Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.



Submitted in partial fulfilment for the degree of Doctor of Philosophy in Psychology at Massey University by...

Llewelyn A. Richards-Ward Department of Psychology Massey University Palmerston North New Zealand

- 1996 -

ABSTRACT

Working memory is a process whereby persons can preserve information for a short time while concurrently engaging in other cognitive operations. The literature describes two approaches to working memory. The first approach (Baddeley, 1986) can be described as a complete model of working memory. However the second approach is not as clearly a distinct model. although its history, literature, application, simulation and operational definitions can arguably allow one to describe it as a separate model or strand of working memory for the present purposes. Rather, what will be termed the "quantitative/process model" deals only with verbal information and is far less complete than Baddeley's model in other domains. A central issue is thus how these two models relate with respect to how they handle verbal information. Baddeley (1986) delineated working memory as a set of interconnected components consisting of a Central Executive, a Phonological Loop, and a Visuo-Spatial Sketch-Pad. In this dissertation, this is termed the qualitative/structural model of working memory. Daneman and Carpenter (1980, 1983) delineated working memory as a process involving both a traditional span component and a concurrent operation. This approach, which will be referred to as the quantitative/process model of working memory, has been presumed to involve the Central Executive of the qualitative/structural model of working memory. This presumed relationship is scrutinised in the present dissertation in the context of an alternate hypothesis that the quantitative/process model involves more of the phonological loop than has been presumed. Thus, the first issue this dissertation addressed was how these two models or approaches to working memory account for verbal information. The second facet of the present investigation was to examine whether persons were able to report on their meta-memory for working memory.

Seven linked experiments are reported in the present dissertation. Participants for all seven experiments were predominantly students at local tertiary institutions and in age from 16 to 48 ranged years. The experimental conditions were presented as a two-factor within-subjects design in Experiments 1 to 6. The first general factor was word-type varying either across word-length (Experiments 2, 4, and 6) or across phonological similarity (Experiments 1, second factor was whether and 5). The articulatory 3, suppression was used or not (Experiments 1 to 6). In Experiments 1 and 2, stimuli were presented as a complex-span task (sentence plus word), where in Experiments 3 to 6, stimuli were presented as a simple-span task (word only). Experiment 5 also had a between-subjects factor determined by whether words were sampled from a 10 item pool or from a pool without replacement. Experiment 6 had a between-subjects factor determined by the presentation pace of the stimuli (at 1 per second or self-paced). Finally, Experiment 7 directly compared complex-span and simple-span presentations against a second factor of word-type varying across both phonological similarity and word-length (control, phonologically similar, 3-syllable).

In all seven experiments, participants were measured on dependent variables of recall in the correct serial position and recall in any serial position of the words that were presented. From the difference between these two measures of content, an estimate of the loss of order information (order errors) was calculated. A measure of the time each participant spent viewing (for simple-span tasks) or verifying (for complex-span tasks) the stimuli was made to processing time. Finally, before each assess trial, participants made an estimate of how many items they expected to recall in any order (a measure of their online metamemory). In Experiments 5 to 7, a measure of the time each participant took to articulate the pool of words they had

ij.

been asked to recall was taken to provide an estimate of their articulation rate.

The main research questions for this set of studies were as follows: (1) that the quantitative/process model of working memory also uses the Phonological Loop, not just the Central Executive, and hence both models of working memory use the verbal process preserve visually presented to same information; (2) that measurement of dimensions of order and processing time, in addition to the dimension of content or capacity, will contribute independent information to the description of working memory function; and (3) that persons are able to monitor and report on their working memory. Data from the present set of studies provide support for these three hypotheses¹. The present investigation showed that a concurrent operation does not preclude phonological similarity and word-length effects used to define the components of the qualitative/structural model of working memory. Concurrently, dimensions of content and order, but not processing time, were shown to be important in describing working memory. The conclusion from these results is that both models of working memory refer to the same construct and preservation of verbal information can be that better accounted for by a single process. Finally, in all instances persons were accurate in predicting their general working memory performance. The data also show that persons may be able to predict the effect of some parameter changes on their performance.

