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**AIRWAY MECHANICS**  
**AND**  
**PULMONARY FUNCTION TESTS**

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## ABSTRACT

Methods of engineering mechanics were applied to further the theoretical understanding of the elastic deformation of some specific components of the tracheobronchial tree when subject to a transmural pressure difference.

The contribution of the epithelial basement membrane to airway collapse was analysed. The well-known approach based on the physics of a shell was extended to investigate the influence of a non-homogeneous stiffness of the basement membrane on airway collapse. Results were obtained for the fundamental “two-lobe” collapse. These indicate that the critical pressure at which collapse starts depends on the location of the region of maximal stiffness.

A method was presented for analysing asymmetric stress-strain behaviour when the Young modulus of a material is different in compression than in tension. Results indicated that, if the basement membrane were stiffer in compression than in tension, the resulting collapsed structure would be slightly stiffer than in the case of no stiffness difference and thus the airway would be less narrowed and less compliant.

The deformation of the trachea was analysed by using the same theory as used in the study of the folding of the epithelial membrane. Computations were made to investigate (i) a semi-circular “base-shape” as well as more complex, non-circular shapes, (ii) the effect of shortening of the posterior membrane, (iii) localised weakening of the cartilage ring. Good agreement between model predictions and published MRI microscopy data from rabbit tracheas was obtained.

The concepts of fluid mechanics in elastic tubes were used to analyse the effects of airway remodelling on forced expiratory airflow and resistance to airflow. The tracheobronchial tree was modeled as a system of branching, elastic tubes. Flow behaviour through that system of tubes was analysed which allowed the simulation of pulmonary function tests, in particular forced expiration and response to a muscle agonist. Effects of thickening of the airway wall components (adventita, smooth muscle and the submucosa) on exiratory flow and airways resistance, were investigated. Results

showed that thickening of the smooth muscle had the strongest effect on expiratory airflow and airway resistance, followed by thickening of the submucosal area. Model results were within a physiologically feasible range.

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# TABLE OF CONTENTS

Abstract .....	ii
Acknowledgments .....	iv
Table of Contents .....	v
List of Figures .....	vii
List of symbols.....	x

## INTRODUCTION ..... 1

### PART I:

#### **A theoretical analysis of the mechanics of individual components of the tracheobronchial tree ..... 4**

1.	Introduction and literature review .....	5
2.	The contribution of bronchial basement epithelium membrane to airway collapse .....	7
2.1	Introduction .....	7
2.2	Mathematical analysis .....	10
2.2.1	Analysis of airway collapse .....	10
2.2.2	Influence of the membrane having different elastic properties in compression then in tension .....	15
2.3	Numerical methods .....	20
2.4	Results of computations .....	22
2.5	Discussion .....	30
3.	An analysis of tracheal mechanics .....	35
3.1	Introduction .....	35
3.2	Tracheal mechanics .....	36
3.2.1	Analysis of deformation of cartilage .....	36
3.2.2	Analysis of intercartilaginous membrane deformation .....	41

3.3	Numerical methods .....	43
3.4	Results .....	45
3.4.1	Tracheal mechanics .....	45
3.4.2	Intercartilaginous tissue computations .....	55
3.5	Discussion .....	57

**PART II:**

**Forced expiratory flow in the presence of muscle tone..... 64**

1.	Introduction and literature review .....	65
2.	Review of the model .....	72
2.1	Review of expiratory airflow computations .....	72
2.2	Review of resistance model .....	77
2.3	Merging of models .....	84
3.	Computational procedure .....	85
4.	Results .....	87
5.	Discussion .....	93

**SUMMARY AND OUTLOOK ..... 107**

**REFERENCES ..... 109**

**APPENDICES ..... 121**

A.	Derivation of differential equation describing elastic tube collapse for non-uniform stiffness .....	121
B.	Simulated annealing .....	123
C.	Software documentation .....	126
C.1	The application BRONCHI .....	126
C.2	The application TRACHEA .....	129
C.3	The application AIRFLOW .....	132

