{I}}(\mathrm{P})$  it is convenient to state it here, followed by a theorem.

Step 1. Take a maximal strictly increasing chain of primary ideals from Q to P.

$$(1) Q \subset \ldots \subset Q_{i-1} \subset Q_i \subset \ldots P.$$

Step 2. Intersect each primary ideal in (1) with J.

(2) 
$$Q \cap J \subseteq \ldots \subseteq Q_{i-1} \cap J \subseteq Q_i \cap J \subseteq \ldots \subseteq P \cap J = J.$$

Step 3. Eliminate duplicates in (2) in order to get a strictly increasing chain of ideals in the sense of definition 4.

$$Q \cap J =: J_{\ell} \subset J_{\ell-1} \subset \ldots \subset J_1 \subset J.$$

Note: If P is an isolated prime ideal of I, then  $mult_I(P)$  gives the classical length multiplicity of Q.

**Theorem 2** [5, p.2]. Using the above notation we have  $\ell = \text{mult}_{I}(P)$ .

**Definition 5** [2, p.1]. A polynomial of the form  $a_{(i)}x_1^{i1}x_2^{i2}\ldots x_n^{in}$ , where  $i_1, i_2, \ldots i_n$  are any non-negative integers and  $a_{(i)}$  is any element of F, is a monomial.

**Definition 6** [2, p.1]. If A is an ideal of S then A is a monomial ideal of S if and only if A is generated by monomials. That is,  $A = (m_1, \ldots, m_s)$ , where  $m_\ell$  are monomials for  $\ell = 1, \ldots, s$ .

**Proposition 1** [2, p.2]. Let  $P_1$  be a monomial ideal of  $S = F[x_0, \ldots, x_n]$ ;  $P_1$  is a prime ideal if and only if  $P_1 = (x_{i_0}, \ldots, x_{i_r}), i_j \in \{0, \ldots, n\}$  for  $j = 0, \ldots, r$ .

**Proposition 2** [2, p.2]. Let  $P_1, Q_1$  be monomial ideals of  $S = F[x_0, \ldots, x_n]$ where  $P_1$  is prime and, say  $P_1 = (x_{i_0}, \ldots, x_{i_r}), i_j \in \{0, \ldots, n\}$  for  $j = 0, \ldots, r$ .  $Q_1$  is *P*-primary if and only if  $Q_1 = (x_{i_0}^{t_0}, \ldots, x_{i_r}^{t_r}, m_0, \ldots, m_s)$  where  $t_j \ge 1$  for  $j = 0, \ldots, r$ , and  $m_\ell$  are monomials in  $x_{i_0}, \ldots, x_{i_r}$  for  $\ell = 0, \ldots, s$ .

**Definition 7.** Consider a primary decomposition of  $I = Q_1 \cap \ldots \cap Q_k$  where  $Q_i$  is  $P_i$ -primary. The arithmetic degree of I, denoted by arith-deg (I), is given by arith-deg  $(I) := \sum_{i=1}^k \text{ mult}_I(P_i)$  degree  $(P_i)$ .

Let  $I = (f_1, \ldots, f_t)$ . Definition 8.  $M(I) := \max_{i=1 \text{ to } t} \left\{ \text{degree } (f_i) \right\}.$  **Theorem 3** (criterion of  $mult_I(P) = 1$ ) [1, p.2].

Let R be a Noetherian ring.

Let A and B be ideals in R such that  $B \stackrel{\mathsf{C}}{\neq} A$ .

Let P be a prime ideal such that all primes belonging to A and B are contained in P.

Necessary and sufficient conditions, that there exists no ideal, say C, with  $B \stackrel{<}{\neq} C \stackrel{<}{\neq} A$ , and all primes that belong to C are also contained in P, are the following: (i) there exists an element x in A such that  $A = B + R \cdot x$ . (ii)  $PA \stackrel{<}{=} B$ .

**Definition 9** [2, p.3]. Two monomials  $\lambda$  and  $\tau$  are said to be relatively prime if, when

$$\lambda = x_{i_0}^{n_{i_0}} \dots x_{i_j}^{n_{i_j}} \text{ and } \tau = x_{k_0}^{m_{k_0}} \dots x_{k_r}^{m_{k_r}},$$
  
then  $\{x_{i_0}, \dots, x_{i_j}\} \cap \{x_{k_0}, \dots, x_{k_r}\} = \phi.$ 

**Theorem 4** [2, p.3]. Let  $S = F[x_0, ..., x_n]$  be a ring of polynomials in n + 1 indeterminates. Let  $\lambda, \tau, m_0, ..., m_r$  be monomials in F. If  $\lambda$  and  $\tau$  are relatively prime, then:

$$(\lambda \cdot \tau, m_0, \ldots, m_r) = (\lambda, m_0, \ldots, m_r) \cap (\tau, m_0, \ldots, m_r).$$

# **CHAPTER 2**

# **ON A PROBLEM OF B. RENSCHUCH**

Consider the polynomial ring  $S = F[x_0, x_1, x_2]$  where F is any field. Let  $m \ge 1$  be an integer.

We set

$$Q = (x_0^3, x_1^2, x_0 x_1)$$
  

$$A = (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2)$$
  

$$B = (x_0, x_1, x_2)$$
  

$$Q_m = (A, B^m)$$

Also we will define  $I_m := Q \cap Q_m$ .

This example was discussed by Dr. B. Renschuch of Germany [7, p.92]. It is an example stated in the classical paper of G. Hermann [4]. However we want to study the ideal  $I_m$  again, in order to prove the following theorem.

**Theorem 5.** Arithmetic-degree of  $I_m$  does not depend on the integer m for  $m \ge 6$ . More precisely, arith-deg  $(I_m) = 14$  for  $m \ge 6$ .

**Remark:** In a letter written to Professor W. Vogel on 12 July 1995, Dr. B. Renschuch said that because of a time constraint he was unable to show why the length multiplicity of  $Q_m$  does not depend on m if  $m \ge 6$ . The aim of this chapter is to give two proofs for Theorem 5. The following two theorems will provide the first proof. The second proof will solve the problem stated by Dr. Renschuch by proving that the length multiplicity of  $Q_m = 10$  for all  $m \ge 6$ .

**Theorem 6.**  $I_m = A$  if and only if  $m \ge 6$ .

# Proof.

(i) Suppose m = 1. Then  $B^1 = (x_0, x_1, x_2)$ . So  $Q_1 = (x_0, x_1, x_2) = B$ Clearly  $A \stackrel{\frown}{\neq} Q$ . Therefore  $A \stackrel{\frown}{\neq} Q \cap Q_1$ .

(ii) m = 2Then  $B^2 = (x_0^2, x_0 x_1, x_0 x_2, x_1^2, x_1 x_2, x_2^2)$ . So  $Q_2 = (x_0^2, x_0 x_1, x_1^2, x_0 x_2, x_1 x_2, x_2^2) = B^2$ .  $Q \cap Q_2 = Q$  since Q is contained in  $Q_2$ . Therefore  $A_{\neq}^{\subset}Q \cap Q_2$ .

(iii) 
$$m = 3$$

Then 
$$B^3 = (x_0^3, x_0^2 x_1, x_0^2 x_2, x_0 x_1^2, x_0 x_1 x_2, x_0 x_2^2, x_1^3, x_1^2 x_2, x_1 x_2^2, x_2^3).$$
  
So  $Q_3 = (x_0^3, x_0^2 x_1, x_0 x_1 x_2, x_1^3, x_0^2 x_2, x_0 x_1^2, x_0 x_2^2, x_1^2 x_2, x_1 x_2^2, x_2^3) = B^3.$   
 $A_{\neq}^{\subset} Q \cap Q_3$  i.e.  $Q \cap Q_3$  contains the element  $x_0 x_1^2$  which is not in  $A$ .

(iv) 
$$m = 4$$

Then 
$$B^4 = (x_0^4, x_0^3 x_1, x_0^3 x_2, x_0^2 x_1^2, x_0^2 x_1 x_2, x_0^2 x_2^2, x_0 x_1^3, x_0 x_1^2 x_2, x_0 x_1 x_2^2, x_0 x_2^3, x_1^4, x_1^3 x_2, x_1^2 x_2^2, x_1 x_2^3, x_2^4).$$
  
So  $Q_4 = (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2, x_0^2 x_2^2, x_0 x_1^3, x_0 x_1^2 x_2, x_0 x_2^3, x_1^3 x_2, x_1 x_2^3, x_2^4).$   
 $A_{\neq}^{\subset} Q \cap Q_4$  i.e.  $x_0 x_1^3$  is contained in  $Q \cap Q_4$  but is not contained in  $A$ .