¹The raw data upon which this dissertation was based can be obtained in the first instance from the author at the following address: Llewelyn A. Richards-Ward

c/- Department of Psychology Massey University Private Bag 11222 Palmerston North New Zealand

lii

The results of the present research suggest that verbal information is handled similarly in both models or approaches and tends to falsify that verbal information is retained primarily in the Central Executive in one model and the Phonological Loop in the other. Second the results suggest that persons do have a degree of meta-working memory. These results are discussed in terms of their implications for how working memory and meta-working memory can be described. Finally, some future directions for research are outlined.

ACKNOWLEDGEMENTS

No undertaking such as studying for a doctoral degree can occur without support and help from many people. However, to list all of those people who have supported me would be impossible. So, firstly I thank my friends who were there when I needed to talk, work colleagues who regularly asked how things were going, casual acquaintances who took the time to listen or offer advice, and those people who took the time to reply to my letters or email notes.

I would also like to thank Massey University for the doctoral scholarship which enabled me to undertake this research and for the Graduate Research Award A93/G/058 which helped cover some of the costs involved. My gratitude is also extended to the Psychology Department who contributed additional monies toward my research costs and to Michael Donnelly who helped me travel the paths of bureaucracy relatively unhindered.

I also thank Dr Ross St George and Dr Julie Bunnell for being my supervisors. They not only provided me with quality academic supervision but were also available to encourage and guide me in negotiating this degree with minimum trauma and maximum challenge. I remain very deeply indebted to them both.

At a more personal level, there are four people whose inspiration and support have, Ι believe assisted this undertaking greatly. First, I thank Ross St George for his enthusiasm and ability to ask questions. Without questions, research would never begin. Second, I thank Alison St George in educational psychology whose courses inspired me to consider more deeply how people monitor their cognition and helped me develop my own strategies for learning greatly. Third, I thank John Podd for teaching me the necessity of taking small steps in research and of the value of being thorough. Fourth, I thank Julie Bunnell whose teaching first inspired my interest in cognitive psychology and whose support has enabled me to develop that interest. To all four of you, please do not let the brevity of this acknowledgement of your contributions in any way detract from the appreciation I extend toward you.

Finally, I wish to thank Leigh without whose participation as an (in)volunteer and support as a partner I could never have completed this degree. "A good wife who can find? She is far more precious than jewels. The heart of her husband trusts in her, and he will have no lack of gain" (Proverbs 31:10-11). Diolch yn fawr rwyn dy cariad di Leigh bach!

TABLE OF CONTENTS

Abstract	1
Acknowledgements	V
Table of Contents	vii
List of Tables	xii
List of Figures	xvi

ntroduction	1

Chapter 1: A History of the Short-Term Store and of Working Memory	. 3
What is Memory?: Early Models of Memory The Span Method of Measuring the Capacity of the Short-Term Store	.5 .6
Capacity of the Short-Term Store	.7
A Comparison of the Short-Term Store Using Either Span or Free-Recall Measurement Techniques	.8
What is the Short-Term Store for?: The Baddeley and Hitch (1974) Investigation of Working Memory	.10
Summary	.12
Chapter 2: Qualitative/Structural Models of Working Memory	. 14
An Overview of Baddeley's Definition of Working Memory	.15
The Qualitative/Structural Model of Working Memory The Phonological Loop The Visuo-Spatial Sketch-Pad The Central Executive	.16 .17 .32 .33
Summary	.35

Chapter 3: Quantitative/Process Models of Working Memory	37
A Pragmatic Analysis of the Complex-Span Tasks: Do They Perform? Definition of Working Memory Reliability of Complex-span tasks Validity Evidence for the Reading Span task Conclusions	38 40 44 45 51
A Theoretical Framework for the Quantitative/Process Model of Working Memory Preservation of Content in Working Memory Order Information in Working Memory Temporal Factors in Working Memory Conclusions	51 52 53 54 56
Summary	56
Chapter 4: Subjective On-line Meta-Memory Measures The Theoretical Basis of Meta-Memory The Operational Basis of Meta-Memory Assumptions of Meta-Memory Research Conclusions	58 60 64 67 68
Chapter 5: A Rationale for Investigating the two Models of Working Memory	69 73 79 80
Summary	81

