Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

# Investigating the effects of long chain omega-3 fatty acids on primary school achievement

A thesis presented in partial fulfilment of the requirements for the degree of

Doctor of Philosophy

in

Education

At Massey University, Albany, Auckland,

New Zealand

**Kerry Maree Lee** 

2013

### **Abstract**

Background: All parents are keen to support their child to learn and grow. A variety of studies have identified benefits to children's cognitive development with omega-3 ( $\omega$ -3) PUFA supplementation. The majority of these studies however have involved children with learning or behavioural difficulties and have generally utilised highly specific cognitive tests. Few studies have involved healthy normally-achieving mainstream children and even fewer have used classroom tests to identify academic rather than cognitive changes.

Aim: The aim of this study was to investigate whether supplementation with  $\omega$ -3 PUFA (fish oil) affected the academic achievement of 8-13 year old general classroom children. Whether these children, their parents and teachers could detect changes in learning and behaviour attributed to this supplementation was also investigated.

Methods: A double-blind randomised placebo controlled study over a 15 week period was undertaken with 209 children. Randomisation was stratified for age and gender. These were healthy normally-achieving mainstream children who attended the same school. Every school day the active group consumed 900 mg of omega-3 whilst the placebo group consumed 900 mg of vegetable oil. Changes to academic ability was investigated using the Thurstone Word Fluency Tests (testing fluency and spelling), the NZ generated asTTle reading test and maths basic facts tests. The daily consumption of foods enriched in ω-3 PUFA was assessed using food frequency questionnaires at baseline and recording the child's intake of these foods every day at school for the duration of the study. Possible changes in behaviour and attitude were investigated using children, parent and teacher questionnaires.

Findings: The food frequency questionnaire and intake records identified a low consumption of  $\omega$ -3 PUFA rich foods. Fish oil treatment did not affect fluency and reading compared to placebo treatment. Significant improvements were identified with fish oil compared to placebo in subgroups of 8-9 year olds for an aspect of spelling and in highly numerate and literate children for division. Parents and teachers did not identify any significant differences between treatment groupings when completing the behaviour questionnaire. Children consuming fish oil reported at 4 and 15 weeks

significant improvements related to getting along with the others compared to children in the placebo group. This trend was also reflected in the teacher questionnaires regarding child behaviour.

Conclusions: Despite some significant improvements being evident, because of the fact that these were only in subgroups and potentially the result of multiple calculations, the notion that omega-3 can influence academic achievement cannot be accepted. These findings however strongly highlight the need for additional research.

### Acknowledgements

The trouble with listing people's names in an acknowledgement is that you run the risk of forgetting someone. If this applies to you please accept my humblest apologies. I would like to express my special thanks to ...

Professor Michael Townsend for always seeing the big picture

Associate Professor Welma Stonehouse for always seeing the detail, for never being more than a phone call or email away and for allowing me to sit in your office on Friday mornings just in case I had SPSS concerns.

School children and their parents *who gave up their time to support this research* School staff in particular

- Mike Gardner (Principal) assisted with total support of study
- Vicki Hitchcock (Deputy Principal) assisted with study design, ensuring buy-in, testing and data return
- Heather Howe (senior teacher)- assisted with student monitors & supplementation distribution

Company supplying supplement and placebo-staff in particular

- Brian Blanchard (Technical Manager)
- Paul O'Brien (former CEO)
- Phillipa McKegg (Documentation Officer) assisted with randomisation
- Vijay Madhoo (New Product Development Manager) assisted with placebo development University staff
- Gregor Lomas and other faulty colleagues words of advice and constant encouragement
- Judy Parr (asTTle researcher) assisted with information on suitability of tests
- Library staff assisted with literature searches and Endnote queries
- Libby Limbrick (asTTle and STAR researcher) assisted with suitability of tests
- Rebecca Jesson (asTTle researcher) assisted with asTTle information
- Research Committee of the Faculty of Education assisted with conference attendance

Natalie Sinn (University of South Australia) assisted with study design

Tania von Blaramberg (CCRep, Middlemore Hospital) assisted with initial study design Jan Reeves (Adult and Child Psychiatrist) assisted with initial study design

Nicholas Delhas (French Trainee) assisted with FFQ data entry

Megan Simpson (summer scholarship student) assisted with checks, WFT & asTTle data entry Caitlin Richardson (scholarship student) assisted with results data entry, lit. review Dr Beatrix Jones (Massey University) and Kane Meissel (University of Auckland) assisted with statistical analysis

Family and friends. Thanks for your patience and constant encouragement.