(v) 
$$m = 5$$

Then 
$$B^5 = (x_0^5, x_0^4 x_1, x_0^4 x_2, x_0^3 x_1^2, x_0^3 x_1 x_2, x_0^3 x_2^2, x_0^2 x_1^3, x_0^2 x_1^2 x_2, x_0^2 x_1 x_2^2, x_0^2 x_1^3 x_2^2, x_0^2 x_1 x_2^2, x_0^2 x_1^3 x_2^2, x_0^2 x_1^3 x_2^2, x_1^2 x_2^3, x_1 x_2^4, x_2^5)$$
.  
So  $Q_5 = (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2, x_0^2 x_2^3, x_0 x_1^3 x_2, x_0 x_2^4, x_1^5, x_1 x_2^4, x_2^5)$ .  
i.e.  $x_0 x_1^3 x_2 \in Q \cap Q_5, x_0 x_1^3 x_2 \notin A$ .  
 $A_{\neq}^{\subset} Q \cap Q_5$ .

(vi) m = 6

Then  $B^6 = (x_0^6, x_0^5 x_1, x_0^5 x_2, x_0^4 x_1^2, x_0^4 x_1 x_2, x_0^4 x_2^2, x_0^3 x_1^3, x_0^3 x_1^2 x_2, x_0^3 x_1 x_2^2, x_0^3 x_2^3, x_0^2 x_1^4, x_0^4 x_1^2, x_0^3 x_1^2 x_2^2, x_0^3 x_1^3, x_0^2 x_2^2, x_0^3 x_1^3, x_0^3 x_1^2 x_2^2, x_0^3 x_2^3, x_0^2 x_2^3, x_0^2 x_1^4, x_0^3 x_1^2 x_2^2, x_0^3 x_1^3, x_0^3 x_1^2 x_2^2, x_0^3 x_2^3, x_0^2 x_2^3, x_0^2$ 

$$\begin{array}{l} x_{0}^{2}x_{1}^{3}x_{2}, x_{0}^{2}x_{1}^{2}x_{2}^{2}, x_{0}^{2}x_{1}^{2}x_{2}$$

Therefore  $Q \cap Q_6 \supseteq Q \cap Q_m$  for  $m \ge 6$ . Also from the definition of  $Q_m$ ,  $A \subset Q_m$  for all  $m \ge 1$  and certainly  $A \subset Q$  so  $Q \cap Q_m \supseteq A$  for  $m \ge 1$ . Since  $Q \cap Q_6 = A$  from (vi) we get  $A = Q \cap Q_6 \supseteq Q \cap Q_m \supseteq A$  for all  $m \ge 6$ . Hence  $Q \cap Q_m = A$  for  $m \ge 6$ .

**Theorem 7.** Arithmetic-degree of  $I_6 = 14$ .

**Proof:** From Theorem 6 we know that  $A = Q \cap Q_6$ . Propositions 1 and 2 of chapter 1 show that  $Q \cap Q_6$  is a primary decomposition of A. i.e. Q is  $(x_0x_1)$ -primary,  $Q_6$  is  $(x_0, x_1, x_2)$ -primary.

Thus arith-degree  $(A) = \operatorname{mult}_A(x_0, x_1) \cdot \operatorname{degree}(x_0, x_1) + \operatorname{mult}_A(x_0, x_1, x_2) \cdot \operatorname{degree}(x_0, x_1, x_2)$ . We have degree  $(x_0, x_1) = 1$  and degree  $(x_0, x_1, x_2) = 1$  by theorem 1. Thus we have arith-degree  $(A) = \operatorname{mult}_A(x_0, x_1) + \operatorname{mult}_A(x_0, x_1, x_2)$ . We apply the algorithm that was given in the first chapter to calculate  $\operatorname{mult}_A(x_0, x_1)$ .

A maximal strictly increasing chain from Q to  $(x_0, x_1)$  is  $(x_0^3, x_1^2, x_0 x_1) \stackrel{<}{\neq} (x_0^3, x_1) \stackrel{<}{\neq} (x_0^2, x_1) \stackrel{<}{\neq} (x_0, x_1)$ . (It is easy to see that this chain is maximal by applying theorem 3 chapter 1). Next we find J which in this case equals the whole ring  $S = F[x_0, x_1, x_2]$ .

Intersecting each ideal in the above chain with J will leave the chain unchanged, so from theorem 2 we have  $\text{mult}_A(x_0, x_1) = 4$ .

We now calculate  $\operatorname{mult}_A(x_0, x_1, x_2)$ .

A maximal strictly increasing chain from  $Q_6$  to  $P = (x_0, x_1, x_2)$  is:  $Q_6 = (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2, x_0^2 x_2^4, x_0 x_2^5, x_2^6, x_1 x_2^5)$   $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2, x_0^2 x_2^4, x_0 x_2^5, x_2^6, x_1 x_2^4)$   $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_0 x_1 x_2^2, x_1^4, x_1^2 x_2^2, x_0^2 x_2^4, x_0 x_2^5, x_2^6, x_1 x_2^3)$ 

Next we intersect each ideal in the chain with Q and eliminate duplicities.

We know from the proof of theorem 6 that we can express  $Q_6 \cap Q$  as  $(x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$ . If we apply theorem 4 to the ideals in  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap (x_0^3, x_1^2, x_0 x_1)$  we get  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap (x_0^3, x_1^2, x_0 x_1)$  $= (x_0^2, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap (x_0^3, x_1, x_2^4, x_0 x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$   $= (x_0^2, x_1, x_2^4, x_0 x_2^2) \cap (x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$   $= (x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1) = Q_6 \cap Q.$ 

So all the ideals in the chain from  $Q_6 \cap Q$  to  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap Q$ can be written as  $(x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$ .

The element  $x_0 x_1^3 x_2 \in (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2) \cap Q$  but is not contained in the ideal  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap Q$ .

The element  $x_0 x_1^2 x_3 \in (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^2 x_2) \cap Q$  but is not contained in the ideal  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2) \cap Q$ .

The element  $x_0 x_1 x_2 \in (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2) \cap Q$  but is not contained in  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^2 x_2) \cap Q$ .

The element  $x_0 x_1^3 \in (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \cap Q$  but is not contained in  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2) \cap Q$ .

The element  $x_0 x_1^2 \in (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^2) \cap Q$  but is not contained in  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \cap Q$ .

The element  $x_0 x_1 \in (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1) \cap Q$  but is not contained in  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^2) \cap Q$ .

The element  $x_1^3 x_2 \in (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^3 x_2) \cap Q$  but is not contained in  $(x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1) \cap Q$ .

The element  $x_1^2 x_2 \in (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^2 x_2) \cap Q$  but is not contained in  $(x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^3 x_2) \cap Q$ .

If we apply theorem 4 to the ideal  $(x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^2 x_2) \cap Q$ we get  $(x_0, x_1^4, x_1 x_2^2, x_2^4, x_1^2 x_2) \cap Q = (x_0, x_1^4, x_2^2, x_1^2 x_2) \cap Q = (x_0, x_1^4, x_2) \cap Q = (x_0, x_1^4, x_2^4, x_1 x_2) \cap Q$ .

Therefore all the ideals in the chain from  $(x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^2 x_2) \cap Q$ to  $(x_0, x_1^4, x_2^4, x_1 x_2) \cap Q$  are equal.

The element  $x_1^3 \in (x_0, x_1^3, x_2^4, x_1 x_2) \cap Q$  but is not contained in  $(x_0, x_1^4, x_2^4, x_1 x_2) \cap Q.$ 

Finally we have 
$$(x_0, x_1^2, x_2^4, x_1 x_2) \cap Q = Q$$
.  
Thus we are left with the chain  $Q_6 \cap Q$   
 $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2) \cap Q$   
 $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^2 x_2) \cap Q$   
 $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2) \cap Q$   
 $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2) \cap Q$   
 $\stackrel{\subseteq}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \cap Q$ 

$$\begin{array}{l} \overset{\mathsf{C}}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^2) \cap Q \\ \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1) \cap Q \\ \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^3 x_2) \cap Q \\ \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1, x_1^2 x_2) \cap Q \\ \overset{\mathsf{C}}{\neq} (x_0, x_1^3, x_2^4, x_1 x_2) \cap Q \\ \overset{\mathsf{C}}{\neq} Q. \end{array}$$

So from theorem 2 of chapter 1 we have  $\operatorname{mult}_A(x_0, x_1, x_2) = 10$ .

Now mult<sub>A</sub>  $(x_0, x_1)$  + mult<sub>A</sub>  $(x_0, x_1, x_2) = 4 + 10 = 14$ . So arith-deg (A) = 14.

Now  $Q_m$  is  $(x_0, x_1, x_2)$  – primary for any  $m \ge 6$  so  $Q \cap Q_m$  is a primary decomposition of A for  $m \ge 6$ . Since arith-deg (A) = 14 and length multiplicity of Q = 4 it follows that length multiplicity of  $Q_m = 10$  for any  $m \ge 6$ .

We will now describe a second proof which solves the problem stated at the beginning of this chapter. Our second proof needs some parts of the first proof. Also, in this second proof, we will assume that m is always  $\geq 6$ .

### Claim.

$$Q_m = (A, B^m) = (A, x_2^m, x_0^2 x_2^{m-2}, x_0 x_2^{m-1}, x_1 x_2^{m-1}).$$

Proof: We will use induction on m.

