Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

Environmental evaluation of energy efficiency refurbishment in New Zealand's commercial office buildings

A thesis presented in partial fulfilment of the requirements for the degree of Doctor of Philosophy in Science

in

Life Cycle Management

at

Massey University, Manawatū, New Zealand



Agneta Ghose 2017

Copyright is owned by the Author of the thesis. Permission is given for a copy to be downloaded by an individual for the purpose of research and private study only. The thesis may not be reproduced elsewhere without the permission of the Author.

Executive summary

In New Zealand, 80 % of existing commercial office buildings are more than 20 years old and consume approximately 40 % more energy than newer counterparts. Moreover, nearly 38 % of the energy-related emissions in New Zealand's cities are due to the heating and cooling requirements of commercial office buildings. Therefore, energy efficiency measures in office buildings are recommended to reduce operational energy related costs, provide better working conditions, and enhance business value. An energy efficiency refurbishment which involves adoption of multiple energy saving measures such as thermal insulation, improved glazing, air conditioning and lighting systems, can reduce the energy consumption of existing buildings by nearly 60 %. However, such a refurbishment also involves substantial construction work associated with the demolition and replacement of several building components, and this is associated with additional environmental impacts. It is therefore important to evaluate if the environmental benefits associated with reductions in energy demand can outweigh the environmental impacts of refurbishment.

This research investigated the comprehensive environmental impacts of energy efficiency refurbishments in New Zealand's office buildings using Life Cycle Assessment (LCA). The research used existing data collected for Building Energy End-use Study (BEES) by the Building Research Association of New Zealand (BRANZ). In particular, this research used the information on building design and annual energy consumption of existing and refurbished building prototypes. These building prototypes provided - construction details adopted in buildings of different sizes; and the operational energy performance based on typical climatic conditions found in New Zealand. The environmental performance of the buildings was calculated for Global Warming Potential (GWP), Ozone Depletion Potential (ODP), Photo-chemical Oxidation Potential (PCOP), Acidification Potential (AP), Eutrophication Potential (EP), Abiotic Depletion of resources (AD_r), Abiotic Depletion of fossil fuels (AD_{ff}), Human toxicity carcinogenic (HT-carc), Human toxicity non-carcinogenic (HT-non carc), Eco-toxicity freshwater (ET_{freshwater}), Particulate Matter Formation (PMF), and Ionizing Radiation (IR).

A series of studies were performed to: (i) assess the environmental impacts and identify the environmental hot-spots of energy efficiency refurbishment, (ii) assess the influence of building's service life, energy, resource and waste management on the environmental performance of energy efficiency refurbishment, (iii) assess the influence of building size, design and location on the environmental performance of energy efficiency refurbishment, and (iv) to evaluate the contribution of energy efficiency refurbishment to New Zealand's 2050 climate change mitigation target compared to the environmental performance of existing office building stock.

The results showed that at energy efficiency refurbishments can reduce emissions for environmental impact categories affected by energy demand particularly for global warming, acidification and photochemical oxidation. However, the refurbishment is also associated with increase in environmental impacts affected by resource demand such ozone depletion potential, abiotic depletion of resources, human toxicity (carcinogenic) and ionizing radiation. Service life of over 25 years is required to compensate the embodied environmental impacts of refurbishment for most of the impact categories, particularly if the electricity is sourced from renewable energy sources.

Refurbished components such as- on-site photovoltaic (PV), aluminium framed windows, façade components and heat pumps were identified as the major environmental hot-spots for most impact categories. The embodied environmental impacts to most categories could be reduced by 20 - 40 % if the waste recovery and recycling at construction site is improved. However, the overall environmental impacts of refurbished office buildings are highly sensitive to the choice of energy supply.

Energy supply from grid electricity generated from renewable resources should be prioritised over the use of on- site PV. Benefits from on-site PV is limited if the grid electricity supply is mainly from renewable sources; moreover, the production of photovoltaic panels is energy and resource intensive. It can increase nearly 50 - 100 % of the embodied environmental associated with building refurbishment. If on- site photovoltaic is installed, it should be prioritised in buildings with large roof area located in regions with long sunshine hours. The results also show that in large buildings- efficient heating, ventilation and lighting equipment; and smaller wall to window ratios should be prioritised to reduce environmental impacts. In small buildings, the choice of façade materials with low embodied impacts should be prioritised to reduce environmental impacts.

With respect to New Zealand's 2050 target for the existing office building sector 60 - 90 % greenhouse gas emissions reductions is possible only if the office building stock refurbishment is combined with a renewable energy supply. Nearly 60 - 70 % of the greenhouse gas emissions can be reduced if the refurbishment of the existing office building stock is limited to existing large office building stock (>3500 m²) or to buildings in Auckland and Wellington.