- Julie Baddiley error-checker extraordinaire
- Paul Steffe a true IT guru
- Jon Lee assisted with... everything

This doctoral study has involved up-skilling in the effects of omega-3 (physical and cognitive), educational tests and analysis techniques. In fact prior to this study I lacked knowledge in all areas of this investigation. I am lucky that so many people graciously gave of their time to provide advice and guidance, which enabled me to design, undertake and analyse this study without a research assistant. I have heard so many people talk about how lonely their doctoral study was. I can honestly say I never felt this and I believe it was due to family, friends and colleagues listening and probably even faking interest as I prattled on about the research which has consumed so much of our lives for the last few years. The old adage it takes a whole village to raise a child, I guess also applies to a thesis.

# **Table of Contents**

Abstract	iii
Acknowledgements	V
Table of Contents	vii
List of Figures	x
List of Tables	Xi
Abbreviations	xiii
CHAPTER 1: Introduction	1
Importance of this Investigation	1
CHAPTER 2: Literature Review	5
The Brain	7
Brain Development	9
Regions of the Brain	11
Memory	12
Executive Functioning	18
Literacy and Language	22
Mathematics	25
Summary of the above literature related to the brain	36
Omega-3 PUFA	38
Biomarkers of $\omega$ -3 PUFA intake and levels in the brain	43
PUFA Intake Levels	45
Neurodevelopmental Benefits of ω-3 PUFA Supplementation	48
Cognitive Benefits of ω-3 PUFA Supplementation	59
Cognitive assessment tools used in children's ω-3 research	64
Academic Achievement	76
Behaviour/attitude evaluations	83

Summary of the above literature related to $\omega$ -3 PUFA	88
Summary of Literature Review Chapter	90
Research Questions	94
CHAPTER 3: Methodology	97
Study Design	99
Subjects/participants	100
Eligibility Criteria	102
Recruitment	102
Procedures	105
Treatment	106
Randomisation and Blind Allocation	107
Tests and Testing Procedures	108
Thurstone Word Fluency Test	109
Spelling	111
asTTle Reading Tests	112
Numeracy (Basic facts)	115
Prediction Questionnaires	115
Assessment of seafood and foods enriched in ω-3 PUFA	118
Power Calculations	118
Statistical Analysis	119
Dissemination of Results to Children, Parents and School	122
CHAPTER 4: Results	123
Consumption of LC ω-3 PUFA Food Sources	125
Word Fluency Tests	127
Fluency Results at Baseline	127
Fluency Results in response to intervention	130
Additional Analysis Building on Troyer's (1997) Scoring Methods	132

Spelling Errors	137
asTTle Reading	140
Numeracy (Basic Facts)	141
Behaviour/attitude	148
Predictions of treatment grouping.	154
Children's views of the merits of this research	161
CHAPTER 5: Discussion	163
Discussion of ω-3 PUFA related findings	165
Consumption	165
Fluency	166
Spelling	169
asTTle Reading	173
Numeracy (basic facts)	174
Behaviour and attitude	181
Predictions of treatment grouping	187
Discussion of the methodology of this study	191
Design Strengths	191
Assessment Measures	195
Limitations and future research recommendations	196
Summary of discussion	197
CHAPTER 6: Conclusion	203
Conclusion	205
REFERENCES	209
ADDENINY	275

# **List of Figures**

Figure 1: Schematic diagram of a neuron	8
Figure 2: Areas of the brain	.10
Figure 3: Memory Model	13
Figure 4: Specific regions of the brain which have been shown to be linked with	
memory	17
Figure 5: Specific regions of the brain which influence mathematics	33
Figure 6: Metabolism of key essential fatty acids, from commonly consumed food	
sources	.40
Figure 7: Brief overview of the study as related to the NZ school year	100
Figure 8: Flow of participants through the study	104

All photographs used as chapter headings in this thesis are images taken by the researcher in the process of completing this study.

