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Multi-level tensions in transport policy and planning: bus-rapid transit (BRT) in Indonesia

A dissertation presented in fulfilment of the requirements for the degree of Doctor of Philosophy (PhD) in Planning at Massey University, Palmerston North, New Zealand

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Abstract

International development agencies, supporting climate change sensitive transport policies in Low-Income Asian (LIA) cities, promote Bus Rapid Transit (BRT). However, these policies create tensions at the local level. Using a multi-level governance lens (MLG), this research examines the relations between and distribution of power among actors in BRT investment decisions in the two medium-sized Indonesian cities of Bandung and Surabaya. Analysis of policy and planning documents, and interviews with key stakeholders at central, provincial and city government levels highlighted financialinstitutional, socio-political and discursive tensions at multiple levels in BRT projects in these cities. The financial-institutional tensions resulted from city government funding dependency on central government and international development agencies that promoted BRT projects as low-cost 'green' solutions to traffic congestion and greenhouse gas emissions. Their "Go-green" campaign made it possible for Bandung and Surabaya governments to privilege BRT over traditional minibuses (angkot) and regular bus modes. The BRT projects were advanced through the low-cost, environmentally friendly and modern public transport discourses that did not get attention due to the absence of sitespecific narrative. Open communication and proactive public participation were also missing when undertaking BRT projects in Bandung and Surabaya so that the projects were opposed by social and political actors in both cities. As a result, national and provincial policies were modified in Bandung limiting BRT to two peri-urban corridors that do not meet local needs. In contrast, Surabaya accepted a BRT project, but then turned it down due to political and social pressure that developed during the process. This research identified the importance horizontal and vertical relationships in the BRT projects' planning and implementation. While vertical alignment is important for translating national transport policy to local transport planning, horizontal integration and communication is crucial for transport project implementation. The mismatch between horizontal and vertical actors resulted in delays and ultimately rejection of BRT project in Surabaya and distortion of the BRT project in Bandung. This research identifies a need for integrated policy packages to help develop site-specific BRT projects for LIA cities. The evidence suggests a refinement for MLG theory in the context of LIA countries by taking into account the role of power and communication within the emerging economic, social and political pressures at the local level and the need to take into account the vital role of individual actors, institutions and planning process as they respond to and shape policies imposed by higher levels. It also identifies the important role of international level non-government organisations play in setting, or at least, influencing national and local policy agenda.

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List of Abbreviations

ADB Asian Development Bank

BAPPENAS Ministry of National Development Planning

BPJT Badan Pengatur Jalan Tol (Indonesian Toll-Road Authority)

BRT Bus Rapid Transit

BLU Badan Layanan Umum, Public Service Authority

BUMD Badan Usaha Milik Daerah, Local Government Owned Enterprise

CMEA Coordinating Ministry of Economic Affairs

CMSA Coordinating Ministry of Social Affairs

CNG Compresses Natural Gases

CPS Country Partnership Strategy

DAK Special Allocation Fund

DAMRI Djawatan Angkoetan Motor Repoeblik Indoenesia, the state-owned bus

operator

DAU Dana Alokasi Umum, General Allocation Fund

DED Detail Engineering Design

EU European Union

GIZ Germany Technical Development Agency

ICLEI International Council for Local Environment Initiatives

IGES Institute for Global Environmental Strategies

IndII Indonesia Infrastructure Initiative, Australian Government funded project

ITDP Institute for Transportation and Development Policy

JICA Japan International Cooperation Agency

KAI Kereta Api Indonesia, Indonesian railway company

KITA Kitakyushu International Techno-cooperative Association

KPU Koordinator Pengawas Unit, Unit Control Coordinator

LIA Low-income Asian cities

MEMR Ministry of Energy and Mineral Resources

MERR Middle Eastern Ring Road

MLG Multi-level governance
MoE Ministry of Environment

MoF Ministry of Finance

MoHA Ministry of Home Affairs

MoT Ministry of Transport

MoPW Ministry of Public Works

MSOE Ministry of State-Owned Enterprise

MUHEC Massey University Human Ethic Committee

NGO Non-Government Organisation

ORGANDA Organisasi Pengusaha Angkutan Darat, Land Transport Owners

Association

Paratransit Transport service owned and operated by individuals and the private sector

PKN Pusat Kegiatan Nasional, Centre of national activity

PPP Public-Private Partnerships

PROPEDA Pola Dasar Pembangunan Daerah, Local development fundamental

patterns

REPETADA Rencana Pembangunan Tahunan Daerah, Annual urban development

plan

RKP Rencana Kerja Pemerintah, Annual national development work plan (1-

year plan)

RKPD Rencana Kerja Pemerintah Daerah, Annual provincial/city development

work plan (1-year plan)

RPJMD Rencana Pembangunan Jangka Menengah Daerah, Provincial/City

medium-term development plan (5-year plan)

RPJMN Rencana Pembangunan Jangka Menengah Nasional, National Medium-

Term Development Plan (5-year plan)

RPJPD Rencana Pembangunan Jangka Panjang Daerah, Provincial/City long-

term development plan (20-year plan)

RPJPN Rencana Pembangunan Jangka Panjang Nasional, National long-term

development plan (20-year plan)

RTRW Rencana Tata Ruang Wilayah, Spatial development plan (30-year plan)

SERR Surabaya Eastern Ring Road

SUTIP Sustainable Urban Transport Improvement Project

Indonesian terms

Angkot A popular local transport service in Bandung that owned and operated

by individuals and the private sector

Becak Non-motorised three-wheeler vehicles (cycle rickshaw)

Kampung Informal housing/settlements in urban and rural areas

Lyn Local minibuses in Surabaya own by individual and private with 9-12

passengers seat

Musrenbang Musyawarah Perencanaan Pembangunan, public consultation for

development planning at village, sub-district, city, provincial and

national government levels

Ojek Motorcycle taxi uses as informal public transport services provided by

individual owners