Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

FREQUENCY DOMAIN EXPLOITS FOR SYMMETRIC ADAPTIVE DECORRELATION

A thesis presented in partial fulfilment of the requirements for the degree of

Doctor of Philosophy in

Engineering

at Massey University, Albany, New Zealand.

Jonathan Harris

2014

ABSTRACT

Symmetric adaptive decorrelation (SAD) is a semi-blind method of separating convolutely mixed signals. While it has restrictions on the physical layout of the demixing equipment, restrictions not present for many other blind source separation (BSS) techniques, it is more ideally suited for some applications (for example, live sound mixing) due to the fact that no post-processing is required to ascertain which *output* corresponds with which *source*. Since the SAD algorithm is based on second-order statistics (SOS), it also tends to have a lower computational load when compared with those based on higher order statistics. In order to increase the efficiency of the SAD algorithm, a multibranched recursive structure is investigated. While a nominal gain in efficiency is attained, we move away from this approach in pursuit of more substantial gains. A frequency-domain symmetric adaptive decorrelation (FD-SAD) algorithm is proposed, with savings increasing not only with larger filter sizes, but also with increasing the number of sources. The convergence and stability of this new algorithm is proven. A trade-off of the FD-SAD algorithm is that it introduces a delay in the output, which renders the algorithm unsuitable for real-time applications. Therefore a hybrid approach is also proposed that does not suffer from the lag of the frequency domain approach. While the proposed algorithm is slightly less computationally efficient than the pure frequency domain algorithm, it is significantly more efficient than the time-domain approach. A comparison of the frequency domain and hybrid algorithms shows that both achieve separation equivalent to the time-domain algorithm in a real-world environment. The proposed adaptations could also be used to extend other BSS approaches (such as Triple-N ICA for Convolutive mixtures (TRINICON) [1], which can also be based on SOS), and a comparison of the proposed methods with TRINICON is explored.

ACKNOWLEDGEMENTS

I would firstly like to thank my supervisors, Fakhrul Alam and Tom Moir for their help in guiding me through the problems that inevitably arose.

I would also like to thank the committee who reviewed my work and gave insights into areas I had overlooked, along with valuable recommendations on what needed to be done to overcome these problems.

And finally, I would like to thank my wife, whose support and encouragement gave me the strength to persevere through both the tough and the tedious.

CONTENTS

1.	Intro	oductio.	n	8					
2.	Literature Review								
	2.1	Backg	round Information	11					
		2.1.1	The Least Mean Squares Filter	14					
		2.1.2	Blind Source Separation	18					
		2.1.3	Potential applications	20					
	2.2	The P	roblem	21					
		2.2.1	The Instantaneous Mixing System	21					
		2.2.2	The Convolutive Mixing System	24					
	2.3	Potent	tial Solutions	26					
		2.3.1	Independent Component Analysis	29					
		2.3.2	Maximum Likelihood	35					
		2.3.3	Mutual Information	37					
		2.3.4	Second-Order Statistics	39					
		2.3.5	Optimization Procedures	41					
		2.3.6	Frequency Domain Algorithms	47					
		2.3.7	Crosstalk Resistant Adaptive Noise Canceling	49					
	2.4	Summ	ary	54					

3.	$MIMO\ SAD\ \dots $ 5			
	3.1	Background Information	56	
		3.1.1 Least Mean Squares Adaptive Filtering	56	
		3.1.2 The Crosstalk Resistant Adaptive Noise Canceler	59	
		3.1.3 Symmetric Adaptive Decorrelation	61	
	3.2	Derivation of MIMO SAD	63	
	3.3	Convergence of MIMO SAD	72	
	3.4	Experiments		
	3.5	Conclusion	80	
4.	Mul	tibranched Recursive Crosstalk Resistant Adaptive Noise Cancellation	83	
	4.1	Background Theory	83	
		4.1.1 The Mixing System	83	
	4.2	The Cross-Coupled Vector-LMS	85	
		4.2.1 Computational Efficiency	88	
	4.3	Separation Performance	90	
		4.3.1 Experimental Procedure	90	
		4.3.2 Results	91	
	4.4	Conclusion	91	
5.	Freq	quency Domain SAD	93	
	5.1	Background Information	93	
	5.2	Frequency Domain SAD	97	
	5.3	Comparison to the Time Domain Implementation	100	
	5.4	Computational Cost	103	
	5.5	Simulations	105	
	5.6	Conclusion 1	111	

6.	6. Hybrid Frequency-Domain Time-Domain SAD				
	6.1	Background Information	14		
	6.2	The Hybrid Algorithm	15		
	6.3	Experiments	19		
		6.3.1 Comparison between SAD approaches	19		
	6.4	Computational Cost	25		
	6.5	Future Work	28		
		6.5.1 Experimentation	29		
		6.5.2 Computational Complexity	32		
	6.6	Conclusion	34		
7.	Con	$clusion \dots \dots$	35		
Appendix 13					
A.	The	Non-minimum Phase Environment	40		
В.	Deri	ivations	44		
	B.1	MIMO SAD	44		
		B.1.1 Proof 1	44		
		B.1.2 Proof 2	45		
		B.1.3 Proof 3	48		
	B.2	TITO SAD	49		
	В.3	FD-SAMLE	57		
	B.4	Hybrid SAD	62		
		B 4.1 Hybrid SAD Pseudocode	68		

C.	Com	putational Requirements	172			
	C.1	TD SAD	172			
	C.2	FD SAD	173			
	C.3	Hybrid SAD	175			
	C.4	Trinicon	181			
D.	D. Papers					
	D.1	Decorrelation of Multiple Non-Stationary Sources Using a Divide and Conquer				
		Crosstalk-Resistant Adaptive Noise-Canceler	184			
	D.2	Blind Source Separation for Adaptive Speech Control	213			