Experimentation	
-----------------	--

Chapter 6: General Hypotheses and General Method	83
General Hypotheses: Three Defining Postulates	.83 .84
General Method Participants	.89 .89
Apparatus	.90
Design	.93
Procedure	.95

Chapter 7: Experiments 1 & 2: An Initial Examination of Quantitative/Process, Qualitative/Structural, and Subjective/Objective Factors in a Complex-Span Task	02
Experiment 1: An Initial Test of the Phonological Similarity Effect in a Complex-Span Task	04 08 09 20
Experiment 2: The Word-length Effect in a Complex- span Task	27 33 34 42
Chapter 8: Experiments 3 & 4: Examination of Quantitative/Process, Qualitative/Structural, and Subjective/Objective factors in a Simple- Span Task	4 <i>1</i> 50
Experiment 3: The Phonological Similarity Effect in a Simple-Span Task	51 53 54 60
Experiment 4: The Word-length Effect in a Simple- span Task	65 67 68 73
Summary	76 76 77 78
Chapter 9: Replicating the Phonological Similarity and Word-Length Effects in a Simple-Span Task	79
Experiment 5: A Comparison of Two Stimulus Sampling Methods on the Phonological Similarity Effect	80 94 95 10
Experiment 6: A Comparison of the Effect of Experimenter-Paced Versus Participant-Paced Presentation of Stimuli on Word-length Effects	14 21 22 30

Summary of Experiments 5 and 6	233
Chapter 10: Experiment 7: The Integration of a Qualitative/Structural Model of Working Memory with a Quantitative/Process Model of Working Memory	236
Hypotheses	241
Method	243
Results	245
Discussion	255

		262
Discussion and	CONCLUSIONS	202

Chapter 11: General Discussion	262
Pre-conditions for Measurement of Working Memory: Reliability, Sensitivity, and Bias Reliability Sensitivity Bias	263 263 264 265
Postulate 1: The Commonality Between Qualitative/Structural and Quantitative/Process Definitions of Working Memory Main Results The Context of The Present Results in the Literature Conclusion	265 267 271 273
Postulate 2: The Utility of Measures of Content, Order and Processing Time in Describing Working Memory Main Results Limits of the results Conclusion	274 274 279 283
Postulate 3: On-line Meta-Memory for Working Memory Content	284 284 288
Summary The Unity of the Construct of Working Memory Implications of the Present Results for Theories of Working Memory Implications of the Present Results for Meta- Memory Research	289 283 284 293
Future Research2	296
Conclusion2	299

References	301
Appendices	318
Appendix 1: Experimental Stimuli Used in the Present Dissertation.	318
Appendix 2: A Signal Detection Analysis of the Sensitivity and Bias of Participants' Responding	
on the Sentence Verification Task	326
Appendix 3: The General Information Sheet for Experiments 1 to 7	328
Appendix 4: The Participant Consent Sheet for	220
Appendix 5. F-values and errors terms for	329
Experiment 7	330

.

LIST OF TABLES

.

Table 1.	A comparison of the span and free-recall methods of measuring STS capacity
Table 2.	A selective review of working memory definitions used by researchers using a quantitative and process oriented correlational approach to aging and working memory
Table 3.	Reliability coefficients for various operationalisations of a storage plus processing models of working memory.
Table 4.	Reported correlations between the Daneman and Carpenter (1980) Reading Span task (or a variant) and other measures of working memory or of memory span alone
Table 5.	A summary of the experimental conditions used in the present dissertation. Conditions vary across phonological similarity, word-length, articulatory suppression, tasktype, pool-type, and pacing
Table 6.	A summary of the two week test-retest reliability of the complex-span task for phonologically similar and dissimilar words with and without articulatory suppression in Experiment 1. Test-retest reliability was calculated using the Pearson product-moment coefficient
Table 7.	Mean (SD) recall of phonologically similar or phonologically dissimilar words either in the correct serial position or in any serial position for both the control and articulatory suppression conditions in Experiment 1. Note that recall is out of three for either correct or muddled verification conditions and out of 6 for the total verification and pre-estimates columns (N = 21)
Table 8.	Mean (SD) order errors for phonologically similar or phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 1. Order errors are out of 3 for the correct and muddled conditions, and out of 6 overall (N = 21)
Table 9.	Mean (SD) verification times (ms) for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 1 (N = 21)119