## List of Figures

### PART I:

Figure 2.1	Micrograph of section of partially collapsed rabbit airway	7
Figure 2.2	Model bronchus	10
Figure 2.3	A: Symmetry axis in fundamental two-lobe collapsing mode B: Free-body diagram	11
Figure 2.4	Profile of a collapsed tube for $n = 2$ , $P^* = 4.0$ , $\alpha = 0$	13
Figure 2.5	Simplified model of bending of a bar made of two materials	15
Figure 2.6	Transformation of cross-section as a consequence of different Moduli of elasticity	18
Figure 2.7	Result of integration for $n = 4$	23
Figure 2.8	Tube cross-sections for the case of 20 folds for various Pressures	24
Figure 2.9	Area-pressure curve for six folding patterns	25
Figure 2.10	calculated profiles of a collapsed tube for $n = 2$ , $P^* = 5.2$ , and four values of $\alpha$ : 0.0, 0.3, 0.5, 1.0.	26
Figure 2.11	Compliance curves for the four values of $\alpha$	27
Figure 2.12	Critical buckling pressure ( $P_{cr}^*$ ) as a function of stiffness coefficient	28
Figure 2.13	$A/A_0$ at contact pressure ( $P_c^*$ ) for stiffness distributions	28
Figure 2.14	Change of flexural rigidity of composed area $\beta$ vs. $\eta$	29
Figure 3.1	Schematic drawing of a trachea	36
Figure 3.2	Possible deformations a trachea ring can undergo	37
Figure 3.3	Modelling of deformation of intercartilaginous tissue	42
Figure 3.4	Calculated profiles of the initially semi-circular cartilage at several stages of deformation	46
Figure 3.5	Computed compliance curves at various posterior membrane lengths	47
Figure 3.6	Interrelationship between membrane length, membrane tension and pressure $q$ .	49



Figure 3.7	Compliance curve for a cartilage ring having non-uniform stiffness around its circumference	51
Figure 3.8	A: Initially non-circular cartilage rings and B: resulting computed compliance curves	52
Figure 3.9	Compliance curves of pathological tracheae in comparison with semi-circular base case	53
Figure 3.10	Compliance curve of posterior membrane being extensible	54
Figure 3.11	Results for computations for intercartilaginous tissue	56
Figure 3.12	Comparison of results from model computations with recently obtained compliance curves for rabbit tracheal cartilages rings	58
Figure 3.13	Comparison with intercartilaginous membrane invagination with MRI rabbit data	63
 <b>PART II:</b>		
Figure 1.1	Computed Isovolume-Pressure-Flow curve at 80% VC	66
Figure 1.2	Model expiratory flow volume curve (MEFV) obtained from a normal subject	67
Figure 2.1	Normalised airway-cross-sectional area ( $\alpha$ ) plotted against transmural pressure for selected generations $z$ .	75
Figure 2.2	Volume-pressure $V(p_L)$ relationship used in the model	76
Figure 2.3	Schematic diagram of fully distended airway showing subdivisions of wall area and nomenclature used	77
Figure 2.4	S-shaped dose response curve based on Eq. (2.20)	82
Figure 4.1	Computed IVPF curves at various VC's.	87
Figure 4.2	Computed MEFV graph	88
Figure 4.3	Effect of thickening of the adventitial region on A: FEV <sub>1</sub> and B: Raw as a function of log(dose)	89
Figure 4.4	Effect of thickening of the submucosa on A: FEV <sub>1</sub> and B: Raw as a function of log(dose)	90
Figure 4.5	Effect of thickening of smooth muscle mass on A: FEV <sub>1</sub> and B: Raw as a function of log(dose)	91

