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# A Neural Network Based Window Filter and its Training for Image Processing Tasks

A thesis presented in partial fulfilment of the requirements for the degree of Doctor of Philosophy in Technology at Massey University, Palmerston North, New Zealand.

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#### ABSTRACT

The design and implementation of a neural network based universal window filter (NNWF) is described. Experiments are reported which demonstrate that such a network filter can learn to perform filtering operations from input-target image pairs. Difficulties with training such a filter for more complex tasks are then described. Standard methods of improving the learning performance of neural networks are reviewed. Speculation on development of intelligent systems is presented with particular reference to the purpose and use of logical sequential thought and rules. The importance of an educational environment is outlined and a series of four heuristics for improving the training of neural networks is suggested. Initial experiments with these heuristics are described. The analogy to instructional design theory for humans is proposed and a formal basis for the design of an educational environment for the neural network based window filter is developed. Finally, a series of experiments are reported which test the validity of the use of the educational environment and demonstrate the effectiveness of the methods developed for implementing such an environment for the NNWF.

### PREFACE

My father is a psychiatrist, my eldest sister a psychologist, with other brothers and sisters working in medicine and psychiatric nursing it is perhaps natural that I should have an interest in how people think. My undergraduate degree was in Physics and I have worked in various aspects of computing during the last fifteen years. Thus it was with something of a feeling of completing a circle that I discussed the idea of working on neural networks with my supervisor Prof. Bob Hodgson. To combine my interest in the human brain and biological systems with computer science and attempts to make computers more intelligent had great appeal.

The particular avenue for exploring this interaction was that of image processing or computer vision. This I think is a particularly appropriate area for attempting to make use of biological analogies for two reasons; Firstly, animals do it very easily whereas computers find it very difficult. Secondly, computer vision has been dominated by the hard algorithmic approach and by very limited success. What was expected to be easy was found to be very difficult.

"Just determine which is the chair and which is the table, it should be quite straight forward, then ...

If someone could define what is meant by an edge then I might be able to find them, having found them I might be able to find the objects, having found them I might be able to identify them, having ... but so far we cannot adequately define an edge"

So by combining the area of Image Processing, an area in which my supervisors had considerable research experience, with the new and exciting field of Neural Networks we could embark on some interesting work which was based on firm foundations.

#### ACKNOWLEDGMENTS

I would like to thank my two supervisors, Prof. Bob Hodgson and Dr. Bob Chaplin for their considerable help and encouragement during this process which has been so aptly described as a "research apprenticeship." I have been extremely fortunate in having supervisors, who have encouraged high professional standards in research and writing at the same time as engendering enthusiasm and providing many important insights in the fascinating area we have been studying. They have also allowed me considerable freedom to develop the research in my own way.

I want to acknowledge the considerable amount of "family time" that my wife Sue and my four daughters have sacrificed to enable me to complete this thesis. Without their support I could never have completed this work. Sue has had to act a single parent at times in addition to editing and discussing many drafts and re-drafts of sections of this work. Other members of my extended family have also provided considerable support; my mother with careful proof reading of all of the chapters, my father with insightful discussion on intelligence and other aspects of biological systems, my elder sister Olina with proof reading and encouragement to continue with some of the more speculative aspects of the work. To these and the others who have helped me along the way; thank you.

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#### **Publications**

Publications and presentations prepared during the course of the research for this thesis include;

- R.H. Pugmire, R.M. Hodgson, Why you should know about Neural Networks, in proceedings of the 27th New Zealand National Electronics Conference NELCON'90, Wellington, 1990
- R.H. Pugmire & R.M. Hodgson, Alternative Approaches to Cervical Smear Screening, in proceedings of 5th New Zealand Image Processing Workshop, Palmerston North, August 1990, pp29-33
- R.H. Pugmire, R.M. Hodgson, Experiments with a Neural Network Based Window Filter, in proceedings of 5th New Zealand Image Processing Workshop, August 1990, pp48-55
- R.H. Pugmire & R.M. Hodgson, *Watching a Neural Network Learn*, in proceedings of 6th New Zealand Image Processing Workshop, Lower Hutt, 1991, pp27-33
- R.H. Pugmire, *The Purpose of Logical Sequential Thought*, in proceedings of New Zealand Transputer Users Group Meeting, Auckland, 1992, pp53-58
- R.H. Pugmire, Experiments with a Neural Network Based Window Filter; Hierarchical Neural Networks, The Fourth Australian Conference on Neural Networks ACNN'93, Melbourne, 1993
- R.H. Pugmire, R.M. Hodgson, R.I. Chaplin, *Rules and Neural Networks*, First Australian and New Zealand Conference on Intelligent Information Systems ANZIIS'93, Perth Australia, 1993
- R.H. Pugmire, R.M. Hodgson, R.I. Chaplin, Teaching a Neural Network based Window Filter to Perform Difficult Tasks, in proceedings of the first New Zealand conference on Image and Vision Computing IVCNZ'93, Auckland, 1993, pp
- R.H. Pugmire, R.M. Hodgson, R.I. Chaplin, *Educating a Neural Network for Image Processing*, in proceedings of the first New Zealand conference on Artificial Neural Networks and Expert Systems ANNES'93, Dunedin, November 1993, pp86-91
- R.H. Pugmire, R.M. Hodgson, R.I. Chaplin, *The properties and training of a neural network based universal window filter*, The fifth IEE International Conference on Image Processing and its Applications IPA'95, Edinburgh, July 1995