We know from the proof of theorem 6 that the claim is true for m = 6. Suppose that the claim is true for  $m \ge 6$ .

Then 
$$Q_{m+1} = (A, B^{m+1}) = (A, x_0 x_2^m, x_1 x_2^m, x_2^{m+1}, x_0^3 x_2^{m-2}, x_0^2 x_1 x_2^{m-2}, x_0^2 x_2^{m-1}, x_0 x_1 x_2^{m-1}, x_0 x_2^m, x_0 x_1 x_2^{m-1}, x_1^2 x_2^{m-1}, x_1 x_2^m)$$
  

$$= (A, x_2^{m+1}, x_0^2 x_2^{m-1}, x_0 x_2^m, x_1 x_2^m).$$
Therefore  $Q_m = (A, x_2^m, x_0^2 x_2^{m-2}, x_0 x_2^{m-1}, x_1 x_2^{m-1})$  for  $m \ge 6$ .

We now construct a strictly increasing maximal chain from  $Q_m$  to

$$\begin{split} P &= (x_0, x_1, x_2). \\ Q_m \stackrel{<}{\neq} (A, x_2^m, x_0^2 x_2^{m-2}, x_0 x_2^{m-1}, x_1 x_2^{m-2}) \stackrel{<}{\neq} \dots \stackrel{<}{\neq} \\ (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_0^2 x_2^{m-2}, x_0 x_2^{m-1}, x_1 x_2^2) \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1^2 x_2^m, x_0 x_2^{m-2}, x_0^2 x_2^{m-3}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-3}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-3}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-4}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-4}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-4}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-4}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^{m-4}) \\ \stackrel{<}{\neq} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^4, x_0^2 x_2^{m-5}) \\ \stackrel{<}{\note} \dots \\ \stackrel{<}{\note} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^4, x_0^2 x_2^2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_2^m, x_1 x_2^2, x_0 x_2^4, x_0^2 x_2^2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_0^2, x_2^2, x_2^{m-1}) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_0^2, x_2^2, x_0 x_2^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^2 x_2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{<}{ \leftarrow} (x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1 x_2, x_0 x_1^3) \\ \stackrel{>}{ \leftarrow} (x_0^3, x_0$$

$$\begin{split} & \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_1 \, x_2^2, x_2^4, x_0 \, x_2^2, x_0 \, x_1, x_1^2 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_2^4, x_0 \, x_2^2, x_0 \, x_1, x_1 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_2^4, x_0 \, x_2^2, x_0 \, x_1, x_1 \, x_2, x_0^2 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0^3, x_1^4, x_2^4, x_0 \, x_1, x_1 \, x_2, x_0 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0^2, x_1^4, x_2^4, x_0 \, x_1, x_1 \, x_2, x_0 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0, x_1^3, x_2^4, x_1 \, x_2) \\ & \overset{\mathsf{C}}{\neq} (x_0, x_1, x_2^4) \\ & \overset{\mathsf{C}}{\neq} (x_0, x_1, x_2^3) \\ & \overset{\mathsf{C}}{\neq} (x_0, x_1, x_2) \\ & \overset{\mathsf{C}}{\Rightarrow} (x_0, x_1, x_$$

The fact that this chain is maximal follows from Theorem 3 chapter 1, as the reader can readily verify. We now intersect each ideal in our above chain with  $J = (x_0^3, x_1^2, x_0 x_1) = Q$  to form a new chain from  $Q_m \cap Q$  to  $P \cap Q$ .

From (vi) and (vii) of the proof of Theorem 6 we know that  $Q_m \cap Q = (x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$ .

From the proof of Theorem 7 we have  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap Q = (x_0^2, x_1^4, x_2^2) \cap (x_0, x_1^2) \cap (x_0^3, x_1)$ . So all ideals between  $Q_m \cap Q$  and  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2) \cap Q$  are equal.

From  $(x_0^3, x_0^2 x_1, x_1^4, x_1 x_2^2, x_2^4, x_0 x_2^2, x_0 x_1^3 x_2)$  onwards, the ideals in our chain are the same as the ideals in the chain given in the proof of Theorem 7.

Therefore mult<sub>A</sub>  $(x_0, x_1, x_2) = 10$ . Also from Theorem 7, mult<sub>A</sub>  $(x_0, x_1) = 4$ , so arith-deg  $(I_6) = 14$ .

# **CHAPTER 3**

## **ON A PROBLEM OF STURMFELS, TRUNG AND VOGEL**

Let I be a monomial ideal in the polynomial ring  $S = F[x_0, ..., x_n]$  with minimal set of monomial generators  $(m_1, ..., m_s)$ .

Sturmfels, Trung and Vogel [9, Theorem 3.1] proved that

arith-deg 
$$(I) \ge \max \{ \text{degree}(\mathbf{m}_i) : i = 1, \dots, s \}$$
 (1)

It was an open problem in [9] to extend this result for ideals which are not monomial. T. Smith [8] has constructed examples showing that this problem is not true in general. However, the aim of this chapter is to describe families of non-monomial ideals for which (1) is true. (See our problem at the end of this chapter on page 36.)

#### Theorem 7.

Let n be any positive integer.

Let the ideal  $I_n$  be given by  $I_n = (x_0^n, x_1, x_2, x_3^2) \cap (x_0 x_3 - x_1 x_2)$  in the polynomial ring  $F[x_0, x_1, x_2, x_3]$ . (F is any field).

Then 
$$I_n = (x_0^n x_3 - x_0^{n-1} x_1 x_2, x_0 x_1 x_3 - x_1^2 x_2, x_0 x_2 x_3 - x_1 x_2^2, x_0 x_3^2 - x_1 x_2 x_3).$$

**Proof:** Any element in  $I_n$  can be written in the form  $w(x_0 x_3 - x_1 x_2)$  where w is an element of the ring  $F[x_0, x_1, x_2, x_3]$ .

(i) Suppose that w is a single term.

Then, since  $wx_0 x_3$ ,  $wx_1 x_2$  belong to  $(x_0^n, x_1, x_2, x_3^2)$ ,  $w(x_0 x_3 - x_1 x_2)$  must be generated by one of

$$x_0^n x_3 - x_0^{n-1} x_1 x_2, x_0 x_1 x_3 - x_1^2 x_2, x_0 x_2 x_3 - x_1 x_2^2, x_0 x_3^2 - x_1 x_2 x_3.$$

(ii) Suppose w contains t terms i.e. w = w<sub>1</sub> + w<sub>2</sub> + ... + w<sub>t</sub>.
Also we assume that there are no w<sub>k</sub> (1 ≤ k ≤ t) such that w<sub>k</sub> x<sub>0</sub> x<sub>3</sub>, w<sub>k</sub> x<sub>1</sub> x<sub>2</sub> both

belong to  $(x_0^n, x_1, x_2, x_3^2)$ . If  $w_k$  contains  $x_1$  or  $x_2$  or  $x_3$  then  $w_k (x_0 x_3 - x_1 x_2)$ would be generated by  $x_0 x_1 x_3 - x_1^2 x_2$  or  $x_0 x_2 x_3 - x_1 x_2^2$  or  $x_0 x_3^2 - x_1 x_2 x_3$ . If each  $w_k$  contains  $\alpha x_0^i$  (where  $\alpha \in F$ ) and if  $i \ge n - 1$ , then  $w_k$  is generated by  $x_0^n x_3 - x_0^{n-1} x_1 x_2$ .

If each  $w_k$  contains  $\alpha x_0^i$  (where  $\alpha \in F$ ) and if  $i \leq n-2$ , then  $w(x_0 x_3 - x_1 x_2) \notin (x_0^n, x_1, x_2, x_3^2)$  and thus  $w \notin I_n$ . Therefore the only generators of  $I_n$  are those given in Theorem 7.

Note: If n = 1 then  $I_n = (x_0 x_3 - x_1 x_2)$ .