XÖİ

Table 10. Mean (SD) pre-estimates of recall in any serial position for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 1 ($N = 21$)	120
Table 11. A summary of the two week test-retest reliability of the complex-span task for 1 and 2- syllable words with and without articulatory suppression in Experiment 2. Test-retest reliabilities were moderate to high in all conditions and were calculated using the Pearson product-moment coefficient	135
Table 12. Mean (SD) recall of 1-syllable or 2-syllable words either in the correct serial position or in any serial position for both the control and articulatory suppression conditions in Experiment 2. Note that recall is out of three for either correct or muddled verification conditions and out of 6 for the total verification and pre-estimates columns (N = 21).	
Table 13. Mean (SD) order errors for 1-syllable and 2- syllable words for both the control and articulatory suppression conditions in Experiment 2. Order errors are out of 3 for the correct and muddled conditions, and out of 6 overall (N = 21)	139
Table 14. Mean (SD) verification times (ms) for 1- syllable and 2-syllable words for both the control and articulatory suppression conditions in Experiment 2 (N = 21)	
Table 15. Mean (SD) pre-estimates of recall in any serial position for 1-syllable and 2-syllable words for both the control and articulatory suppression conditions in Experiment 2 (N = 21)	
Table 16. Mean (SD) recall of phonologically similar or phonologically dissimilar words either in the correct serial position or in any serial position for both the control and articulatory suppression conditions in Experiment 3 ($N = 20$)	
Table 17. Mean (SD) order errors for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 3 ($N = 20$)	
Table 18. Mean (SD) viewing times (ms) for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 3 ($N = 18$).	

Table 19.	Mean (SD) pre-estimates of recall in any serial position for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions in Experiment 3 ($N = 18$)
Table 20.	Mean (SD) recall of 1-syllable or 2-syllable words either in the correct serial position or in any serial position for both the control and articulatory suppression conditions in Experiment 4 ($N = 19$)
Table 21.	Mean (SD) order errors for 1-syllable and 2- syllable words for both the control and articulatory suppression conditions in Experiment 4 ($N = 19$)
Table 22.	Mean (SD) viewing times (ms) for 1-syllable and 2-syllable words for both the control and articulatory suppression conditions in Experiment 4 (N = 17)171
Table 23.	Mean (SD) pre-estimates of recall in any serial position for 1-syllable and 2-syllable words for both the control and articulatory suppression conditions in Experiment 4 ($N = 18$)
Table 24.	Mean (SD) recall of phonologically similar or phonologically dissimilar words either in the correct serial position or in any serial position for both the control and articulatory suppression conditions and across pool-type in Experiment 5 ($N = 34$)
Table 25.	Articulation rate (SD) for phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions and across pool-type in Experiment 5 ($N = 34$)201
Table 26.	The proportion of variability accounted for in the Fixed-pool condition using the natural logarithm of each of the content measures regressed against the natural logarithm of articulation rate with <i>F</i> -values, significance, and mean square errors of the residual terms evaluating the fit of the regression coefficient202
Table 27.	Mean (SD) order errors for phonologically similar and phonologically dissimilar words words for both the control and articulatory suppression conditions and across pool-type in Experiment 5 ($N = 34$)
Table 28	Mean (SD) viewing times (ms) for both phonologically similar and phonologically dissimilar words for both the control and articulatory suppression conditions and across pool-type in Experiment 5 ($N = 34$)207