# **List of Tables**

Table 1: Variety of fish and seafood commonly eaten in NZ	42
Table 2: Nutrient Reference Values for Australia and NZ	46
Table 3: Children's behaviour and cognitive tests used in ω-3 research	65
Table 4: Ethnicity, gender across the participants' age groups	105
Table 5: Calibrated settings for each asTTle test	113
Table 6: Child's consumption of food containing ω-3 (reported by parents)	126
Table 7: Child's consumption of food containing ω-3 (reported by child)	126
Table 8: Fluency strategies utilised by differing age groups	128
Table 9: Significance of fluency strategies utilised by males & females	129
Table 10: Fluency strategies used by children to generate S words	131
Table 11: Fluency strategies used by children to generate C words	132
Table 12: Examples of newly identified linked/clustered words	133-134
Table 13: Number of newly scored S word clusters	135
Table 14: S word fluency strategies used by males and females	135
Table 15: S word Fluency strategies used by females stratified by age	136
Table 16: Percentage of spelling errors generated for S and C words	138
Table 17: Percentage of spelling errors generated by age groups	139
Table 18: Utilisation of reading strategies, using asTTle reading test	141
Table 19: Maths basic facts scores across the age groups	143
Table 20: Maths basic facts scores	143
Table 21: Interactions with maths basic facts scores	144
Table 22: Division scores of children with high or low literacy	144
Table 23: Division scores of children (in age groups) with high/low literacy	145
Table 24: Division scores of boys and girls with high/low literacy	146
Table 25: Division scores for children with high/low division and literacy	147
Table 26: Children's behaviour and attitude changes over 4 and 15 weeks	150
Table 27: Parents' view of children's behavioural changes at 15 weeks	151
Table 28: Teachers' view of changes in child's behaviour at 15 weeks	153
Table 29: Children's changes in asTTle score attitude over the 15 weeks	154
Table 30: Accuracy of participants' predictions	155
Table 31: Children's reasons for their treatment group prediction	157
Table 32: Parents' reasons for their treatment group prediction	158

Table 33: Teachers' for their treatment group prediction	Table	e 33:	Teachers'	for their treatment	group prediction	16
--	-------	-------	-----------	---------------------	------------------	----

# **Abbreviations**

Abbreviation	Term	
Abbreviation	Arachidonic Acid	
ABC	Aberrant Behaviour Checklist	
ADHD		
	Attention-Deficit Hyperactivity Disorder	
AFC AI	Anterior Frontal Cortex	
	Average Daily Intake Level	
ANGOVA	Alpha-Linolenic Acid	
ANCOVA	Analysis of Covariance	
ANOVA	Analysis of Variance	
asTTle	Assessment Tools for Teaching and Learning	
CI	Confidence Interval	
CNS	Central Nervous System	
COWA	Controlled Oral Word Association	
CPRS	Connors Parents Rating Scales	
CTRS	Connors Teachers Rating Scales	
CVLT	California Verbal Learning Test	
DCD	Developmental Coordination Disorder (Dyspraxia)	
DGLA	Di-homo-gamma-linolenic acid	
DHA	Docosahexaenoic Acid	
DLFC	Dorsolateral Frontal Cortex	
DPA	Docasapentaenoic Acid	
EFA	Essential Fatty Acids	
EPA	Eicosapentaenoic Acid	
ETA	Eicosatetraenoic Acid	
FADS	Fatty Acid Deficiency Symptoms	
FFQ	Food Frequency Questionnaires	
fMRI	Functional Magnetic Resonance Imaging	
FSANZ	Foods Standards Australia New Zealand	
GLA	Gamma Linolenic Acid	
HVLT	Hopkins Verbal Learning Test	
HUFA	Highly Unsaturated Fatty Acids	
IRT	Item Response Theory	
KABC	Kaufman Assessment Battery for Children	
LA	Linoleic Acid	
LC PUFA	Long-Chain Polyunsaturated Fatty Acid	
LTM	Long-Term (secondary) Memory	
MABC	Movement Assessment Battery for Children	
MRI	Modern Magnetic Resonance Imaging	
MUFA	Monounsaturated Fatty Acids	
NEPSY	Neuropsychological Assessment	
NZ	New Zealand	
PET	Positron Emission Tomography	
PPVLT	Peabody Picture Vocabulary Test	
PUFA	Polyunsaturated Fatty Acids	
RAVLT	Rey Auditory Verbal Learning Test	
RBC	Red Blood Cell	

ROS	Rostock-Oseretzky Scale,
RCT	Randomised Controlled Trials
RDI	Recommended Daily (Dietary) Intake
SA	Stearidonic Acid
SD	Standard deviation
SDT	Suggested Dietary Target
SFA	Saturated Fatty Acids
SNAP	Swanson, Nolan & Pelham Rating Scale
SOLO	Structure of Observed Learning Outcomes
TEA-ch	Test of Everyday Attention for Children
TOVA	Test of Variables of Attention
TWFT	Thurstone Word Fluency Test
UL	Tolerable Upper Intake Limit
VLFC	Ventrolateral Frontal Cortex
WAIS	Wechsler Adult Intelligence Scales
WIAT	Wechsler Individual Achievement Test
WISC	Wechsler Intelligent Scale for Children- Third Edition
WFT	Word Fluency Tests
WJ	Woodcock-Johnston Psycho-educational Test Battery
WM	Working Memory
WRAT	Wide range Achievement Test
ZPD	Zone of Proximal Development
α	Alpha
ω-3	Omega-3
ω-6	Omega-6