**Theorem 8.** arith-deg  $(I_n) = n + 1$ .

**Proof:** 

arith-deg 
$$(I_n) = \text{mult}_{I_n} (x_0 x_3 - x_1 x_2) \cdot \text{deg} (x_0 x_3 - x_1 x_2) +$$
  
 $\text{mult}_{I_n} (x_0, x_1, x_2, x_3) \cdot \text{deg} (x_0, x_1, x_2, x_3)$ 

$$= \deg (x_0 x_3 - x_1 x_2) + \operatorname{mult}_{I_n} (x_0, x_1, x_2, x_3).$$

We know that deg  $(x_0 x_3 - x_1 x_2) = 2$  from Theorem 1 of chapter 1. Therefore we must show that mult<sub>In</sub>  $(x_0, x_1, x_2, x_3) = n - 1$ . A strictly increasing maximal chain from  $(x_0^n, x_1, x_2, x_3^2)$  to  $(x_0, x_1, x_2, x_3)$  is given by;  $(x_0^n, x_1, x_2, x_3^2) \stackrel{<}{\neq} (x_0^n, x_1, x_2 x_0^{n-1} x_3, x_3^2) \stackrel{<}{\neq} (x_0^n, x_1, x_2, x_0^{n-2} x_3, x_3^2)$  $\stackrel{<}{\leftarrow} (x_0^n, x_1, x_2, x_0^{n-3} x_3, x_3^2) \stackrel{<}{\neq} \dots \stackrel{<}{\neq}$  $(x_0^n, x_1, x_2, x_0 x_3, x_3^2) \stackrel{<}{\neq} (x_0^{n-1}, x_1, x_2, x_0 x_3, x_3^2) \stackrel{<}{\neq} (x_0^{n-2}, x_1, x_2, x_0 x_3, x_3^2)$  $\stackrel{<}{\leftarrow} (x_0^{n-3}, x_1, x_2, x_0 x_3, x_3^2) \stackrel{<}{\neq} \dots \stackrel{<}{\neq} (x_0^2, x_1, x_2, x_0 x_3, x_3^2) \stackrel{<}{\neq} (x_0, x_1, x_2, x_3^2)$ 

 $\stackrel{\mathsf{C}}{\neq} (x_0, x_1, x_2, x_3).$ 

For example, if we apply Theorem 3 of chapter 1 to the ideals  $(x_0^n, x_1, x_2, x_0x_3, x_3^2)$ ,  $(x_0^{n-1}, x_1, x_2, x_0x_3, x_3^2)$ , we have

(i) 
$$(x_0^{n-1}, x_1, x_2, x_0 x_3, x_3^2) = (x_0^n, x_1, x_2, x_0 x_3, x_3^2) + F[x_0, x_1, x_2, x_3] \cdot x_0^{n-1}.$$
  
(ii)  $(x_0, x_1, x_2, x_3) (x_0^{n-1}, x_1, x_2, x_0 x_3, x_3^2) \stackrel{\leftarrow}{=} (x_0^n, x_1, x_2, x_0 x_3, x_3^2).$ 

We also note that the length of this chain is 2n. i.e. from  $(x_0^n, x_1, x_2, x_3^2)$  to  $(x_0^n, x_1, x_2, x_0x_3, x_3^2)$  there are n ideals and from  $(x_0^{n-1}, x_1, x_2, x_0x_3, x_3^2)$  to  $(x_0, x_1, x_2, x_3)$  there are n ideals.

Next we calculate J which is the ideal  $(x_0 x_3 - x_1 x_2)$ . Now we intersect each ideal in our chain with J.

The ideal  $(x_0^n, x_1, x_2, x_0 x_3, x_3^2) \cap (x_0 x_3 - x_1 x_2) = (x_0 x_3 - x_1 x_2)$  so we can confine our attention to the first n-1 ideals in our chain.

The element  $x_0^{n-1}x_3 - x_0^{n-2}x_1x_2$  is contained in  $(x_0^n, x_1, x_2, x_0^{n-1}x_3, x_3^2) \cap J$  but is not in  $(x_0^n, x_1, x_2, x_3^2) \cap J$ .

If we choose any two ideals  $(x_0^n, x_1, x_2, x_0^{n-i}x_3, x_3^2) \cap J$ ,  $J, (x_0^n, x_1, x_2, x_0^{n-(i+1)}x_3, x_3^2) \cap J$ ,  $(1 \le i \le n-3)$  then  $x_0^{n-(i+1)}x_3 - x_0^{n-(i+2)}x_1x_2$ belongs to  $(x_0^n, x_1, x_2, x_0^{n-(i+1)}x_3, x_3^2) \cap J$  and is not in  $(x_0^n, x_1, x_2, x_0^{n-i}x_3, x_3^2) \cap J$ .

Therefore no two of these ideals are equal, so from Theorem 2 of chapter 1 [5, p.2] we have  $\operatorname{mult}_{I_n}(x_0, x_1, x_2, x_3) = n - 1$ . Therefore arith-deg  $(I_n) = n + 1$ . This completes the proof of Theorem 8.

**Remark:** The maximum  $m(I_n)$  of degrees of the polynomials generating  $I_n$  is given by

$$m(I_n) = \begin{cases} 2 & : n = 1\\ n+1 & : n \ge 2 \end{cases}.$$

Therefore arith-deg  $(I_n) = m(I_n)$  for  $n \ge 1$ .

For the remainder of this chapter we will consider a similar but more complicated example.

#### **Theorem 9**

Let S be the polynomial ring  $F[x_0, x_1, x_2, x_3]$  over the field F and let n and r be any positive integers. Let the following ideal  $I_{nr}$  of S be given by

$$I_{nr} = (x_0 x_3 - x_1 x_2) \cap (x_0^n, x_1^r, x_2^2, x_3^2).$$
(2)

- (i) arith-deg  $(I_{nr}) = 2rn$
- (ii) the maximum  $m(I_{nr})$  of degrees of the polynomials generating I is given by

$$m(I_{nr}) = \begin{cases} 2 & : n = r = 1\\ 4 & : \text{ if } n = 1, r = 2 \text{ or } r = 1, n = 2\\ r+1 & : n = 1, r > 2\\ n+1 & : r = 1, n > 2\\ n+r & : n, r \ge 2 \end{cases}$$

**Remark:** arith-deg of  $I_{nr} \stackrel{>}{=} m(I_{nr})$  for all positive integers n and r.

#### **Proof:**

First we prove (i)

Let  $Q_{nr} = (x_0^n, x_1^r, x_2^2, x_3^2)$ . Then arith-deg  $(I_{nr}) = \text{deg}(J) + \text{mult}_{I_{nr}}(P)$ (where P is the prime ideal of  $Q_{nr}$  i.e.  $P = (x_0, x_1, x_2, x_3)$ , and where  $J = (x_0x_3 - x_1x_2)$ ).

Since  $\deg J = 2$  we need to prove the following key lemma.

#### Lemma 1.

$$\operatorname{mult}_{Inr}\left(P\right) = 2rn - 2\tag{3}$$

Before we prove (3) we will give a maximal strictly increasing chain of primary ideals from  $Q_{nr}$  to P.

$$\begin{aligned} Q_{nr} \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1} x_{2} x_{3}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1} x_{2}) \\ \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1} x_{2}, x_{o}^{n-1} x_{1}^{r-1} x_{3}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1}) \\ \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1}, x_{0}^{n-1} x_{1}^{r-2} x_{2} x_{3}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1}, x_{0}^{n-1} x_{1}^{r-2} x_{2}) \\ \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-1}, x_{0}^{n-1} x_{1}^{r-2} x_{2}, x_{0}^{n-1} x_{1}^{r-2} x_{3}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}^{r-2}) \stackrel{\mathsf{C}}{\neq} \dots \\ \dots \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}, x_{0}^{n-2} x_{1}^{r-1} x_{2} x_{3}) \\ \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}, x_{0}^{n-2} x_{1}^{r-1} x_{2}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}, x_{0}^{n-2} x_{1}^{r-1} x_{2}, x_{0}^{n-2} x_{1}^{r-1} x_{3}) \\ \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}, x_{0}^{n-2} x_{1}^{r-1}) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_{0}^{n-1} x_{1}, x_{0}^{n-2} x_{1}^{r-1} x_{2} x_{3}) \end{aligned}$$

$$\begin{split} & \left[ \left\{ \left( Q_{nr}, u_{0}^{n-1}x_{1}, u_{0}^{n-2}x_{1}^{r-1}, x_{0}^{n-2}x_{1}^{r-2}x_{2} \right) \left[ \left( Q_{nr}, u_{0}^{n-1}x_{1}, u_{0}^{n-2}x_{1}^{r-1}, u_{0}^{n-2}x_{1}^{r-2}x_{2}, u_{0}^{n-2}x_{1}^{r-2}x_{3} \right) \right] \\ & \left[ \left( Q_{nr}, u_{0}^{n-1}x_{1}, u_{0}^{n-2}x_{1}^{r-2} \right) \left[ \left( Q_{nr}, u_{0}^{n-1}x_{1}, u_{0}^{n-2}x_{1}^{2} \right) \left[ \left( Q_{nr}, u_{0}^{n-1}x_{1} \right) \left[ \left( Q_{nr}\right) \left[ \left( u_{n}^{n-1}x_{1}^{n}x_{2} \right) \left[ \left( u_{n}^{n-1}x_{1$$

For example, if we apply Theorem 3 from chapter 1 to the ideals  $(Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2), (Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2, x_0^{n-2} x_1^{r-2} x_3)$  we have

(i) 
$$(Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2, x_0^{n-2} x_1^{r-2} x_3) = (Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2) + F[x_0, x_1, x_2, x_3] \cdot x_0^{n-2} x_1^{r-2} x_3.$$

(ii)  $(x_0, x_1, x_2, x_3) (Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2, x_0^{n-2} x_1^{r-2} x_3) \stackrel{\mathsf{C}}{=} (Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^{r-1}, x_0^{n-2} x_1^{r-2} x_2).$ 

Hence we cannot extend our chain between these two ideals.

