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EVALUATION OF MANAGEMENT PROGRAMS FOR SUSTAINABLE MANGROVE DEVELOPMENT: THE CASE OF THE PHILIPPINES

A thesis presented in partial fulfilment of the requirements for the degree of MASTER OF PHILOSOPHY IN RESOURCE AND ENVIRONMENTAL PLANNING, Massey University, New Zealand.

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ABSTRACT

This study applies policy evaluation as a technique of resolving inefficiency of management policies and programs in achieving sustainable development of mangroves. It aims to emphasize the interrelationships of socio-economic, ecological, and institutional issues of resource management in planning and decision making. This is achieved through the review of the concept of sustainable development and the characteristics of mangrove ecosystems.

The case of mangrove management of the Philippines is used to test the relevance of policy evaluation in natural resource management. The evaluation is based on a multi-disciplinary perspective of sustainable development which considers the interrelationship of socio-economic, ecological and institutional issues relating to the utilization of natural resources, such as mangroves, for human purposes. A conceptual set of goals for sustainable mangrove development is developed and associated evaluation criteria are derived to analyze the sustainability of mangrove policies and programs. The evaluation of mangrove programs of the Philippines illustrated the view that unless a closer review and examination is made on existing and proposed programs in management of the country's mangrove resources, government efforts which focus on the resource alone will only lead to further degradation of the mangrove ecosystem. The Goal Achievement Matrix (GAM) is used as a framework, within which the impacts of such programs are reviewed. The evaluation concludes with the general recommendation that Philippine mangrove policies and programs for managing mangroves needs to be altered to avoid conflict and disintegration and to achieve more efficient and more holistic approach to their management. Specific socio-economic, ecological and institutional recommendations are made to improve the country's mangrove policies and programs.

The study reinforces efforts to implement the concept of sustainable development in natural resource management, which currently includes little evaluation of the consequences of efforts in achieving intended goals.

The study introduces conceptual goals and criteria which may be used in any tropical country to ensure sustainable development of mangroves. This set of goals and criteria, embracing the interrelationships of socio-economic, ecological and institutional factors, may serve as guide or framework in managing mangroves and other similar ecosystems now and in the future.

The study also emphasizes the usefulness of GAM as a framework for evaluating policies about complex issues of natural resource management. The GAM methodology, which has been traditionally used in ranking alternative programs in the context of urban planning, is shown to be useful in evaluating natural resource management policies and programs. With the introduction of sustainable development criteria into GAM methodology, the evaluation technique not only provides relative ranking of mangrove programs, but indicates how well a particular program achieves the goals of sustainable mangrove development.

It is hoped that resource managers, researchers, and other concerned individuals are motivated to undertake program evaluation more effectively in order to achieve sustainable development of natural resources.

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GLOSSARY

- Adventitious root a mangrove root system which originate from the hypocotyl and later from the stem in an upward sequence, sticking down as rather thick repeatedly branched root finally striking ground and rooting.
- Allocthonous terrestially-derived sediments carried by river discharges that brings nutrients that are incorporated by plants.
- Aquaculture the management of living aquatic resources to increase production beyond the levels of normally available from harvesting wild stocks.
- Aquifer a water bearing bed or stratum of earth.
- Barangay the village unit form of local government in the Philippines.
- Bio-diversity the variety of life in all its forms, levels and combinations.
- Carrying capacity capacity of an ecosystem to support organisms, while maintaining its productivity, adaptability and capability of renewal.
- Cutting cycle the number of years between major harvest in the same working unit with rotation.
- Detritus particles originating from plant materials that drains into the body of water. They serve as energy budgets of fisheries within mangroves and offshore marine life.
- Ecological a continuous action or series of actions that is governed or strongly influenced by one or more ecosystem.
- Ecosystem a system of plants, animals and other living organisms together with the non-living components of their environment.
- Edaphic factors soil related factors that affect the growth of plants (e.g. soil type, soil structure, etc.).
- Evapotranspiration loss of moisture due to evaporation, percolation and runoff.

Facultative halophytes	types of plants that are not restricted to only one function. These are plants that can either tolerate fresh or saline water.
Food chain	a series of organism, each successive group of which feeds on the group immediately previous in the chain, and is in turn eaten by the succeeding group.
Fry fishermen	mangrove dependent communities that only gather milkfish fry or shrimp fry for cash income.
Genetic diversity	the variety and frequency of different genes and/or genetic stocks.
Interstitial salinity	the salinity level of sea water within the interstices.
Laminar water flow	the movement of ground water.
Lease	a privilege granted by the State to a person to occupy and posses, in consideration of a specified rental, in forest land of the public domain in order to undertake any authorize activity therein.
Life-support system	an ecological process that sustain the productivity, adaptability and capacity for renewal of lands, water, and or the biosphere as a whole.
Mangroves or mangrove forests	either the constituent plants of tropical and subtropical intertidal forest communities or the community itself.
Natural resource	biophysical resource that is used directly by people.
Neritic waters	the region of shallow water adjoining the sea cost.
Nipa	an important palm species growing abundantly in mangrove areas.
Over-wash islands	islands form from the accumulation of sediments carried by river discharges and wave action.
Pneumatophores or stilt roots	submerged or exposed roots which function as respiratory organs.
Rehabilitation	to return a degraded ecosystem to an un-degraded condition, which may be different to its original state.
Species diversity	the variety and frequency of different species.

Sustenance fishermen	mangrove dependent communities that gather adur fish or fish fry for consumption.
Tanbark	ground bark materials of mangrove trees being tapped for the extraction of tannin.
Viviparous seedlings	seedlings that germinate within the parent plant before they are shed.

ACRONYMS

- ADB Asian Development Bank CARI Comprehensive Agrarian Reform Law CBA **Cost-Benefit Analysis** CENRO Community Environment and Natural Resources Officer CRMC Coastal Resources Management Committee, DENR CFP Community Forestry Program DA Department of Agriculture DAR Department of Agrarian Reform DENR Department of Environment and Natural Resources DAO **DENR** Administrative Order FLMA Forest Lease Management Agreement FSP Forestry Sector Program GAM Goal Achievement Matrix ISFP Integrated Social Forestry Program **IUCN** International Union for the Conservation Nature and Natural Resources MSA Mangrove Stewardship Agreement NAMRIA National Mapping and Resource Information Administration NFP National Forestation Program NGO Non-governmental Organization NPCO National Program Coordinating Office NRMDP Natural Resources Management Development Program
- OECF Overseas Economic Cooperation Fund

OM Organic Matter

PBS Planning Balance Sheet

- PCARRD Philippine Council for Agriculture and Natural Resources Research and Development
- PENRO Provincial Environment and Natural Resources Officer
- PHP Philippine peso
- RED Regional Executive Director
- UPI United Press International
- WB World Bank
- WCED World Council for Environment and Development