The main conclusions based on the results of this research are to prioritise better resource and waste management, to prioritise strategies for maintenance of refurbished buildings to promote longer service life, to support national level policies on increased use of renewable sources for grid electricity generation, and to prioritise refurbishment for a share of the building stock based on size and location which contributes to maximum energy reduction and minimal environmental impacts. The outcomes of this research can support national policy makers and independent building stakeholders (e.g. architects, owners, and engineers) who are keen on promoting energy efficiency refurbishments in New Zealand's office buildings.

Acknowledgements

"It is not the mountain we conquer, but ourselves." - Sir Edmund Hillary

Working towards my PhD has been an initiation towards self-discovery. I am truly grateful that I had this fantastic opportunity for my personal and professional development. This would not have been possible without the invaluable academic, social and emotional support provided to me by my supervisors, colleagues, friends and family.

First I would like to express my deepest gratitude to my main supervisor Prof. Sarah McLaren, who granted me this unique opportunity. With her keen eye for detail, immense patience and optimism Sarah has constantly motivated my work harder and pursue high quality standard in my research. On my "bad days" when I expressed my cynicism, frustrations, insecurities and tears, she extended her optimism, kindness and friendship. I will always remain grateful for all her encouragement and support.

I would also like to extend my gratitude my co-supervisors Dr David (Dave) Dowdell and Prof. Robyn Phipps. They have both been cheerful motivators who with their discussions have broadened my perspectives and helped me connect with key people working in the construction sector. Special thanks to Dave's wonderful and affectionate family members -Lenka, Lucas and Joshua who hosted me several times in their home in Wellington.

A critical thread for research is to have necessary funds to carry out the work. I would like to acknowledge the support of the Building Research Association of New Zealand and the New Zealand Life Cycle Management centre for supporting me financially during the course of this study.

Big thanks to Shaan Cory and his supervisor Assoc. Prof. Michael (Mike) Donn from Victoria University, Wellington. Shaan's comprehensive work on transforming New Zealand's buildings was a foundation for my research. Without their co-operation and generosity this work would not have been possible. I would also like to extend another big thank you to Assoc. Prof Massimo Pizzol and Prof Jannick Schmidt. They graciously hosted me at Aalborg University for an entire year. They are amazing teachers as well as wonderful office mates. Both of them have a special skill to explain rather difficult concepts with clarity and ease. I have learnt a lot from them especially with respect to complementing LCA with other methodological techniques to improve the quality and validity of my research. I would also like to

thank Randi Daalgaard, Jens Fisker, Michael Pederson, Annelle Riberholt, Morten Skou Nicolaisen, Anne Bach, and Nina Vogel for making me feel welcome in Aalborg University and helping me integrate with the Danish lifestyle. Many thanks to Laima, Hao Yinh, Edith Liu, Antonio Sticchi, Giorgo, Ivo, Viktoria and Ivailo for numerous dinners and social evenings during my time there.

I would like to extend my thanks to the PhD community at the Institute of Agriculture and Environment. In particular I would like to thank Khadija Malik, Aniek Hilkens, Flo van Noppen, Julia Bohorquez, Liz Daly, Yenh Dinh, Ackim Mwape, Davood Roodi, Dimitris Rados, Soledad Navedad, Angela Merino, and Sally Coughlan. I have shared my office space with most of them as well as countless coffee breaks, PhD stress, jokes, dilemmas, research tips and most importantly chocolate ③. Special thanks to staff members – Prof. John Holland, Dr Mike Joy and Sharon Wright for timely encouragement and enthusiasm.

Thank you Anushree Sengupta for the numerous times you hosted me, and the lovely memories of exploring Wellington and its finest eateries. Thank you Bhawana di and Khadija, I am so glad to have across such strong and independent women like you. Our friendship is really close to my heart. Thanks to Saba and Junaid for being such fun and helpful neighbours. Thank you to all the residents of 15 Glasgow Street-Sandeep, Aniek, Ermanno, Stefi. Thank you for the much needed social life with experimental cooking and share my love of Indian movies especially with Sandeep.

Thank you to my wonderful neighbours who made me feel no less than a family member- Shraddha di, Pranav da, Beulah, Ben Anna and their lovely children Praisy, Elyon and Hridaansh. Thank you to the members of Prantik - Debraj da, Tamalika di, Shampa di, Debjit da, Mamta aunty, Sen uncle, Tanu, and Sandeep. Thank you for taking the efforts of organizing our beloved festivals and cultural events which ensured that I don't feel too nostalgic about home.