Another example to consider is the ideals  $(Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3),$   $(x_0^{n-1}, x_1^r, x_2^2, x_3^2, x_0 x_1).$ (i)  $(x_0^{n-1}, x_1^r, x_2^2, x_3^2, x_0 x_1) = (Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3) + F[x_0, x_1, x_2, x_3] \cdot x_0^{n-1}.$ 

(ii) 
$$(x_0, x_1, x_2, x_3) (x_0^{n-1}, x_1^r, x_2^2, x_3^2, x_0 x_1) \stackrel{\mathsf{C}}{=} (Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3).$$

Other examples can be checked by the same method. Hence it can be shown that this chain is maximal.

Claim A: The above chain is of length 4rn.

#### **Proof:**

From  $(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2 x_3)$  to  $(Q_{nr}, x_0^{n-1} x_1)$  we have 4(r-1) ideals. So from  $Q_{nr}$  to  $(Q_{nr}, x_0^{n-1} x_1^2, x_0^{n-1} x_1 x_2, x_0^{n-1} x_1 x_3)$  we have 4(r-1) ideals.

From  $(Q_{nr}, x_0^{n-1} x_1)$  to  $(Q_{nr}, x_0^{n-1} x_1, x_0^{n-2} x_1^2, x_0^{n-2} x_1 x_2, x_0^{n-2} x_1 x_3)$  we have 4(r-1) ideals.

From  $(Q_{nr}, x_0^{n-2} x_1)$  to  $(Q_{nr}, x_0^{n-2} x_1, x_0^{n-3} x_1^2, x_0^{n-3} x_1 x_2, x_0^{n-3} x_1 x_3)$  we have 4(r-1) ideals ..... etc.

From  $(Q_{nr}, x_0^2 x_1)$  to  $(Q_{nr}, x_0^2 x_1, x_0 x_1^2, x_0 x_1 x_2, x_0 x_1 x_3)$  we have 4(r-1) ideals.

So from  $(Q_{nr}, x_0^{n-1}x_1)$  to  $(Q_{nr}, x_0^2x_1, x_0x_1^2, x_0x_1x_2, x_0x_1x_3)$  we have (n - 2) [4(r - 1)] ideals.

From  $(Q_{nr}, x_0 x_1)$  to  $(Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3)$  we have 4 ideals. From  $x_0^{n-1}, x_1^r, x_2^2, x_3^2, x_0 x_1)$  to  $(x_0^2, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0 x_2, x_0 x_3)$  we have 4(n-2) ideals. From  $(x_0, x_1^r, x_2^2, x_3^2)$  to  $(x_0, x_1^2, x_2^2, x_3^2, x_1 x_2, x_1 x_3)$  we have 4(r-1) ideals. From  $(x_0, x_1, x_2^2, x_3^2)$  to  $(x_0, x_1, x_2, x_3)$  we have 4 ideals.

Adding these terms we get

$$4(r-1) + (n-2)(4(r-1)) + 4 + 4(n-2) + 4(r-1) + 4 = 4rn$$
$$4r - 4 + 4rn - 4n - 8r + 8 + 4 + 4n - 8 + 4r - 4 + 4 = 4rn$$

Alternative proof of claim A.

**Claim** B:  $Q_{nr}$  is a complete intersection.

#### **Proof:**

We must first show the following to be true.

(i) (0) : 
$$(x_0^n) = (0)$$
.  
(ii)  $(x_0^n)$  :  $(x_1^r) = (x_0^n)$ .  
(iii)  $(x_0^n, x_1^r)$  :  $(x_2^2) = (x_0^n, x_1^r)$ .  
(iv)  $(x_0^n, x_1^r, x_2^2)$  :  $(x_3^2) = (x_0^n, x_1^r, x_2^2)$ .

- (i) Let y be any element in S. Then  $yx_0^n \in (0) \Leftrightarrow y = 0$ .
- (ii)  $yx_1^r \in (x_0^n) \Leftrightarrow y \in (x_0^n)$ .
- (iii)  $yx_2^2 \in (x_0^n, x_1^r) \Leftrightarrow y \in (x_0^n, x_1^r).$
- $(\mathbf{iv}) y x_3^2 \in (x_0^n, x_1^r, x_2^2) \Leftrightarrow y \in (x_0^n, x_1^r, x_2^2).$

We can now prove length  $Q_{nr} = 4rn$ .

#### **Proof:**

degree  $Q_{nr} = 4rn$  (from above claim and from Theorem 3)

= length  $Q_{nr} \cdot \text{degree } P$  (If  $Q_{nr}$  is *P*-primary then degree  $Q_{nr} = \text{length } Q_{nr} \cdot \text{degree } P$ ) = length  $Q_{nr}$  **Remark:** If  $Q_{nr}$  is a monomial *P*-primary ideal of *F*, then degree  $Q_{nr}$  = length  $Q_{nr}$ . We intersect each ideal in the claim given on pages 20 – 21. We can now prove Lemma 1 by applying the following 32 claims.

#### Claim 1.

 $x_0^{n-1} x_1^{r-1} x_2 x_3 - x_0^{n-2} x_1^r x_2^2 \in (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2 x_3) \cap J \text{ but is not contained in}$ the ideal  $Q_{nr} \cap J$ . Therefore  $Q_{nr} \cap (x_0 x_3 - x_1 x_2) \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2 x_3) \cap J$ .

#### Claim 2.

 $(Q_{nr}, x_0^{n-1} x_1^{r-j}) \cap J_{\neq}^{\subset} (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2 x_3) \cap J$  for  $1 \le j \le r-2$ .

# **Proof:**

The element  $x_0^{n-1}x_1^{r-(j+1)}x_2x_3-x_0^{n-2}x_1^{r-j}x_2^2 \in (Q_{nr}, x_0^{n-1}x_1^{r-j}, x_0^{n-1}x_1^{r-(j+1)}x_2x_3) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-1}x_1^{r-j}) \cap J$ .

#### Claim 3.

 $(Q_{nr}, x_0^{n-i}x_1) \cap J_{\neq}^{\subset} (Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^{r-1}x_2x_3) \cap J \text{ for } 1 \le i \le n-2$ 

#### **Proof:**

The element  $x_0^{n-(i+1)} x_1^{r-1} x_2 x_3 - x_0^{n-(i+2)} x_1 x_2^2$  $\in (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2 x_3) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-i} x_1) \cap J$ .

# Claim 4.

$$(Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^{r-j}) \cap J \stackrel{\subset}{\neq} (Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^{r-j}, x_0^{n-(i+1)}x_1^{r-(j+1)}x_2x_3) \cap J \quad \text{for} \quad 1 \le i \le n-2, \ 1 \le j \le r-2.$$

**Proof:** 

The element  $x_0^{n-(i+1)} x_1^{r-(j+1)} x_2 x_3 - x_0^{n-(i+2)} x_1^{r-j} x_2^2$  $\in (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2 x_3) \cap J$  but is not contained in

$$(Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^{r-j}) \cap J.$$

## Claim 5.

 $(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2 x_3) \cap J \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2) \cap J.$ 

#### **Proof:**

The element  $x_0^n x_1^{r-2} x_3 - x_0^{n-1} x_1^{r-1} x_2 \in (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2 x_3) \cap J$ .

#### Claim 6.

 $(Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2 x_3) \cap J \stackrel{\subset}{\neq} (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2)$  $\cap J \quad \text{for} \quad 1 \le j \le r-2.$ 

#### **Proof:**

The element  $x_0^n x_1^{r-(j+2)} x_3 - x_0^{n-1} x_1^{r-(j+1)} x_2 \in (Q_{nr}, x_0^{n-1} x_1^{r-j} x_0^{n-1} x_1^{r-(j+1)} x_2) \cap J$ *J* but is not contained in  $(Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2 x_3) \cap J$ .

#### Claim 7.

 $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2 x_3) \cap J \stackrel{\subset}{\neq} (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2)$  $\cap J \text{ for } 1 \leq i \leq n-2.$ 

### **Proof:**

The element  $x_0^{n-i} x_1^{r-2} x_3 - x_0^{n-(i+1)} x_1^{r-1} x_2 \in (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2) \cap J$ *J* but is not contained in  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2 x_3) \cap J$ .

#### Claim 8.

 $\begin{aligned} & (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2 x_3) \cap J \\ & \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2) \cap J \\ & \text{for } 1 \leq i \leq n-2, \ 1 \leq j \leq r-3. \end{aligned}$ 

### **Proof:**

The element  $x_0^{n-i} x_1^{r-(j+2)} x_3 - x_0^{n-(i+1)} x_1^{r-(j+1)} x_2 \in$  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2 x_3) \cap J.$ 

#### Claim 9.

$$(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2 x_3) \cap J$$
  
=  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2) \cap J$  for  $1 \le i \le n-2$   
**Proof:**

Let 
$$A = (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2 x_3) \cap J$$
  
 $B = (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2) \cap J.$ 

Suppose that  $A_{\neq}^{\subset}B$ .