Table 29. I	Mean pre-estimates (SD) for both
p	bhonologically similar and phonologically
d	lissimilar words for both the control and
a	articulatory suppression conditions and across
p	bool-type in Experiment 5 ($N = 33$)
Table 30. I	Mean (SD) recall of 1-syllable or 3-syllable
v	words either in the correct serial position or in
a	any serial position for both the control and
a	articulatory suppression conditions across
e	experimenter and participant-paced
p	presentations in Experiment 6 ($N = 34$)
Table 31. /	Articulation rate (SD) for 1-syllable or 3-syllable
v	vords for both the control and articulatory
s	suppression conditions and across pacing
c	conditions in Experiment 6 ($N = 34$)
Table 32.	Mean (SD) order errors for 1-syllable and 3-
s	yllable words for both the control and
a	inticulatory suppression conditions and across
tł	he pacing conditions in Experiment 6 ($N = 34$)226
Table 33. s' c' al	Mean (SD) viewing time (ms) for both 1- yllable and 3-syllable words for both the ontrol and articulatory suppression conditions nd across pacing conditions in Experiment 6 (N = 34)
Table 34. p fc Ca E	Mean (SD) pre-estimates of recall in any serial osition for both 1-syllable and 3-syllable words or both the control and articulatory suppression onditions and across pacing conditions in xperiment 6 ($N = 33$)
Table 35.	A summary of the domains of previous xperiments in the present research
Table 36.	Mean (SD) recall of control, phonologically
si	imilar, and 3-syllable words either in the correct
si	erial position or in any serial position by task-
ty	ype (simple or complex) for Experiment 7 (N =
3	2)
Table 37.	Mean (SD) order errors by task-type (simple or
ci	omplex) and stimulus-type (control,
p	honologically similar, 3-syllable; $N = 32$) for
E	experiment 7
Table 38.	Mean (SD) viewing and verification time (ms)
b ^r	y task-type (simple or complex) and stimulus-
ty	ype (control, phonologically similar, 3-syllable;
N	I = 32) for Experiment 7
Table 39 . o p E	Mean (SD) pre-estimates by task-type (simple r complex), and stimulus-type (control, honologically similar, 3-syllable; $N = 32$) for xperiment 7

ş

LIST OF FIGURES

Figure 1. A model of working memory showing the Central Executive, the Phonological Loop, and the Visuo-Spatial Sketch-Pad.	16
Figure 2. Salthouse's (1992) model of the relationship between storage and operations in working memory.	43
Figure 3. A flowchart showing some aspects of the logic behind the development of the present studies in relation to Postulate 1	87
Figure 4. Recall in the correct serial position by phonological similarity, articulatory suppression, and verification conditions for Experiment 1	112
Figure 5. Recall in any serial position by phonological similarity, articulatory suppression, and verification conditions for Experiment 1	115
Figure 6. Recall in the correct serial position by word- length, articulatory suppression, and verification conditions for Experiment 2.	136
Figure 7. Recall in the correct serial position and recall in any serial position by phonological similarity and articulatory suppression conditions in Experiment 3	156
Figure 8. Recall in the correct serial position and recall in any serial position by word-length and articulatory suppression conditions in Experiment 4.	169
Figure 9. Recall in the correct serial position by phonological similarity, articulatory suppression, and pool-type conditions in Experiment 5.	196
Figure 10. Recall in any serial position by phonological similarity, articulatory suppression, and pool-type conditions in Experiment 5.	198
Figure 11. A comparison of the two measures of content in Experiment 5 with each other	200
Figure 12. Item transpositions for phonologically similar and phonologically dissimilar words in Experiment 5	206
Figure 13. Recall in the correct serial position by word length, articulatory suppression, and pacing conditions in Experiment 6.	225
Figure 14. Item transpositions for 1- and 3-syllable words	

Figure	15. Recall for Experiment 7 showing the comparison between simple-span and complex- span tasks for each stimulus-type.	248
Figure	16. The natural logarithm of viewing times of each stimulus plotted against recall in the correct serial position for each task-type and for each stimulus-type.	253
Figure	17 . A regression of mean recall in any serial position against mean pre-estimates for each condition in Experiments 1 to 7	285
Figure	18 . The qualitative/structural and quantitative/process models of working memory	292

.

.

.