A thank you is very small expression for the gratitude I feel for my family. Ma, Baba and Dada are my pillars. Everything I have achieved wouldn't have been possible without their constant encouragement. Living far away from them has been difficult for all of us. Despite this not once did they allow me to feel disheartened or discouraged about my decisions. During my PhD, I also met my partner- Michele De Rosa. My thanks to him are endless. Michele had seen me face my worst insecurities and yet it was his love and faith on my abilities that rekindled my motivation and enthusiasm for my research.

I dedicate this work to Michele and my family.

List of Abbreviations

USGS

 AD_{ff} Abiotic Depletion (fossil fuels) AD_r Abiotic Depletion (resources) AP Acidification Potential American Iron and Steel Institute AISI **BAU** Business As Usual **BRANZ** Building Research Association of New Zealand **CCANZ** Cement and Concrete Association of New Zealand CIS Russia Commonwealth of Independent States (Russia) EP **Eutrophication Potential** Eco-toxicity (freshwater) $ET_{freshwater}$ FAO Food and Agriculture Association **GWP** Global Warming Potential **GHG** Greenhouse gas emissions HT carc Human Toxicity (carcinogenic) HT non carc Human Toxicity (non-carcinogenic) IAI International Aluminium Institute **IEA** International Energy Agency IR **Ionizing Radiation** LCA Life Cycle Assessment LED Light Emitting Diode **MBIE** Ministry of Business, Innovation and Employment NZ New Zealand ODP Ozone Depletion Potential Organization for Economic Cooperation and **OECD** Development **PCOP** Photochemical Oxidation Potential PET Polyethylene Terephthalate **PMF** Particulate Matter Formation RoW Rest of the World Resource Efficiency in the Building and Related **REBRI** Industries United Nations international COMmercial TRADE **UNCOMTRADE** statistics United Nations Framework Convention on Climate **UN FCCC** Change **UNEP** United Nations Environmental Program

United States Geological Survey

Table of Contents

Executive summary	iii
Acknowledgements	iv
List of Abbreviations	vii
List of Figures	xiii
List of Tables	xiv
1.1Energy efficiency refurbishments - a strategy to improve environment performance of commercial buildings	
1.2 Relevance of energy efficiency refurbishment for commercial buildi Zealand	_
1.3 Assessment methods to evaluate energy efficiency refurbishments	6
1.4 Relevance of LCA to evaluate the environmental effects of energy e refurbishment in New Zealand's commercial buildings	•
1.5 Research aim and objectives	9
1.5.1 Research aim and questions	9
1.5.2 Materials and Methods	10
1.5.3 Structure of the thesis	12
1.6 List of publications	13
2.1 Introduction	19
2.2 LCA standards for the building sector	21
2.2.1 Goal and Scope	21
2.2.2 Inventory analysis in building LCA	22
2.2.3 Impact assessment and Interpretation of building LCA	24
2.3 Definition of building refurbishment in LCA	25
2.4 Methodology	27
2.5 Discussion on methodological approaches	31
2.5.1 Scope	31
2.5.2 Inventory Analysis	32
2.5.3 Impact Assessment	33
2.5.4 Number and Location	34
2.6 LCA of whole office buildings	35
2.6.1 Energy conservation in operation	38
2.6.2 Environmental improvement in material production	39
2.6.3 Material recycling	40
2.7 LCA of refurbishment for energy efficiency in office buildings	41
2.7.1 Environmental impacts of multiple refurbishment measures	41

2.7.2 Environmental impacts of single building component refurbishment	42
2.8 Conclusion	45
3.1 Introduction	52
3.2 Methodology	55
3.2.1 Case study description	55
3.2.2 Functional unit and system boundaries	56
3.2.3 Data acquisition for inventory analysis	58
3.2.3.1 Building façade elements	59
3.2.3.2 Technical components	60
3.2.3.3 Construction activities related to refurbishment	61
3.2.3.4 Inventory for construction materials and energy	61
3.2.3.5 Building operation	63
3.2.3.6 Inventory for electricity mix and potential scenarios	63
3.3 Results	66
3.3.1 Environmental impacts of refurbishment	66
3.3.2 Cumulative environmental impact	67
3.3.3 Environmental Payback	70
3.4 Discussion	71
3.4.1 Environmental hotspots in building refurbishment	71
3.4.2 Relevance of deep energy refurbishment in offsetting environmental impacts from New Zealand's electricity grid mix	73
3.4.3 Comparison of results with existing studies	74
3.4.4 Limitations and Future Work	76
3.5 Conclusion	77
4.1 Introduction	86
4.1.1 Use of Consequential LCA modelling in the building sector	87
4.2 Methodology	89
4.2.1 Base case and scenarios	90
4.2.2 Inventory analysis	94
4.2.2.1 Identification of marginal suppliers	94
4.2.2.2 Substitution and avoided burdens	97
4.2.3 Impact Assessment	99
4.2.4 Sensitivity analysis	99
4.3 Results	100
4.3.1 Contribution analysis	
4.3.2 Scenario analysis	100