Then there is an element  $b \in B$  such that  $b \notin A$ . Since  $b \in B$ , b must  $\in (Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^2, x_0^{n-(i+1)}x_1x_2)$ . So b can be written in the form

$$v_1 x_0^n + v_2 x_1^r + v_3 x_2^2 + v_4 x_3^2 + v_5 x_0^{n-i} x_1 + v_6 x_0^{n-(i+1)} x_1^2 + v_7 x_0^{n-(i+1)} x_1 x_2$$

where  $v_1, v_2, v_3, v_4, v_5, v_6, v_7$  are arbitrary but fixed elements of  $F[x_0, x_1, x_2, x_3]$ . Let  $C := (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2 x_3)$ 

The terms  $v_1 x_0^n, v_2 x_1^r, v_3 x_2^2, v_4 x_3^2, v_5 x_0^{n-i} x_1, v_6 x_0^{n-(i+1)} x_1^2$  of *b* are all elements of *C*. If  $v_7 x_0^{n-(i+1)} x_1 x_2 \in C$ , then the element  $b \in C$  by definition.

Then we have  $b \in J$  (since  $b \in (Q_{nr}, x_0^{n-i}x_1, x_0^{n-(i+1)}x_1^2, x_0^{n-(i+1)}x_1x_2)$  $\cap J = B$ ).

So  $b \in C \cap J = A$  which would be a contradiction. If  $v_7 = 0$ ,  $v_7 x_0^{n-(i+1)} x_1 x_2 \in C$ .

If 
$$v_7 = x_0(w_0)$$
  $(w_0 \in F[x_0, x_1, x_2, x_3])$  then  $v_7 x_0^{n-(i+1)} x_1 x_2 = w_0 x_0^{n-i} x_1 x_2$   
which is generated by  $x_0^{n-i} x_1$ , a generator of C.

If  $v_7 = x_1(w_1)$  then  $v_7 x_0^{n-(i+1)} x_1 x_2 = w_1 x_0^{n-(i+1)} x_1^2 x_2$  which is generated by  $x_0^{n-(i+1)} x_1^2$ , a generator of C.

If  $v_7 = x_2(w_2)$  then  $v_7 x_0^{n-(i+1)} x_1 x_2$  is generated by  $x_2^2$  and if  $v_7 = x_3(w_3)$ then  $v_7 x_0^{n-(i+1)} x_1 x_2$  is generated by  $x_0^{n-(i+1)} x_1 x_2 x_3$  and both  $x_2^2, x_0^{n-(i+1)} x_1 x_2 x_3$ are generators of C.

Therefore  $v_7 \in F \setminus \{0\}$ . Since  $b \in J$ , b can also be written as  $q (x_0 x_3 - x_1 x_2)$ . One of the terms of q must be  $x_0^{n-(i+1)} v_7$  i.e.  $q = \ldots + \ldots + \ldots x_0^{n-(i+1)} v_7$ .

But this means that  $x_0^{n-(i+1)} v_7(x_0 x_3)$  is a term of  $b x_0^{n-(i+1)} v_7 x_0 x_3 = v_7 x_0^{n-i} x_3$ .

 $v_7 x_0^{n-i} x_3$  is not an element of  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^2, x_0^{n-(i+1)} x_1 x_2)$ . Thus it is impossible to construct an element  $b \in B$  such that  $b \notin A$ .

#### Claim 10.

$$(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2) \cap J \stackrel{\mathsf{C}}{\neq} (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2, x_0^{n-1} x_1^{r-1} x_3) \cap J.$$

**Proof:** 

The element  $x_0^{n-1} x_1^{r-1} x_3 - x_0^{n-2} x_1^r x_2 \in$ 

 $(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2, x_0^{n-1} x_1^{r-1} x_3) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2) \cap J$ .

# Claim 11.

$$(Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2) \cap J = (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3) \cap J \quad \text{for} \quad 1 \le j \le r-2.$$

**Proof:** 

Let 
$$A = (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2) \cap J$$
$$B = (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3) \cap J$$

Suppose  $A_{\neq}^{\subset}B$ .

Then there is an element  $b \in B$  such that  $b \notin A$ . Since  $b \in B$ , b must  $\in (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3).$ 

So b can be written in the form

$$v_1 x_0^n + v_2 x_1^r + v_3 x_2^2 + v_4 x_3^2 + v_5 x_0^{n-1} x_1^{r-j} + v_6 x_0^{n-1} x_1^{r-(j+1)} x_2 + v_7 x_0^{n-1} x_1^{r-(j+1)} x_3$$

where  $v_1$  to  $v_7$  are arbitrary but fixed elements of  $F[x_0, x_1, x_2, x_3]$ .

Let 
$$i = (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2).$$

The terms  $v_1 x_0^n$ ,  $v_2 x_1^r$ ,  $v_3 x_2^2$ ,  $v_4 x_3^2$ ,  $v_5 x_0^{n-1} x_1^{r-j}$ ,  $v_6 x_0^{n-1} x_1^{r-(j+1)} x_2$  of b are all elements of C.

If  $v_7 x_0^{n-1} x_1^{r-(j+1)} x_3 \in C$ , then the element  $b \in C$  by definition.

Then we have  $b \in J$  (since  $b \in B$ ). So  $b \in C \cap J = A$  which would be a contradiction.

If 
$$v_7 = 0$$
,  $v_7 x_0^{n-1} x_1^{r-(j+1)} x_3 \in C$ .

If every term of  $v_7$  contains an  $x_k$  (k = 0, ..., 3) then  $v_7 x_0^{n-1} x_1^{r-(j+1)} x_3$  is an element of C. Thus  $v_7$  must have a term  $\alpha$  such that  $\alpha \in F \setminus \{0\}$ . Since  $b \in J$ , b can also be written as  $q (x_0 x_3 - x_1 x_2)$ . One of the terms of q must be  $\alpha x_0^{n-2} x_1^{r-(j+1)}$  i.e.  $q = ... + ... + \alpha x_0^{n-2} x_1^{r-(j+1)}$ .

But this means that  $\alpha x_0^{n-2} x_1^{r-(j+1)} (-x, x_2)$  is a term of b.  $-\alpha x_0^{n-2} x_1^{r-(j+1)} (x_1 x_2) = -\alpha x_0^{n-2} x_1^{r-j} x_2.$   $-\alpha x_0^{n-2} x_1^{r-j} x_2$  is not an element of  $(Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3).$ Thus  $b \notin (Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3).$ 

 $\Rightarrow b \notin B$  which is a contradiction.

#### Claim 12.

 $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2) \cap J_{\neq}^{\subset} (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2, x_0^{n-(i+1)} x_1^{r-1} x_3) \cap J$ for  $1 \le i \le n-2.$ 

**Proof:** 

The element  $x_0^{n-(i+1)} x_1^{r-1} x_3 - x_0^{n-(i+2)} x_1^r x_2$  $\in (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2, x_0^{n-(i+1)} x_1^{r-1} x_3) \cap J$  but is not contained in  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2) \cap J.$ 

#### Claim 13.

$$(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2) \cap J =$$

$$(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2, x_0^{n-(i+1)} x_1^{r-(j+1)} x_3)$$

$$\cap J \qquad 1 \le i \le n-2, \ 1 \le j \le r-2.$$

Proof: Almost identical to proof of Claim 11.

Claim 14.

$$(Q_{nr}, x_0^{n-1} x_1^{r-1} x_2, x_0^{n-1} x_1^{r-1} x_3) \cap J = (Q_{nr}, x_0^{n-1} x_1^{r-1}) \cap J.$$

**Proof:** 

Let 
$$A = (Q_{nr}, x_0^{n-1} x_1^{r-1} x_2, x_0^{n-1} x_1^{r-1} x_3) \cap J.$$
  
 $B = (Q_{nr}, x_0^{n-1} x_1^{r-1}) \cap J.$ 

Suppose  $A \notin B$ . Then we have an element  $b \in B$  such that  $b \notin A$ . Since  $b \in B$ ,  $b \in (Q_{nr}, x_0^{n-1} x_1^{r-1})$ . So b can be written in the form

$$v_1 x_0^n + v_2 x_1^r + v_3 x_2^2 + v_4 x_3^2 + v_5 x_0^{n-1} x_1^{r-1}$$
 where  $v_1, \dots, v_5$ 

are arbitrary but fixed elements of  $F[x_0, x_1, x_2, x_3]$ .

In a similar manner to the proof of Claim 11, one of the terms of  $v_5$  belongs to  $F \setminus \{0\}$ . Call this element  $\alpha$ .

But this means that b cannot be written in the form  $q(x_0 x_3 - x_1 x_2)$ ,  $q \in F[x_0, x_1, x_2, x_3]$  (as  $\alpha x_0^{n-1} x_1^{r-1}$  does not cancel with other terms of b nor does it contain  $x_0 x_3$  or  $x_1 x_2$ .) So  $b \notin J$  which is a contradiction.