Figure 4.6	Effects of employing morphometric data from asthmatic and COPD patients on A: FEV <sub>1</sub> and B: Raw as a function of log(dose)	92
Figure 5.1	Change of compliance curve as a consequence of dose “unit” for airway generation 5 (control).	94
Figure 5.2	Relative changes of A: FEV <sub>1</sub> and B: Raw as a result of increasing the adventitial area WA <sub>o</sub> .	97
Figure 5.3	Relative changes of A: FEV <sub>1</sub> and B: Raw as a result of increasing the submucosal area WA <sub>i</sub> .	98
Figure 5.4	Relative changes of A: FEV <sub>1</sub> and B: Raw as a result of increasing the smooth muscle area WA <sub>sm</sub> .	99
Figure 5.5	Comparison of changes on A: FEV <sub>1</sub> and B: Raw for equally (three times) increased wall areas WA <sub>x</sub> .	100
Figure 5.6	Relative changes of A: FEV <sub>1</sub> and B: Raw for data obtained for COPD and asthma	103
Figure 5.7	Wall area compartments A: external to smooth muscle, B: smooth muscle and C: internal to smooth muscle computed by the method of Kuwano et al. [47].	104
Figure 5.8	Comparison between computed (control) data and experimental data obtained from normal subjects [70]	106
Figure A.1	Flow chart outlining solving algorithm used in the programs BRONCHI and TRACHEA.	125

## List of symbols

### PART I:

$F$	:	force
$T$	:	tensile force/unit axial length
$S$	:	shear force/unit axial length
$M$	:	bending moment/unit axial length
$P$	:	pressure
$\kappa$	:	curvature
$\nu$	:	Poisson ratio
$E$	:	Young modulus
$t$	:	thickness
$D$	:	flexural rigidity
$s$	:	arc length
$R$	:	tube radius
$f(s)$	:	function indicating dimensionless variation of stiffness distribution
$\alpha$	:	stiffness coefficient
$\theta$	:	angle that tube wall makes with reference direction
$P^*$	:	normalised pressure
$P_{cr}^*$	:	normalised critical pressure
$P_c^*$	:	normalised wall-touching pressure
$\lambda$	:	normalised arc length
$n$	:	number of folds
$x,y$	:	coordinates of tube wall
$A_0$	:	initial cross-sectional area
$A$	:	cross-sectional area
$\varepsilon$	:	strain
$\sigma$	:	stress
$\eta$	:	ratio between Young moduli
$I_A$	:	second moment of area

$\beta(\eta)$	:	function describing change of flexural rigidity of a composite area
$CF$	:	cost function
$L_{max}$	:	length at which maximal isometric force was generated
$L$	:	posterior membrane length (trachea)
$w$	:	width of cartilage ring
$a, b$	:	ellipse half radii of cartilage ring
$c_s$	:	stretch coefficient
$h$	:	arc height
$r$	:	radius (intercartilaginous membrane deformations)

## **PART II:**

$R_{aw}$	:	airway resistance
$FEV_1$	:	forced expiratory volume in one second
$TLC$	:	total lung capacity
$VC$	:	vital capacity
$RV$	:	residual volume
$FRC$	:	functional residual volume
$p$	:	pressure
$\rho$	:	density
$\mu$	:	viscosity
$z$	:	generation number
$U$	:	local average flow speed
$\dot{V}$	:	volume flow
$A$	:	cross-sectional area
$f$	:	dissipative pressure loss/unit length
$c$	:	wave speed
$Re$	:	Reynolds number
$L$	:	length of airway
$\alpha$	:	specific airway compliance
$V$	:	volume
$P_o^*$	:	outer adventitial perimeter

$P_{mo}^*$	:	outer perimeter of airway smooth muscle
$P_{bm}$	:	perimeter of basement membrane
$D_{bm}^*$	:	maximal diameter of basement membrane
$D_e^*$	:	maximal diameter external to smooth muscle
$D_o^*$	:	maximal outer diameter
$WA_o$	:	outer (adventital) wall area
$WA_{sm}$	:	smooth muscle wall area
$WA_i$	:	wall area internal to smooth muscle (sub mucosa)
$T_N$	:	normalised tension
$\sigma$	:	stress
$G$	:	parenchymal shear modulus
$PMS$	:	percentage smooth muscle shortening
$\alpha, \beta$	:	coefficients for $PMS$ function
dose	:	(hypothetical) agonist causing smooth muscle shortening