4.3.3 Sensitivity analysis	. 104
4.4 Discussion.	. 107
4.4.1 Contribution Analysis	. 107
4.4.2 Scenario Analysis	. 108
4.4.3 Sensitivity Analysis	. 109
4.4.4 Limitations	. 110
4.5 Conclusion	. 111
5.1. Introduction	. 125
5.2. Methodology	. 127
5.2.1 LCA modelling	. 129
5.2.2 Statistical Analysis	. 133
5.2.2.1 Model selection.	. 134
5.3 Results	. 136
5.3.1 Kruskal-Wallis and post hoc Dunn test results	. 136
5.3.1.1 Buildings in BAU compared to other scenarios	. 136
5.3.1.2 Comparison of buildings in RE, PV and BCP scenarios	. 136
5.3.2 GAM results	. 138
5.4 Discussion.	. 140
5.4.1 Refurbished buildings in the BAU scenario	. 140
5.4.2 Refurbished buildings in the PV scenario	. 142
5.4.3 Refurbished buildings in RE scenario	. 143
5.4.4 Refurbished buildings in the BCP scenario	. 144
5.4.5 Limitations and future work	. 144
5.5 Conclusion	. 145
Abstract	. 153
6.1 Introduction	. 154
6.2 Methodology	. 158
6.2.1 New Zealand specific office building prototypes	. 158
6.2.1.1 Building characteristics and Energy simulation	. 158
6.2.1.2 Life cycle assessment of building prototypes	. 159
6.2.1.3 New Zealand's Electricity grid scenarios	. 160
6.2.1.4 Environmental impact of building prototypes up to 2050	. 161
6.2.2 New Zealand's office building stock	. 162
6.2.2.1 Stock Aggregation Analysis	. 163
6.2.2.2 Accounting for New Zealand's 2050 GHG emission target	. 164
6.3 Results	167

	6.3.1 Environmental performance of New Zealand's office building stock	.167
	6.3.2 Environmental performance based on building location and building siz	ze
	groups	.168
	6.3.3 Target 2050 - New Zealand's office building stock	.171
	6.3.4 Targeting refurbishment towards specific building stock	.173
6.	4 Discussion	.176
	6.4.1 Role of electricity supply	.176
	6.4.2 Impacts embodied in refurbishment	.177
	6.4.3 Refurbishment and 2050 GHG mitigation target	.178
	6.4.3.1 Targeting GHG mitigation with specific location	.179
	6.4.3.2 Targeting GHG mitigation towards buildings of specific sizes	.179
	6.4.4 Limitations and future work	.180
6.	5 Conclusion	.182
7.	1 Introduction	.188
	7.2 Influence of study design	.188
	7.2.1. Inventory modelling	.190
	7.2.2 Life Cycle Stages	.191
	7.2.3 Analytical approaches adopted to strengthen LCA results	.192
7.	3 Key findings	.195
	7. 3. 1. Environmental Hotspots	.195
	7. 3 .2. Influence of electricity supply	.196
	7. 3 .3. Influence of waste and resource management	.197
	7. 3 .4 Influence of building characteristics	.199
	7. 3 .4. 1 Building Lifetime	.199
	7. 3 .4. 2 Building size	.200
	7. 3 .4. 3 Buildings in different location	.200
	7.3.5 Maximizing net environmental benefits	.201
7.	4 Applicability of results to policy makers	.205
	7.4.1 National policy: prioritise renewable grid electricity generation	.205
	7.4.2 National policy: prioritise deep energy refurbishment of existing building stock as an immediate climate change mitigation strategy	_
	7.4.3 National policy: prioritise services that support segregation and recyclir of construction wastes	ng
	7.4.4 National policy: prioritise refurbishment strategies based on building size and location	
	7.4.5 Building Level: prioritise construction waste and resource management	206