Claim 15.

$$(Q_{nr}, x_0^{n-1} x_1^{r-j}, x_0^{n-1} x_1^{r-(j+1)} x_2, x_0^{n-1} x_1^{r-(j+1)} x_3) \cap J$$
  
=  $(Q_{nr}, x_0^{n-1} x_1^{r-(j+1)}) \cap J$   $1 \le j \le r-2.$ 

# Claim 16.

$$(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1} x_2, x_0^{n-(i+1)} x_1^{r-1} x_3) \cap J$$
  
=  $(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-1}) \cap J$   $1 \le i \le n-2.$ 

# Claim 17.

$$(Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-j}, x_0^{n-(i+1)} x_1^{r-(j+1)} x_2, x_0^{n-(i+1)} x_1^{r-(j+1)} x_3)$$
  

$$\cap J = (Q_{nr}, x_0^{n-i} x_1, x_0^{n-(i+1)} x_1^{r-(j+1)}) \cap J \quad 1 \le i \le n-2, 1 \le j \le r-2$$

The proofs of Claims 15, 16 and 17 are almost identical to the proof of Claim

Claim 18.

14.

$$(Q_{nr}, x_0 x_1) \cap J_{\neq}^{\subset} (Q_{nr}, x_0 x_1, x_0^{n-1} x_2 x_3) \cap J.$$

**Proof:** 

$$x_0^{n-1}x_2x_3 - x_0^{n-2}x_1x_2^2 \in (Q_{nr}, x_0x_1, x_0^{n-1}x_2x_3) \cap J$$

but is not contained in  $(Q_{nr}, x_0 x_1) \cap J$ .

Claim 19.

$$(Q_{nr}, x_0 x_1, x_0^{n-1} x_2 x_3) \cap J = (Q_{nr}, x_0 x_1, x_0^{n-1} x_2) \cap J$$

Proof: Very similar to proof of Claim 14.

Claim 20.

$$(Q_{nr}, x_0 x_1, x_0^{n-1} x_2) \cap J_{\neq}^{\subset} (Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3) \cap J$$
 if  $n \ge 3$ 

**Proof:** If  $n \ge 3$  then  $x_0^{n-1}x_3 - x_0^{n-2}x_1x_2 \in (Q_{nr}, x_0x_1, x_0^{n-1}x_2, x_0^{n-1}x_3) \cap J$ but is not contained in  $(Q_{nr}, x_0x_1, x_0^{n-1}x_2) \cap J$ .

## Claim 21.

$$(Q_{nr}, x_0 x_1, x_0^{n-1} x_2, x_0^{n-1} x_3) \cap J = (x_0^{n-1}, x_1^r, x_2^2, x_3^2, x_0 x_1) \cap J.$$

Proof: Very similar to proof of Claim 14.

#### Claim 22.

 $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1) \cap J_{\neq}^{\subset} (x_0^{n-i}, x_1^r, x_2^2, x_3^2 x_0 x_1, x_0^{n-(i+1)} x_2 x_3)$  $\cap J \text{ for } 1 \leq i \leq n-2.$ 

**Proof:** The element  $x_0^{n-(i+1)}x_2x_3 - x_0^{n-(i+2)}x_1x_2^2 \in$  $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0x_1, x_0^{n-(i+1)}x_2x_3) \cap J$  but is not contained in  $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0x_1) \cap J.$ 

# Claim 23.

 $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0^{n-(i+1)} x_2 x_3) \cap J =$  $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0^{n-(i+1)} x_2) \cap J \text{ for } i \le i \le n-2.$ 

Proof: Very similar to proof of Claim 14.

## Claim 24.

 $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0^{n-(i+1)} x_2) \cap J_{\neq}^{\mathbb{C}}$  $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0^{n-(i+1)} x_2, x_0^{n-(i+1)} x_3) \cap J$ for  $1 \leq i \leq n-3$ .

#### **Proof:**

$$x_0^{n-(i+1)}x_3 - x_0^{n-(i+2)}x_1x_2 \in (x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0x_1, x_0^{n-(i+1)}x_2, x_0^{n-(i+1)}x_3)$$
  
 $\cap J$  but is not contained in  $(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0x_1, x_0^{n-(i+1)}x_2) \cap J.$ 

## Claim 25.

$$(x_0^2, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0 x_2) \cap J = (x_0^2, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0 x_2, x_0 x_3) \cap J.$$

Proof: Very similar to proof of Claim 11.

Claim 26.

$$(x_0^{n-i}, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0^{n-(i+1)} x_2, x_0^{n-(i+1)} x_3) \cap J$$
  
=  $(x_0^{n-(i+1)}, x_1^r, x_2^2, x_3^2, x_0 x_1) \cap J$  for  $1 \le i \le n-3$ .

Proof: Very similar to proof of Claim 14.

Claim 27.

$$(x_0^2, x_1^r, x_2^2, x_3^2, x_0 x_1, x_0 x_2, x_0 x_3) \cap J = (x_0, x_1^r, x_2^2, x_3^2) \cap J.$$

Proof: Very similar to proof of Claim 14.

# Claim 28.

 $(x_0, x_1^{r-j}, x_2^2, x_3^2) \cap J_{\neq}^{\subset} (x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)}x_2x_3) \cap J$ for  $0 \le j \le r-2$ .

**Proof:** The element  $x_0 x_1^{r-(j+2)} x_3^2 - x_1^{r-(j+1)} x_2 x_3 \in$  $(x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)} x_2 x_3) \cap J$  but is not contained in  $(x_0, x_1^{r-j}, x_2^2, x_3^2) \cap J$ .

Claim 29.

$$(x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)} x_2 x_3) \cap J_{\neq}^{\subset} (x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)} x_2)$$
  
  $\cap J \text{ for } 0 \leq j \leq r-3.$ 

**Proof:** 

$$x_0 x_1^{r-(j+2)} x_3 - x_1^{r-(j+1)} x_2 \in (x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)} x_2)$$
  
 $\cap J$  but is not contained in  $(x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)} x_2 x_3) \cap J.$ 

0

#### Claim 30.

$$(x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)}x_2) \cap J = (x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)}x_2, x_1^{r-(j+1)}x_3) \cap J \text{ for } 0 \le j \le r-3.$$

Proof: Similar to proof of Claim 14.

Claim 31.

$$(x_0, x_1^{r-j}, x_2^2, x_3^2, x_1^{r-(j+1)}x_2, x_1^{r-(j+1)}x_3) \cap J =$$
$$(x_0, x_1^{r-(j+1)}, x_2^2, x_3^2) \cap J \text{ for } 0 \le j \le r-3.$$

Proof: Similar to proof of Claim 14.

#### Claim 32.

 $(x_0, x_1^2, x_2^2, x_3^2, x_1x_2x_3) \cap J_{\neq}^{\subset} (x_0, x_1^2, x_2^2, x_3^2, x_1x_2) \cap J.$ 

**Proof:**  $x_0 x_3 - x_1 x_2 \in (x_0, x_1^2, x_2^2, x_3^2, x_1 x_2) \cap J$  but is not contained in  $(x_0, x_1^2, x_3^2, x_1 x_2 x_3) \cap J.$ 

Also, as  $x_0 x_3 - x_1 x_2 \in (x_0, x_1^2, x_2^2, x_3^2, x_1 x_2)$ ,  $(x_0, x_1^2, x_2^2, x_3^2, x_1 x_2) \cap J = J$ . So all ideals after  $(x_0, x_1^2, x_2^2, x_3^2, x_1 x_2) \cap J$  in our chain equal J.

We are now in a position to prove lemma 1. To find  $\operatorname{mult}_{I_{nr}}(x_0, x_1, x_2, x_3)$  we calculate the number of duplicate ideals in our chain, denote this number by e say, and then 4rn - e will be  $\operatorname{mult}_{I_{nr}}(x_0, x_1, x_2, x_3)$  [5, p.2].

So the claims we will use are claims 9, 11, 13, 14, 15, 16, 17, 19, 21, 23, 25, 26, 27, 30, 31. (Since the other claims only relate to ideals that are unequal). (Note Claim 21 and Claim 27 are the same for n = 2).

Claim 9 gives n - 2 ideals that are equal. Claim 11 gives r - 2 ideals that are equal. Claim 13 gives (n - 2) (r - 2) ideals that are equal. Claim 14 gives a pair of ideals that are equal.

Claim 15 gives r - 2 ideals that are equal.

Claim 16 gives n-2 ideals that are equal.

Claim 17 gives (n-2)(r-2) ideals that are equal.

Claim 19 gives a pair of ideals that are equal.

Claim 21 gives a pair of ideals that are equal.

Claim 23 gives n - 2 ideals that are equal.

Claim 25 gives a pair of ideals that are equal.

Claim 26 gives n - 3 ideals that are equal.

Claim 27 gives a pair of ideals that are equal.

Claim 30 gives r - 2 ideals that are equal.

Claim 31 gives r - 2 ideals that are equal.

Also since the last 6 ideals in our chain equal J we have another 6 ideals which are equal. So length-multiplicity  $(x_0^n, x_1^r, x_2^2, x_3^2)$  is

$$4rn - [3(n-2) + 4(r-2) + 2(r-2)(n-2) + 5 + (n-3) + 6]$$
  
$$\Rightarrow 4rn - (2rn+2) = 2rn - 2.$$

Hence we have proved lemma 1 and thus (i) of theorem 9. We will now prove theorem 9 (ii). We must first find the generators of  $I_{nr}$ .

Claim C.

$$I_{nr} = (x_0^{n+1}x_3 - x_0^n x_1 x_2, x_0 x_1^r x_3 - x_1^{r+1}x_2, x_0 x_2^2 x_3 - x_1 x_2^3, x_0 x_3^3 - x_1 x_2 x_3^2, x_0^n x_1^{r-1} x_3 - x_0^{n-1} x_1^r x_2, x_0^n x_2 x_3 - x_0^{n-1} x_1 x_2^2, x_0 x_1^{r-1} x_3^2 - x_1^r x_2 x_3, x_0 x_2 x_3^2 - x_1 x_2^2 x_3, x_0^2 x_3^2 - x_1^2 x_2^2).$$

**Proof:** Any element in  $Q_{nr} \cap J$  can be written in the form  $w(x_0x_3 - x_1x_2)$  where w is an element of the ring  $F[x_0, x_1, x_2, x_3]$ .

- (i) Suppose that w is just a single term. Then, since  $wx_0 x_3, wx_1 x_2$  must belong to  $Q_{nr}, w (x_0 x_3 - x_1 x_2)$  must be generated by one of  $x_0^{n+1} x_3 - x_0^n x_1 x_2, x_0 x_1^r x_3 - x_1^{r+1} x_2, x_0 x_2^2 x_3 - x_1 x_2^3,$   $x_0 x_3^3 - x_1 x_2 x_3^2, x_0^n x_1^{r-1} x_3 - x_0^{n-1} x_1^r x_2, x_0^n x_2 x_3 - x_0^{n-1} x_1 x_2^2,$  $x_0 x_1^{r-1} x_3^2 - x_1^r x_2 x_3, x_0 x_2 x_3^2 - x_1 x_2^2 x_3.$
- (ii) Suppose that w contains two terms i.e.  $w = w_1 + w_2$ .

If  $w_1$  and  $w_2$  differ only in their coefficients then w can be expressed as a single term which is case (i). So we assume that w cannot be written as a single term. Now if  $w_1x_0x_3, w_1x_1x_2, w_2x_0x_3, w_2x_1x_2$  all belong to  $Q_{nr}$  then w is generated by two of the generators already given.

If  $w_1x_0x_3, w_1x_1x_2 \in Q_{nr}, w_2x_0x_3, w_2x_1x_2 \notin Q_{nr}$  or  $w_1x_0x_3, w_1x_1x_2$ 

 $\notin Q_{nr}, w_2 x_0 x_3, w_2 x_1 x_2 \in Q_{nr}$  then  $w(x_0 x_3 - x_1 x_2) \notin Q_{nr} \cap J$ . If both  $w_1 x_0 x_3, w_1 x_1 x_2 \in Q_{nr}$  and only one of  $w_2 x_0 x_3, w_2 x_1 x_2$  belong to  $Q_{nr}$ , then  $w(x_0 x_3 - x_1 x_2) \notin Q_{nr} \cap J$ .

Similarly if both  $w_2x_0x_3, w_2x_1x_2 \in Q_{nr}$  and only one of  $w_1x_0x_3, w_1x_1x_2 \in Q_{nr}$ then  $w(x_0x_3 - x_1x_2) \notin Q_{nr} \cap J$ .

If  $w_1x_0x_3, w_2x_0x_3 \in Q$  but  $w_1x_1x_2, w_2x_1x_2 \notin Q_{nr}$ , then  $w(x_0x_3 - x_1x_2)$  can only belong to  $Q_{nr}$  if  $-w_1x_1x_2 = w_2x_1x_2$  i.e.  $w_2 = -w_1$  which is contrary to our assumption.

Also if  $w_1x_1x_2, w_2x_1x_2 \in Q_{nr}$  but  $w_1x_0x_3, w_2x_0x_3 \notin Q_{nr}$  we have the same

contradiction. Thus we must have  $w_1x_0x_3, w_2x_1x_2 \in Q_{nr}, w_1x_1x_2, w_2x_0x_3 \notin Q_{nr}$ or  $w_1x_1x_2, w_2x_0x_3 \in Q_{nr}, w_1x_0x_3, w_2x_1x_2 \notin Q_{nr}$ .

Since it just depends on how we label 
$$w_1, w_2$$
, we will only consider  
 $w_1x_0x_3, w_2x_1x_2 \in Q_{nr}, w_1x_1x_2, w_2x_0x_3 \notin Q_{nr}.$   
Now  $w_1x_0x_3 - w_2x_1x_2 - w_1x_1x_2 + w_2x_0x_3 \in Q_{nr} \Leftrightarrow$   
 $w_1x_1x_2 = w_2x_0x_3 \Rightarrow w_1 = y_1x_0x_3, w_2 = y_2x_1x_2$   
where  $y_1, y_2 \in F[x_0, x_1, x_2, x_3].$   
So  $y_1x_0x_1x_2x_3 = y_2x_0x_1x_2x_2 \Rightarrow y_1 = y_2.$   
Hence  $w(x_0x_3 - x_1x_2) = (w_1 + w_2)(x_0x_3 - x_1x_2)$   
 $= (y_1x_0x_3 + y_1x_1x_2)(x_0x_3 - x_1x_2)$   
 $= y_1(x_0x_3 + x_1x_2)(x_0x_3 - x_1x_2)$ 

 $= y_1 (x_0^2 x_3^2 - x_1^2 x_2^2).$ 

So  $x_0^2 x_3^2 - x_1^2 x_2^2$  is another generator of  $Q_{nr} \cap J$ .

(iii) Suppose that w has t terms (t ≥ 3). Since we are trying to find new generators, we assume that there are no w<sub>k</sub> (1 ≤ k ≤ t) such that w<sub>k</sub>x<sub>0</sub>x<sub>3</sub>, w<sub>k</sub>x<sub>1</sub>x<sub>2</sub> both belong to Q<sub>nr</sub> (case (i)). We also assume that there are no two w<sub>k</sub>, w<sub>ℓ</sub> (1 ≤ k ≤ t, 1 ≤ ℓ ≤ t, k ≠ ℓ) such that (w<sub>k</sub> + w<sub>ℓ</sub>) (x<sub>0</sub>x<sub>3</sub> - x<sub>1</sub>x<sub>2</sub>) = β (x<sub>0</sub><sup>2</sup>x<sub>3</sub><sup>2</sup> - x<sub>1</sub><sup>2</sup>x<sub>2</sub><sup>2</sup>) (β ∈ F [x<sub>0</sub>, x<sub>1</sub>, x<sub>2</sub>, x<sub>3</sub>]) (case (ii)).

So for each term  $w_k$ , at the most only one of  $w_k x_0 x_3$ ,  $w_k x_1 x_2$  can belong to  $Q_{nr}$ . Thus when we multiply  $w(x_0 x_3 - x_1 x_2)$  out, we have at least t terms that do not belong to  $Q_{nr}$ .

Since  $w(x_0x_3 - x_1x_2) \in Q_{nr}$ , these terms must all cancel. But no  $w_kx_0x_3$  can cancel with  $w_\ell x_1x_2$  (case (ii)), so there must be at least three terms involved in each cancellation.

This means that we must have a  $w_k$  and  $w_\ell$   $(k \neq \ell)$  that differ only in coefficients contrary to our assumption.

The generators of the ideal of Claim C are independent only if  $n, r \ge 2$ .

If 
$$n = r = 1$$
 then  $I_{11} = (x_0 x_3 - x_1 x_2)$ .

If 
$$n = 1, r \ge 2$$
 then  

$$I_{nr} = (x_0^2 x_3 - x_0 x_1 x_2, x_0 x_3^3 - x_1 x_2 x_3^2, x_0 x_1^{r-1} x_3 - x_1^r x_2, x_0 x_2 x_3 - x_1 x_2^2, x_0^2 x_3^2 - x_1^2 x_2^2),$$
and if  $n \ge 2, r = 1$  then  

$$I_{nr} = (x_0 x_1 x_3 - x_1^2 x_2, x_0 x_2^2 x_3 - x_1 x_2^3, x_0^n x_3 - x_0^{n-1} x_1 x_2, x_0 x_3^2 - x_1 x_2 x_3, x_0^2 x_3^2 - x_1^2 x_2^2).$$

Thus from the definition of  $m(I_{nr})$ 

$$m(I_{nr}) = \begin{cases} 2: & n = r = 1\\ 4: & n = 1, r = 2 \text{ or } r = 1, n = 2\\ r + 1: & n = 1, r > 2\\ n + 1: & r = 1, n > 2\\ n + r: & n, r \ge 2. \end{cases}$$

Analysing the examples of [8] and our theorems, we would like to finish with the following (open) problem.

**Problem:** Let *I* be a homogeneous ideal of  $F[x_0, ..., x_n]$  which is not monomial. Under what assumptions do we have arith-deg  $(I) \ge m(I)$ , where m(I) is the maximum of degrees of forms generating *I*.

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