7.4.6 Building Level: prioritise longer operational period of refurbished buildings with maintenance	207
7.4.7 Building Level: prioritise refurbishment strategies based on building design, size and location	207
7.5 Limitations and future work	. 207
7.5.1 Limitations - case studies	. 207
7.5.2 Limitations - methodology	. 209
7.6 Conclusions	. 212
Supporting Information - 1	. 233
Supporting Information - 2	. 237
Supporting Information - 3	. 246
Supporting Information - 4	. 261
Appendix	. 267

List of Figures

Chapter I
Figure 1.1 Energy Performance Indicators (EnPI) of different commercial premise based on their use
Chapter 2
Figure 2.1 Life cycle stages of a building represented as adapted from building LCa standard EN 15978 (2011))
Figure 2.2 Building performance with predicted modifications in its functional timeframe
Chapter 3
Figure 3.1 Activities and flows associated with materials and energy modelled in the study for building refurbishment and subsequent operational use
Figure 3.2 The impact assessment results for refurbished building and the relative contributions of the different refurbished components, construction activities and waste treatment
Figure 3.2.(a and b) Cumulative impact assessment results for the refurbished an existing building operating for 25 or 50 years using different electricity grid mi scenarios
Chapter 4
Figure 4.1 System boundary, base case and the three scenarios on share of material recycled or re-used assessed
Figure 4.2 (a and b) Impact assessment results for the building refurbishment in Business As Usual scenario, waste minimization scenario, alternative material procurement scenario, and combination of waste minimization and alternative material procurement scenario
Figure 4.3 (a and b) Net impact results (per functional unit) in each scenario for sensitivity analysis of a) recycling efficiency, b) specific marginal suppliers and oppotential change in electricity grid mix of specific marginal suppliers10
Chapter 5
Figure 5.1 Representative office building prototypes and seven regions across New Zealand. Schematic representation of data and methodology used in chapte 5
Figure 5.2 (a and b) The comparative results per functional unit for the four scenario

Chapter 6
Figure 6.1 Representative office building prototypes and seven regions across New Zealand. Schematic representation of data used in chapter 6
Figure 6.2 (a and b) The stock aggregated impact assessment results from 2017 - 2050 for buildings in four different refurbished or non-refurbished conditions (nRb, Rb, Rb BCP, Rb PV) and two scenarios on NZ grid electricity generation171
Figure 6.3 Cumulative GWP between 2017 to 2050 for buildings in in four different refurbished or non-refurbished conditions (nRb, Rb, Rb BCP, Rb PV) and two scenarios on NZ grid electricity generation
Chapter 7
Figure 7.1 Heat map built to represent the influence of building condition and strategies for building refurbishment on the overall environmental performance of New Zealand's existing office building stock
List of Tables
Chapter 1
Table 1.1 Energy Performance Indicators (EnPIs) for electricity use by commercial buildings in New Zealand
Chapter 2
Table 2.1 Whole building Life cycle assessment studies on office buildings29
Table 2.2 Life cycle assessment studies on refurbishment in office buildings30
Chapter 3
Table 3.1 Building Characteristics - existing and refurbished building56
Table 3.2 Scenarios used to represent the New Zealand Grid electricity mix64
Table 3.3 Inventory data for refurbishment activities and annual building operation for case study building
Table 3.4 Payback period of the deep energy refurbishment for the case study using different electricity grid mix scenarios
Chapter 4
Table 4.1 Specifications of the existing and refurbished building and related refurbishment measures
Table. 4.2 Marginal suppliers of construction materials and products97

Table 4.3 Marginal electricity supply of identified material and product suppliers
Table 4.4 Modelling assumptions for secondary materials produced from the recovery of demolition waste or used to substitute primary materials during refurbishment and associated avoided burdens
Chapter 5
Table 5.1 Summary of building characteristics which were considered as predictor variables in the GAM modelling to test their influence on the environmental impact
Chapter 6
Table 6.1 Environmental impact categories and related impact assessment methods
Table 6.2 Marginal sources of electricity production in New Zealand and the environmental impacts associated with 1 kWh of low voltage electricity produced
Table 6.3 Total floor area of office buildings in New Zealand based on size and location
Table 6.4 GWP in 2050 of whole office building stock with respect to New Zealand's 2050 target
Table 6.5 GWP in 2050 of whole office building stock with respect to 2050 target-if buildings in specific regions are refurbished
Table 6.6 GWP in 2050 of whole office building stock with respect to 2050 target-if buildings in two or more major cities and adjoining regions are refurbished175
Table 6.7 GWP in 2050 of whole office building stock with respect to 2050 target-if buildings of specific sizes are refurbished
Chapter 7
Table 7. 1 Summary and comparison of the study design